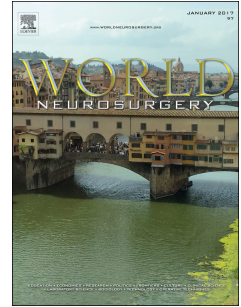


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Title Page

Pineal Region Glioblastomas: Clinical Characteristics, Treatment and Survival Outcome

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1 Pineal Region Glioblastomas: Clinical Characteristics, Treatment 2 and Survival Outcome

3 4 **ABSTRACT**

5 **OBJECTIVE:** Given the rarity in the pineal GBM patients, clinical characteristics, treatment, and
6 prognostic factors are not well characterized. This study aimed to investigate these characteristics and
7 identify the prognostic factors of overall survival (OS).

8 **METHODS:** A retrospective analysis of newly diagnosed pineal GBM patients, including our three
9 cases and an additional forty-four cases from published articles, was conducted. Survival analysis was
10 performed by Kaplan-Meier analysis and Cox regression analysis was used to determine the prognostic
11 factors.

12 **RESULTS:** A total of 47 patients (28 males and 19 females) were enrolled, with a median of 46 years
13 (range, 5-74 years). Forty-four patients (90.9%) had preoperative obstructive hydrocephalus. Among
14 38 patients, 21 (55.3%) had distal leptomeningeal dissemination. Forty-five (95.7%) patients had
15 resection/biopsy, in which 6 had GTR, 22 had STR, 7 had PR, and 10 had biopsy. Adjuvant therapy
16 included radiotherapy in 36 patients and chemotherapy in 27 patients. The median OS was 10.0 months.
17 The 6-month, 1-year and 2-year survival rates were 68.0%, 42.6% and 17.0%, respectively. COX
18 regression analysis revealed that patients receiving biopsy ($p = 0.042$) or chemotherapy ($p = 0.029$) had
19 the better OS and these were regarded as independent prognostic factors. Further survival analysis
20 showed that chemoradiotherapy had better survival benefit than other regimens.

21 **CONCLUSIONS:** In this study, we summarized the characteristics of pineal GBM patients and
22 revealed the correlation between clinical characteristics and prognosis. This study may make the
23 readers have a deep understanding of these rare GBMs and provide some references for future
24 management.

25 **Key words** Pineal region; Glioblastoma; H3 K27M; Survival analysis; Prognostic factors

26

27 INTRODUCTION

28 Pineal region tumors account for about 0.4%-1% of all intracranial tumors in adults and contain a wide
29 variety of histological types, generally comprising of germ cell, pineal parenchymal, and extra-pineal
30 tumors arising from the surrounding parenchyma.¹⁻⁴ Glioma arising from the surrounding glial stroma
31 is a rare subtype of pineal region tumors and malignant gliomas or glioblastoma (GBMs) located in this
32 region are extremely rare.⁴⁻⁷ To our knowledge, pineal region gliomas are either included in larger
33 series along with other tumors or reported as case reports/series.⁶⁻¹¹ So far, very few cases with pineal
34 region glioblastoma (also called pineal GBM) have been reported in the English-language literature.
35 Little is known about the clinical characteristics, treatment, and prognosis of this rare entity. In this
36 study, we report three cases with pineal GBM and thoroughly review the English-language literature, to
37 summarize the clinical characteristics and treatment strategy in the pineal GBM patients, and determine
38 the prognostic factors of overall survival (OS).

39 METHODS

40 Patients Source

41 We searched the Glioma database in our institution and identified three patients with newly diagnosed
42 pineal GBM between 2016 and 2019. We also thoroughly retrieved the English-language literature
43 about pineal GBM from PubMed and Web of Science and ultimately identified 44 cases with pineal
44 GBM from 28 articles published between 1954 and 2020.^{6,7,16-25,8,26-33,9-15} The key terms used for the
45 standard retrieval strategy were “pineal region glioblastoma” OR “pineal glioblastoma” OR “pineal
46 region glioma” OR “pineal glioma”. Meanwhile, references of included articles were tracked. The
47 inclusion criteria were as follows: (a) the cases published in English-language articles were newly
48 diagnosed pineal GBM, including these cases originating from the pineal region and simultaneously
49 involving the thalamus or midbrain; (b) non-English-language articles were also included only if an
50 English abstract was available; (c) the main clinical data (age, sex, and duration of symptoms, tumor
51 extending, diameter, preoperative hydrocephalus), treatment (surgery, radiotherapy, and chemotherapy)
52 and time to events (OS, status) for survival analysis were available. Articles without important clinical
53 and survival data, including age, sex, survival time, and status, were excluded. Informed consent of
54 three cases in our institution was obtained from patients or their families.

55 Date Extraction and Definition

56 Clinical and radiological features, surgical and adjuvant therapy, and survival data of pineal GBM

57 patients were extracted and collected from three cases in our institution and forty-four cases published
58 previously. Surgical resection or biopsy was performed for all patients and the obtained tissue samples
59 were used for pathological examination. The surgical extent of resection (EOR) included gross total
60 resection (GTR), subtotal resection (STR), and partial resection (PR). Postoperative adjuvant therapies
61 including radiotherapy and chemotherapy were extracted according to the records. For survival
62 analysis, all patients were divided into two groups by age (<18 and \geq 18 years). The duration of
63 symptoms was classified into two groups (\leq 1/ $>$ 1 month) by the median value. The OS was recorded
64 from the included cases.

