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Review article

Current status and advances to improving drug delivery in diffuse intrinsic pontine glioma

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ABSTRACT

Diffuse midline glioma (DMG), including tumors diagnosed in the brainstem (diffuse intrinsic pontine glioma - DIPG), is the primary cause of brain tumor-related death in pediatric patients. DIPG is characterized by a median survival of *<*12 months from diagnosis, harboring the worst 5-year survival rate of any cancer. Corticosteroids and radiation are the mainstay of therapy; however, they only provide transient relief from the devastating neurological symptoms. Numerous therapies have been investigated for DIPG, but the majority have been unsuccessful in demonstrating a survival benefit beyond radiation alone. Although many barriers hinder brain drug delivery in DIPG, one of the most significant challenges is the blood-brain barrier (BBB). Therapeutic compounds must possess specific properties to enable efficient passage across the BBB. In brain cancer, the BBB is referred to as the blood-brain tumor barrier (BBTB), where tumors disrupt the structure and function of the BBB, which may provide opportunities for drug delivery. However, the biological characteristics of the brainstem's BBB/BBTB, both under normal physiological conditions and in response to DIPG, are poorly understood, which further complicates treatment. Better characterization of the changes that occur in the BBB/BBTB of DIPG patients is essential, as this informs future treatment strategies. Many novel drug delivery technologies have been investigated to bypass or disrupt the BBB/BBTB, including convection enhanced delivery, focused ultrasound, nanoparticle-mediated delivery, and intranasal delivery, all of which are yet to be clinically established for the treatment of DIPG. Herein, we review what is known about the BBB/BBTB and discuss the current status, limitations, and advances of conventional and novel treatments to improving brain drug delivery in DIPG.

1. Introduction

Brain tumors are one of the most devastating and fatal cancers diagnosed in the pediatric and adult population [\[1\]](#page-25-0). Among pediatric cancers, brain tumors are the leading cause of morbidity and mortality, representing approximately 40% of all cancer-related deaths [[2](#page-25-0),[3](#page-25-0)]. Diffuse intrinsic pontine glioma (DIPG) is a rare type of brain tumor that originates in the pontine region of the brainstem and is the primary cause of brain tumor-related death in children [[4](#page-25-0)]. The majority of children are diagnosed between the ages of 6 and 7 years, with a median survival of *<*12 months from diagnosis [[5](#page-25-0)]. DIPG is considered an epigenetic cancer, characterized by the global loss of histone H3 trimethylation at lysine 27 (H3K27me3), which drives abnormal changes in gene expression and gliomagenesis [[6,7\]](#page-25-0). Although these tumors frequently occur in the pons (i.e., DIPG), lesions may also occur in other midline locations such as the thalamus, midbrain, and spinal cord (i.e., diffuse midline gliomas – DMGs) [\[8\]](#page-25-0). As per the World Health Organization's (WHO) fifth classification of Central Nervous System (CNS) tumors (WHO CNS5), DIPG is a recognized subset of DMGs (formally termed "DMG, H3K27-altered"), given its diffuse nature, midline location, and shared loss of H3K27me3 [[9](#page-25-0)]. However, for the purpose of this review, DIPGs originating in the pons of the brainstem forms the focus, rather than thalamic, midbrain, or spinal DMGs.

Over the past five decades, hundreds of pharmacological therapies

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have been investigated for DIPG. To date, all chemotherapy, targeted, and immunotherapy agents have been unsuccessful in demonstrating a survival benefit beyond radiation therapy $[10,11]$ $[10,11]$ $[10,11]$ $[10,11]$ – except for the small molecule D2 dopamine receptor antagonist and mitochondrial protease (ClpP) agonist, ONC201 (dordaviprone), which recently demonstrated a transient benefit in early phase clinical trials for DIPG/DMG (NCT03416530 and NCT03134131). In the clinic, corticosteroids and radiation are the current mainstay of therapy, yet only provide limited efficacy by granting transient relief of symptoms. Therefore, there is an urgency for improved and novel treatment strategies for the clinical management of DIPG. Although there are numerous treatment barriers hindering the development and progression of effective therapies in DIPG, one of the most significant challenges affecting clinical translation is the blood-brain barrier (BBB) $[8,12]$. The BBB is a selectively permeable membrane that regulates the transport of molecules into the brain and is essential for maintaining brain homeostasis. It protects normal brain function by hindering the passage of most compounds across the physical and enzymatic barrier, including almost 98% of drugs [\[13](#page-25-0)]. Therefore, effective drug delivery into the brain is challenging.