65 **Immunohistochemistry**

66 Immunohistochemistry of tissue samples from our three cases was performed on formalin-fixed,
67 paraffin-embedded (FFPE) tissue sections. Molecular subtyping including IDH1, ATRX, 1p/19q,
68 MGMT promoter (MGMTp) methylation status, P53, EGFRvIII, Ki-67, and H3 K27M were examined
69 by immunohistochemistry or genetic testing according to the 2016 World Health Organization
70 Classification of Tumors of the Central Nervous System³⁴. All H3K27M detections were confirmed
71 finally by genetic testing. Positive staining in less than 50% of cells was considered negative. The
72 details of the molecular features of other cases were also extracted.

73 **Statistical Analysis**

74 All statistical analysis was performed using Stata (version 15.0, StataCorp LLC) and GraphPad Prism
75 (version 8, San Diego, USA). Continuous variables were presented as means \pm standard deviations and
76 median. The median value of a continuous variable was considered as the cut-off. The relationship
77 between categorical variables was evaluated using Fisher's exact test or Chi-squared test. Estimates of
78 the OS were calculated with the Kaplan–Meier analysis, and the differences between the subgroups
79 were evaluated using the log-rank test. Cox regression analysis was utilized to calculate the hazard
80 ratio (HR) and 95% confidence interval (95% CI) and to determine the possible independent prognostic
81 factors concerning OS. $P < 0.05$ was considered statistically significant.

82 **RESULTS**

83 **Patient Demographics and Clinical Characteristics**

84 A total of 47 patients (28 males and 19 females) with pineal GBM were included in this study. The
85 flow diagram of cases selection and inclusion according to the PRISMA guidelines was shown in
86 **Figure 1**. The mean age of all patients was 41.5 ± 18.9 years, and the median age was 46 years (range,

87 5-74 years). The duration of symptoms ranged from one day to 108 months, with a median duration of
88 1 month. In cases available for the data of tumor diameter, the median diameter of tumors was 2.75cm.
89 Of 42 patients available for the tumor extent data, the tumors in 25 patients (59.5%) extended into the
90 third ventricular (8 patients) or involved thalamus/midbrain (17 patients) structures. Forty-four patients
91 (90.9%) had preoperative obstructive hydrocephalus and the majority of these had mild hydrocephalus.
92 Among 38 patients available on distal recurrence data, 21 patients (55.3%) had distal dissemination,
93 including 15 patients with intracranial dissemination and 6 patients with spinal dissemination. The
94 details and summary of demographics and clinical characteristics of all patients were shown in **Tables**
95 **1 and 2**. In addition, the summary of clinical presentation types of pineal GBM patients was shown in
96 **Table 3**.

97 **Surgical Resection and Adjuvant Therapy**

98 Of all included patients, the date of surgical resection or biopsy was available in 45 patients (95.7%).
99 Among these 45 patients, 6 had GTR, 22 had STR, 7 had PR, and 10 had biopsy. Due to more than half
100 of the patients with preoperative obstructive hydrocephalus, the majority of these patients underwent
101 cerebral spinal fluid (CSF) draining to relieve intracranial pressure and improve clinical symptoms in
102 the whole course of this disease. Draining types of CSF included ventriculoperitoneal shunt (VPS),
103 endoscopic third ventriculostomy (ETV), and external ventricular drainage (EVD). Among 40 patients
104 available on draining timing of CSF, 12 (30.0%) patients had pre-operation draining, 14 (35.0%) had
105 intra-operation draining, and 6 (15.0%) had post-operation draining, and 8 patients (20.0%) had not
106 undergone CSF draining in the whole course of the disease. Among patients available for adjuvant
107 therapy data, 36 (90.0%) patients had received radiotherapy, and 27 (73.0%) patients had received
108 chemotherapy. Furthermore, among these 44 patients, 27 (61.4%) had received chemoradiotherapy, and
109 9 (20.5%) had received radiotherapy only, 2 had received chemotherapy only, and 6 had no adjuvant
110 therapy (**Table 2**).

111 **H3 K27M Mutant Characteristics**

112 In this study, H3 K27M detection was available in 11 cases. Among these, H3 K27M in 5 (45.5%)
113 cases were mutant, whereas the remaining were wild type (WT). IDH1 detection data was available in
114 10 patients and IDH1 mutant was found in only one patient (10%). Of 9 patients available for ATRX
115 data, 6 patients (66.7%) had ATRX loss. None had 1p/19q co-deletion in 3 patients with 1p/19q
116 detection. MGMT promoter (MGMTp) methylation status was available in 7 patients and only 1

117 patient (14.3%) showed methylation. P53 expression status was available in our three patients and all
118 were positive. EGFRvIII detection was available in 7 patients and only one (14.3%) was positive
119 (**Table 4**).

120 **Kaplan–Meier and Cox Regression Analysis**

121 The OS of all patients ranged from one week to forty-one months, with a median OS of 10.0 months
122 and a mean OS of 12.1 months. The 6-month, 1-year and 2-year survival rates were 68.0%, 42.6% and
123 17.0%, respectively. Kaplan-Meier analysis was performed to determine the impact of variables on OS
124 (**Figure 2**). The analysis results revealed that a biopsy has better outcomes compared to surgical
125 resection, whereas no significant difference of OS was found between GTR, STR, and PR in pineal
126 GBM patients. Meanwhile, patients receiving radiotherapy or chemotherapy had better outcomes
127 compared with counterparts. Furthermore, the chemoradiotherapy regimen had the best outcome
128 compared with radiotherapy/ chemotherapy only or no adjuvant therapy by Kaplan-Meier analysis.
129 Univariate and multivariate Cox regression analysis revealed that surgery type (HR 0.214, 95%CI
130 0.048-0.946, $p = 0.042$) and chemotherapy (HR 0.308, 95%CI 0.108-0.885, $p = 0.029$) were the
131 independent prognostic factors of OS (**Table 5**). Furthermore, survival analysis of adjuvant therapy
132 showed that chemoradiotherapy had better survival benefit than only radiotherapy/chemotherapy or
133 other ($p < 0.05$).

134 **Illustrative Case (case 1)**

135 A 21-year-old male with a complaint of headache for one week was admitted to our institution (Oct.
136 2018). Physical examination on admission was unremarkable. Preoperative brain MRI examination
137 (**Figure 3A-F**) revealed a lesion located in the pineal region with extending the posterior third
138 ventricular and left thalamus region and without hydrocephalus. Based on our surgical experience of
139 deep brain tumors in our center, surgical resection with STR of the tumor was successfully carried out
140 due to infiltrating the left thalamus (**Figure 3G-L**). Histological detection of tissue sample revealed
141 necrosis and microvascular proliferation with the consideration of glioblastoma.
142 Immunohistochemistry revealed GFAP (+), Olig-2 (+), ATRX (-), P53 (+), PD-1 (-), PDL1 (-), VEGF
143 (-), VEGFR2 (-), EGFRvIII (-) and Ki-67 (MIB-1) 5-8%. Genetic testing showed IDH1/2 (-), MGMTp
144 methylation (-), TERT (-) and H3 K27M (+). Thus, the definitive diagnosis of this patient was
145 considered as diffuse midline glioma (DMG), H3K27M mutant (Grade IV). The postoperative course
146 was uneventful. He was discharged and transferred to the rehabilitation hospital. However, he

147 complained of a headache again eighteen days after surgery, and brain CT showed increased
148 hydrocephalus (**Figure 3M**). Thus, ventriculoperitoneal shunt (VPS) was performed (Nov. 2018) and
149 his symptoms and the hydrocephalus were alleviated postoperatively (**Figure 3N**). The patient was
150 improved gradually. Subsequently, concurrent chemoradiotherapy (Dec. 2018) and adjuvant
151 chemotherapy (Jan. 2019) with temozolomide (TMZ) were administered. Three months after surgery,
152 follow-up brain MRI revealed the enhancement of pineal region, left thalamus, and dura mater in the
153 left frontal lobe, considering the pseudo-progression of glioma or the possibility of local recurrence and
154 distal dissemination (**Figure 3O, P**). Although the patient continued chemotherapy with TMZ, the
155 enhancement nidus of pineal remained enlarged until 7 months after surgery (**Figure 3Q, R**). Fourteen
156 months after surgery, however, brain MRI revealed the regression of the tumor (**Figure 3S, T**). He had
157 finished 12 cycles of TMZ (Apr. 2020) and he had good performance status and no progression of the
158 disease.

159 **DISCUSSION**

160 Pineal GBMs are extremely uncommon intracranial tumors.^{1-4,10} A previous review showed that pineal
161 GBMs accounted for approximately 20% of pineal gliomas.⁴ Usually, pineal GBMs were reported in
162 case reports/series. To the best of our knowledge, there is a lack of integrative study focusing on the
163 clinical characteristics, treatment, and prognosis of exclusive pineal GBMs. This study included 47
164 patients with pineal GBM and the findings demonstrated that these patients had poor survival outcomes
165 (median OS of 10 months), which was similar to diffuse intrinsic pontine glioma (DIPG) or diffuse
166 midline glioma (DMG), H3 K27M mutant.³⁵⁻³⁷ Meanwhile, this study, for the first time, revealed the
167 correlation between clinical characteristics, treatment, and survival outcome in these rare patients with
168 pineal GBM.

169 Unlike other common midline gliomas, such as thalamus or brainstem gliomas, usually occurred in
170 children,^{34,36,37} this study and previous reviews showed pineal GBMs commonly occurred in adults,
171 accounting for 87.2% of all included patients. A peak period of age with the onset of the disease was
172 found in this study, which was an age range of 40-64 years. Interestingly, there was sex preference
173 within the two populations of children and elder patients, in which all children were female and the
174 majority of elder patients were male. However, due to basing on the published case series and possible
175 selective bias, this finding should be further confirmed.

176 Duration of symptoms, referring to the interval between the onset of symptoms and diagnosis, may

177 reflect the development process and growth speed of tumors. The duration of symptoms of pineal
178 gliomas is usually shorter than them of hemispheric gliomas.²⁹ Previous studies showed that duration
179 of symptoms was associated with survival outcome and shorter duration implied poorer outcome.³⁸⁻⁴⁰
180 In this study, the patients with a shorter duration of symptoms had a worse outcome, although the
181 duration of symptoms was not significantly associated with OS. This finding was similar to previous
182 reports on midline gliomas.^{38,39} Patients with pineal GBM generally present with symptoms related to
183 increased intracranial pressure (ICP) due to obstructive hydrocephalus.^{4,37}

184 The majority of pineal GBMs have similar radiological features. These malignant tumors are often
185 presented solid lesions with/without involving adjacent structures and commonly with
186 contrast-enhancement.^{6,10,11} About half of pineal tumors are with the involvement of adjacent structures,
187 including the third ventricle and thalamus/midbrain, which easily lead to obstructive hydrocephalus.
188 Previous studies showed over half of the common midline gliomas had obstructive hydrocephalus,^{38,39}
189 and the majority of pineal GBM patients occurred preoperatively.^{4,11,23} Most diffuse gliomas including
190 common midline gliomas, such as thalamus or brainstem gliomas, are infrequent with distal
191 recurrence/metastasis, whereas our study and reviews revealed that common distal leptomeningeal
192 dissemination occurred in pineal GBMs, including intracranial and spinal dissemination.^{6,8,9,11,16}
193 Usually, these patients with distal leptomeningeal dissemination previously had local recurrence, which
194 can indicate disease progression and poor outcome, although distal dissemination was not significantly
195 associated with OS.

196 Several previous studies have shown that maximal resection of gliomas, including cerebral
197 hemisphere gliomas and adult thalamic gliomas, was associated with longer survival.⁴⁰⁻⁴² A recent
198 study on surgery of pineal region tumors revealed that complete microsurgical resection was associated
199 with better tumor-free survival and long-term survival, except for diffuse gliomas.⁴³ A previous study⁷
200 showed that maximal resection didn't benefit the OS of diffuse gliomas, especially high-grade gliomas.
201 Due to no relative consensus or guideline, previous studies showed that surgical resection (77.8%) was
202 mainly performed for the diagnosis and treatment of pineal gliomas. However, this study demonstrated
203 that surgical resection had a worse survival outcome compared to biopsy in pineal GBM patients. This
204 result should be explained cautiously because it may be influenced by some factors. Firstly, due to
205 anatomical complexity and profound surgical risks, surgical resection is usually difficult, and easily
206 result in the direct injury of the critical adjacent structures and high probability of disseminating

207 subarachnoid/ventricular spaces.⁴⁴⁻⁴⁷ Sometimes, surgical resection may be performed for patients with
208 larger tumors and improved intracranial hypertension and these patients had poor survival in itself.
209 Besides, this result may also be affected by significant selection/publication bias based on the
210 published articles. From the view of our result, biopsy may have a better outcome than surgical
211 resection, however, this conclusion should be further verified by multicentric studies with larger
212 sample size.

213 Postoperative adjuvant radiotherapy and chemotherapy are helpful for prolonging the survival of
214 gliomas.^{48,49} This study revealed chemotherapy can prolong the OS of these patients with pineal GBM
215 and was considered as an independent prognostic factor. Although radiotherapy was not an independent
216 prognostic factor, survival analysis of adjuvant therapy demonstrated that patients receiving
217 chemoradiotherapy had longer OS than other regimens, including radiotherapy/chemotherapy only or
218 no adjuvant therapy. These findings were consistent with previous studies on cerebral GBM.^{48,49}
219 However, this study revealed patients receiving only radiotherapy or chemotherapy compared without
220 any adjuvant therapy still had a similar outcome, which may be associated with poor compliance and
221 incomplete course of treatment.

222 DMGs occur primarily in midline locations, such as brainstem, thalamus, and spinal cord,³⁴⁻³⁷
223 whereas uncommon in the pineal region.^{10,11,13} After the revised 2016 World Health Organization
224 (WHO) central nervous system (CNS) tumor classification, H3 K27M mutant status is detected
225 commonly in CNS tumors in midline locations, such as brainstem and thalamus.⁵⁰ However, little is
226 known about H3 K27M mutant in pineal gliomas due to the rarity of these tumors. In this study, H3
227 K27M mutant status was available in 11 pineal GBM patients and H3 K27M mutant was found in 5
228 (45.5%) patients. Similar to common midline gliomas, H3 K27M-mutant GBMs in this study are
229 usually associated with a high frequency of P53 alteration.⁵¹ Several studies showed H3 K27M-mutant
230 patients had a poor outcome compared to WT.^{36,37,40} However, a recent study of a larger series of H3
231 K27M-mutant DMG in different anatomical locations demonstrated that H3K27M mutation was not
232 significantly associated with a poorer prognosis in supratentorial gliomas compared with WT
233 gliomas.³⁷ In this study, survival analysis of H3 K27M mutant status on OS was not performed due to
234 the small sample size. The prognostic value of H3 K27M mutation in pineal GBM patients should be
235 further studied with larger sample size.

236 **Limitations**

237 Our study had some limitations that should be noted. This study was a retrospective analysis and
238 includes a limited sample size of pineal GBM patients from case reports/series, which could lead to
239 selection/publication bias and low statistical power. Besides, due to the incomplete data of included
240 cases, such as Karnofsky Performance Status (KPS), subgroup analyses for treatment options were not
241 performed. Moreover, included cases had a wide time span and had different options of adjuvant
242 therapy, such as chemotherapy, however, subgroup analysis of adjuvant treatment by periods was
243 unable to be compared, due to limited samples and being not available for detailed information of
244 therapy. Based on these limitations, the conclusions in this study should be cautiously explained and
245 should be further verified by the multicentric studies with larger sample size.

246 **CONCLUSIONS**

247 In this study, we summarized the characteristics of pineal GBM patients based on individual data and
248 revealed the correlation between clinical characteristics and prognosis. This study may make the
249 readers have a deep understanding of these rare tumors and provide some references for future
250 management. However, these conclusions should be cautiously explained and further studied.

251

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396

Table1 Details of the included 47 cases with pineal GBM.

Study	Age	Sex	Pre-op hydro	Extending	Diameter (cm)	Dissemination	Surgery	CSF Drainage	Radio	Chemo	Survival (months)
Our case 1	21	M	Yes	Thalamus	2.5	Intracranial	STR	VPS	Yes	Yes	16, alive
Our case 2	30	M	Yes	Thalamus, Midbrain	6	Intracranial	STR	VPS	Yes	Yes	12
Our case 3	55	M	No	No	2.5	Intracranial	STR	No	No	No	10
Sajan, 2020	39	F	Yes	Midbrain	2.5	No	Biopsy	EVD, VPS	Yes	Yes	12, alive
Li, 2020	54	F	Yes	Thalamus	4.5	No	STR	-	No	Yes	4
	54	F	Yes	Thalamus, Midbrain	4.7	No	STR	-	No	Yes	6
	50	F	Yes	Thalamus	3.5	Intracranial	PR	-	No	No	5
	54	F	Yes	Third ventricular	3	No	GTR	-	No	No	7, alive
D'Amico, 2018	52	M	Yes	Thalamus	2.3	No	STR	ETV	No	No	2
	38	M	Yes	No	2	No	STR	ETV	Yes	Yes	20
	51	M	Yes	Thalamus	2	Intracranial	STR	ETV	Yes	Yes	24
	46	F	No	Third ventricular	2.6	No	STR	No	Yes	Yes	15
	74	M	Yes	No	2.3	No	STR	VPS	Yes	Yes	8
	36	M	Yes	Thalamus	2.6	No	STR	ETV	Yes	No	10
	38	M	Yes	Third ventricular	3	No	STR	ETV	Yes	Yes	23
Granados, 2018	5	F	Yes	Midbrain	-	Spinal	Biopsy	EVD	Yes	Yes	3, alive
Nadvi, 2018	19	F	Yes	No	-	No	Biopsy	VPS	Yes	Yes	12, alive
Gilbert, 2018	12	F	No	No	2.7	Intracranial	STR	No	Yes	Yes	8, alive
Orrego, 2017	48	F	Yes	No	4.5	No	STR	VPS	Yes	No	12
	50	M	Yes	Thalamus, Midbrain	2.8	No	PR	VPS	Yes	No	6
	56	M	Yes	Midbrain	2.8	Intracranial	PR	VPS	Yes	Yes	29
	25	M	Yes	Midbrain	-	No	GTR	VPS	Yes	Yes	32
Stowe, 2017	65	M	No	No	2.5	-	Biopsy	-	Yes	Yes	41, alive
Sugita, 2016	52	F	Yes	No	-	Intracranial	PR	ETV	Yes	Yes	24
	18	M	Yes	No	-	Spinal	PR	EVD	Yes	Yes	13
Liu, 2015	30	M	No	Thalamus	5	Intracranial	GTR	-	Yes	Yes	14, alive
Matsuda, 2015	31	M	Yes	No	-	Spinal	STR	VPS	Yes	Yes	5
Mansour, 2014	69	M	Yes	No	2	-	Biopsy	ETV	Yes	Yes	16
Suzuki, 2014	65	M	Yes	Third ventricular	-	-	STR	ETV	Yes	Yes	3, alive
Peterson, 2014	20	M	Yes	No	-	Spinal	Biopsy	ETV, EVD, VPS	-	-	10

Ozgural, 2013	60	M	Yes	No	-	-	Biopsy	VPS	Yes	Yes	24, alive
Birbilis, 2010	54	F	Yes	No	-	Spinal	Biopsy	VPS	Yes	Yes	40
Moon, 2008	68	M	Yes	Thalamus, Midbrain	4	Intracranial	STR	VPS	No	No	2
Amini, 2006	40	M	Yes	Midbrain	-	Intracranial	STR	ETV, VPS	Yes	Yes	5
	43	M	Yes	Third ventricular	-	Intracranial	GTR	ETV	Yes	Yes	7
	52	F	Yes	No	-	Intracranial	Biopsy	ETV	Yes	No	2
Toyooka, 2005	40	M	Yes	No	-	Intracranial	PR	VPS	Yes	Yes	11
Gasparetto, 2003	29	F	Yes	Thalamus	-	-	PR	VPS	No	No	2
Cho, 1998	10~15	F	-	No	-	-	STR	-	Yes	No	6
Pople, 1993	6	F	Yes	Third ventricular	3	Intracranial	GTR	VPS	Yes	Yes	4
Vaquero, 1990	63	F	-	-	-	-	STR	VPS	Yes	No	6
Edwards, 1988	12	F	-	-	-	-	STR	-	Yes	Yes	18
Frank, 1985	52	F	Yes	-	-	No	Biopsy	-	Yes	-	4
Norbut, 1981	36	M	Yes	Third ventricular	-	Spinal	No	VPS	Yes	-	4
Kalyanaraman, 1979	68	F	Yes	No	-	-	GTR	-	Yes	-	4
Bradfield, 1972	5	F	Yes	-	-	No	No	VPS	-	-	27
	52	F	Yes	-	-	No	STR	-	-	-	0.2

M, male; F, female; Pre-op hydro, preoperative hydrocephalus; GTR, gross total resection; STR, subtotal resection; PR, partial resection; VPS, ventriculoperitoneal shunt; ETV, endoscopic third ventriculostomy; EVD, external ventricular drainage; Radio, Radiotherapy; Chemo, chemotherapy; CSF, cerebral spinal fluid; -, not available or not performed.

Table 2 Summary of demographics and clinical features of all pineal GBM patients

Characteristics	No.*
Age	47
< 18 years	6/47 (12.8%)
≥18 years	41/47 (87.2%)
Sex (Male)	47
Male	28/47 (59.6%)
Female	19/47 (40.4%)
Duration of symptoms	35
≤1 month	19/35 (54.3%)
>1 month	16/35 (45.7%)
Extending	42
Third ventricular	8/42 (19.0%)
Thalamus/Midbrain	17/42 (40.5%)
No	17/42 (40.5%)
Diameter	24
< 2.75cm	12/24 (50.0%)
≥2.75cm	12/24 (50.0%)
Preoperative hydrocephalus	47
No	7/47 (14.9%)
Mild	32/47 (68.1%)
Severe	8/47 (17.0%)
Distal recurrence	38
Intracranial	15/38 (39.5%)
Spinal	6/38 (15.8%)
No	17/38 (44.7%)
Surgery	45
GTR	6 /45 (13.3%)
STR	22/45 (48.9%)
PR	7 /45 (15.6%)
Biopsy	10/45 (22.2%)
Draining timing of CSF	40

Pre-operation	12/40 (30.0%)
Intra-operation	14/40 (35.0%)
Post-operation	6/40 (15.0%)
No	8/40 (20.0%)
Radiotherapy	44
Yes	36/44 (81.8%)
No	8/44 (28.2%)
Chemotherapy	41
Yes	29/41 (70.7%)
No	12/41 (29.3%)
Adjuvant therapy	44
Chemoradiotherapy	27/44 (61.4%)
Radiotherapy only	9/44 (20.5%)
Chemotherapy only	2/44 (4.5%)
No	6/44 (13.6%)

* referred as the available data from all included cases.

Table 3 Summary of clinical presentation types of pineal GBM patients

Symptoms	Percentage
Headache	90.0%
Nausea/vomiting	43.3%
Visual impairment	26.7%
Parinaud's syndrome	23.3%
Gate disturbance	23.3%
Behavioral disorder (memory/aypnia/concentration/irritability/hyperhidrosis)	23.3%
Conscious disturbance	13.3%
Vertigo/balance	13.3%
Limb numbness/weakness	10.0%
Urinary incontinence	6.7%
Seizure	3.3%

Table 4 Summary of molecular profiles of 11 pineal GBMs with H3 K27M detection

Study	Age	Sex	H3K27M	Ki-67 (%)	IDH1	ATRX loss	1p/19q co-deletion	MGMTp methylation	P53	EGFRvIII
Our case 1	21	M	Mut	5-8	WT	Lost	-	Unmethylated	Positive	Negative
Our case 2	30	M	Mut	10-20	WT	Maintained	-	-	Positive	-
Our case 3	55	M	WT	20	WT	Maintained	-	Unmethylated	Positive	-
Sajan, 2020	39	F	Mut	-	Mut	-	-	methylated	-	Positive
D'Amico, 2018	52	M	WT	5.20	WT	Lost	-	-	-	Negative
	51	M	WT	40	WT	Maintained	Negative	Unmethylated	-	Negative
	46	F	WT	12	WT	Lost	NA	Unmethylated	-	Negative
	74	M	WT	13.2	WT	Lost	Negative	Unmethylated	-	Negative
	36	M	WT	40.5	WT	Lost	Negative	Unmethylated	-	Test failed
	38	M	Mut	9.3	WT	Lost	-	-	-	-
Gilbert, 2018	12	F	Mut	-	-	-	-	-	-	-

M, male; F, female; Mut, mutant; WT, wild type; -, not available or not performed.

IDH, isocitrate dehydrogenase; ATRX, α -thalassemia/mental retardation X-linked protein, MGMTp, O6-methylguanine-DNA methyltransferase promoter; EGFRvIII, epidermal growth factor receptor variant III.

Table 5 Univariate and multivariable analysis for overall survival of pineal GBMs

Variable	No.	Univariate analysis		Multivariable analysis		
		χ^2	p value	HR	95% CI	p value
Age						
<18/≥18 years	6/41	0.007	0.933	-	-	-
Sex						
Male/Female	28/19	0.383	0.536	-	-	-
Duration of symptoms						
≤1/ >1 month	19/16	0.008	0.927	-	-	-
Extending						
Yes/No	24/18	1.083	0.298	-	-	-
Diameter						
<2.75/≥2.75 cm	12/12	1.572	0.210	-	-	-
Pre-op hydrocephalus						
Yes/No	40/4	2.377	0.123	-	-	-
Distal recurrence						
Yes/No	21/17	0.031	0.860	-	-	-
Surgery type						
Resection/Biopsy	35/10	5.388	0.020	0.214	0.048-0.946	0.042
Drainage timing						
Pre/Intra/Post	12/14/6	0.283	0.595	-	-	-
Radiotherapy						
Yes/No	36/8	11.941	0.001	0.389	0.126-1.199	0.100
Chemotherapy						
Yes/No	29/12	17.410	<0.001	0.308	0.108-0.885	0.029

The bold P value underlines the statistically significant outcome measure (HR).

Figure legends

Figure 1. The flow diagram of cases selection and inclusion according to the PRISMA guidelines.

Figure 2. Kaplan-Meier survival curve of prognostic factors on OS in pineal GBM patients.

(A) Survival curve of OS in all patients. (B) Kaplan–Meier survival curve stratified by EOR ($P = 0.419$). (C) Kaplan–Meier survival curve stratified by resection or biopsy ($P = 0.020$). (D) Kaplan–Meier survival curve stratified by radiotherapy ($P = 0.001$). (E) Kaplan–Meier survival curve stratified by chemotherapy ($P < 0.001$). (F) Kaplan–Meier survival curve stratified by adjuvant therapy ($P < 0.001$).

Figure 3. Illustrative case. Case1. A 21-year-old male diagnosed with pineal GBM (diffuse midline glioma, H3K27M mutant, Grade IV). (A–F) Preoperative brain MRI revealing a lesion located in the pineal region extending the posterior third ventricular and left thalamus/brainstem regions, with obstructive hydrocephalus. (G–L) Postoperative 48h MRI showing the STR of the tumor and the remission of hydrocephalus. (M, N) Eighteen days after surgery, brain CT revealing the recurrence of hydrocephalus. Subsequently, ventriculoperitoneal shunt (VPS) was performed and the hydrocephalus was alleviated postoperatively. (O, P) Three months after surgery of tumor, axial brain MRI revealing the enhancement of pineal region and left thalamus, without hydrocephalus. (Q, R) Seven months after surgery, axial MRI showed the enhancement nidus of pineal remained enlarged compared with the last follow-up. (S, T) Axial MRI revealing decreasing lesion of pineal and left thalamus 14 months after surgery.

Figures

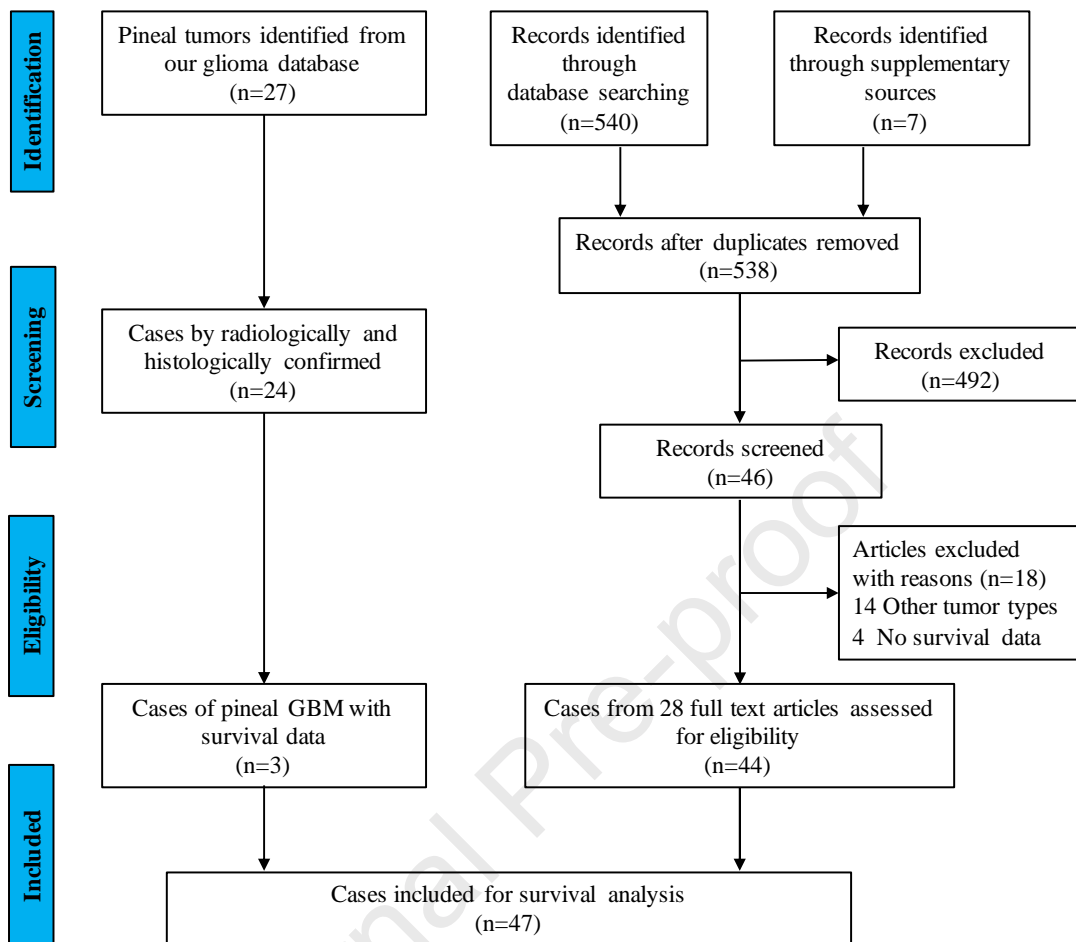


Figure 1. The flow diagram of cases selection and inclusion according to the PRISMA guidelines.

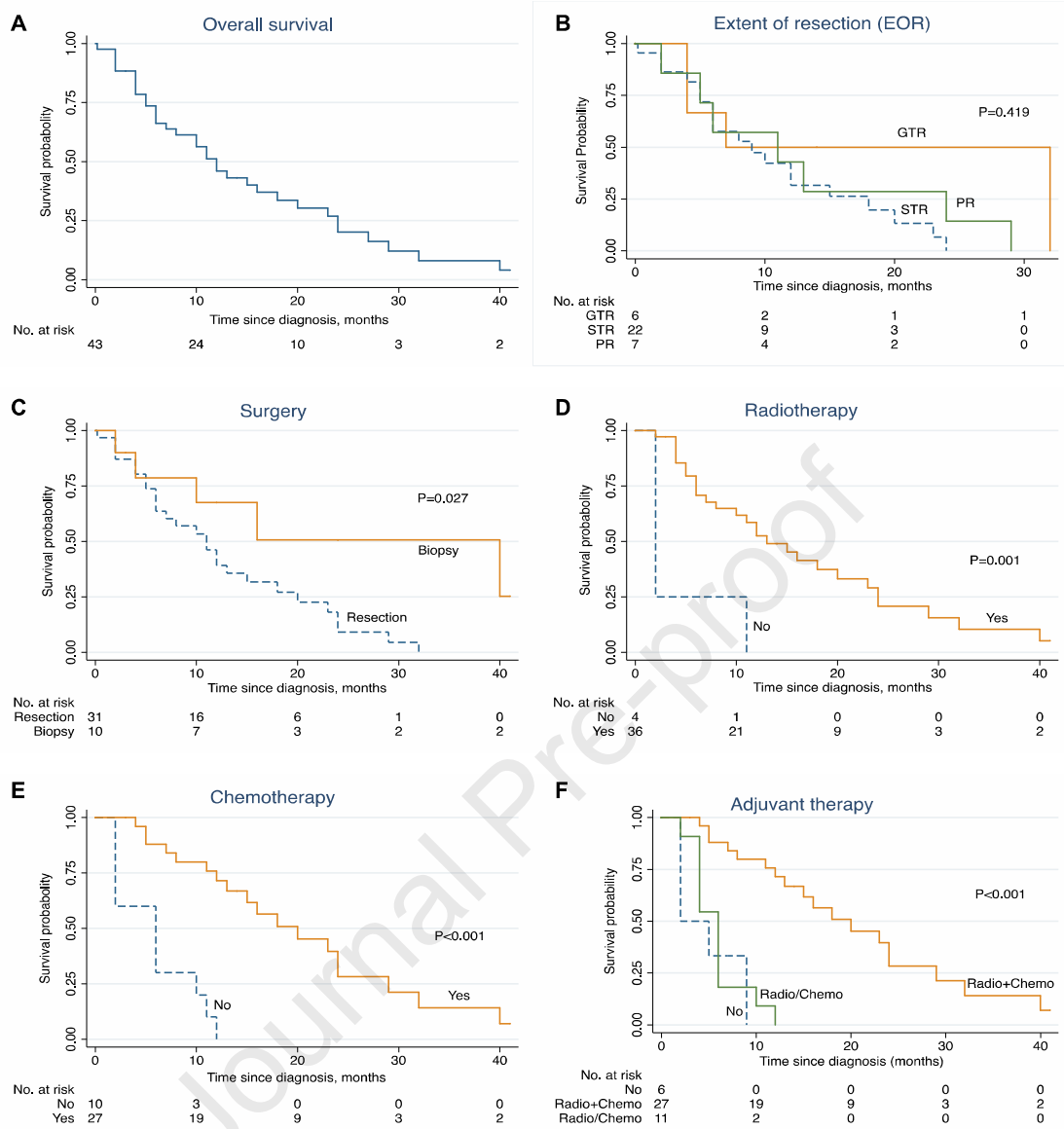
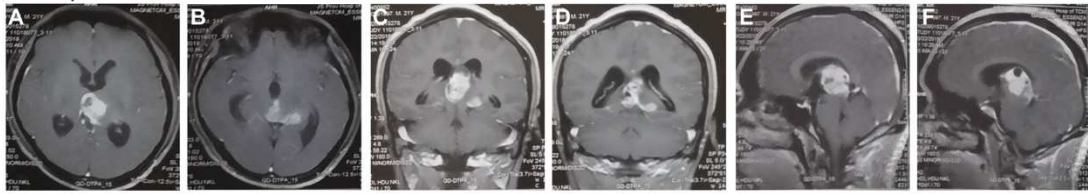
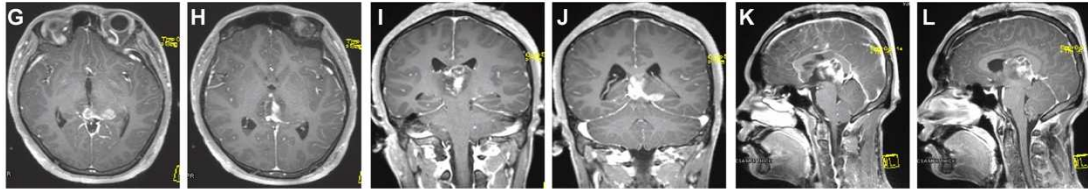


Figure 2. Kaplan-Meier survival curve of prognostic factors on OS in pineal GBM patients.

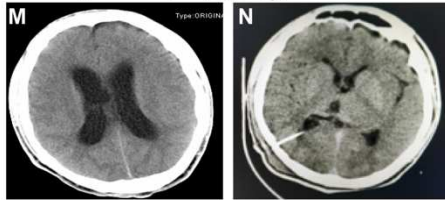
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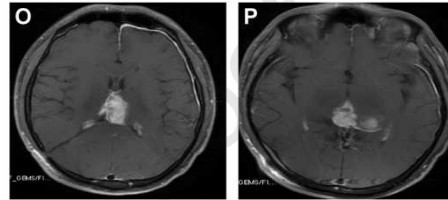
Post-op 48h



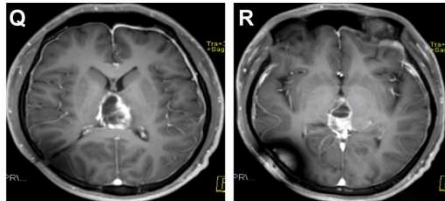
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Post-op 3m



Post-op 7m



Post-op 14m

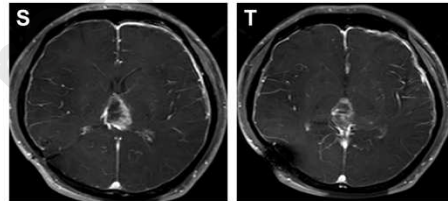


Figure 3. Illustrative case.

Abbreviations and Acronyms

CSF: Cerebral spinal fluid

DMG: Diffuse midline glioma

ETV: Endoscopic third ventriculostomy

EVD: External ventricular drainage

GBM: Glioblastoma

GTR: Gross total resection

MRI: Magnetic resonance imaging

OS: Overall survival

PR: Partial resection

STR: Subtotal resection

VPS: Ventriculoperitoneal shunt

Disclosure

Statement

We certify that this manuscript is a unique submission and is not being considered for publication, in part or in full, with any other source in any medium.

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Conflict of Interests

The authors declare no conflict of interests.

Informed consent

Informed consent was obtained for all included patients in our institution.

Ethical approval

This retrospective study was approved by the Institutional Review Board (IRB) of our hospital.

Credit Author Statement

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Qing Mao: Supervision, Writing- Reviewing and Editing