Under certain pathological conditions of disease, the BBB is disrupted, resulting in heterogeneous changes in vasculature, function, and permeability $[14,15]$ $[14,15]$ $[14,15]$ $[14,15]$. In brain cancer, the BBB is referred to as the blood-brain tumor barrier (BBTB), where tumors compromise the integrity and structure of the healthy BBB, which, in some circumstances, may be advantageous for drug delivery. However, among different cancer types, there are functional and structural variations of BBTB disruption, which is a significant challenge to effective brain drug delivery [\[16,17](#page-25-0)]. Compared to other brain cancers, the extent of BBTB disruption in DIPG is thought to be minimal, however recent findings in human DIPG samples have challenged this perspective [[18\]](#page-25-0). Over the last five years, a range of novel drug delivery technologies have been investigated to bypass or disrupt the BBTB and improve drug delivery for DIPG. These technologies have included convection enhanced delivery (CED), focused ultrasound (FUS), nanoparticle-mediated (NP) delivery, and intranasal (IN) delivery – all of which have similarly been investigated for numerous other CNS diseases and brain cancers [[12,19\]](#page-25-0). This paper reviews what is known about the BBB/BBTB and discusses the current status, limitations, and advances of conventional and novel treatments to improving brain drug delivery in DIPG.

2. DIPG pathogenesis and molecular characteristics

DIPG is a rare pediatric high-grade glioma (HGG) that arises from the abnormal transformation of oligodendroglial precursor-like cells (OPClike) in the brain [\[20](#page-25-0),[21\]](#page-25-0). Of all pediatric brainstem tumors, DIPG is the most common, comprising 75% of all cases [[22,23](#page-25-0)]. DIPG tumors are diffuse, infiltrative of neighboring brain structures, and often possess leptomeningeal disease dissemination [\[24](#page-25-0)]. Leptomeningeal disease dissemination refers to DIPG cells that have spread to the tissue layers that cover the brain and the spinal cord, resulting in poorer survival rates for patients [[24,25\]](#page-25-0). As the pontine region is responsible for maintaining a range of essential functions, such as respiration, balance, swallowing, sleep, motor function, sensation, and bladder control, various symptoms manifest as a result of tumor growth [\[26](#page-25-0)]. Classical symptoms of DIPG include cranial nerve deficits (such as facial asymmetry and diplopia), cerebellar dysfunction (such as dysarthria, dysmetria and ataxia), and long tract signs (such as spasticity and abnormal reflexes) [\[22](#page-25-0)]. Diagnosis is based on clinical presentation, imaging (including computerized tomography [CT] and magnetic resonance imaging [MRI]), and biopsy [[27\]](#page-25-0).

Overall, DIPG tumors are considered as WHO grade IV tumors (WHO CNS5) [\[23](#page-25-0)]. However, these tumors possess significant intertumoral and/or intratumoral heterogeneity, varying in their histological composition, genetic signatures, and protein expression [[23,28](#page-25-0)–30]. This heterogeneity is a major obstacle in the treatment of DIPG, as it results in therapeutic variability, inconsistent treatment responses, and, ultimately, drug resistance [[31\]](#page-25-0). However, the most profound and recurrent epigenetic alteration driving gliomagenesis is the H3K27M missense mutation, which is present in over 80% of cases [\[32](#page-25-0)–34]. H3K27M refers to the substitution of a methionine for a lysine at amino acid 27 (K27) in the genes encoding histone H3.1 (*HIST3H1B/C*) or H3.3 (*H3F3A*), representing approximately 12–19% and 65% of cases, respectively [35–[38\]](#page-25-0). These "oncohistones" inhibit the function of polycomb repressive complex 2 (PRC2), resulting in hypomethylation of H3K27 and global changes in gene expression [[39\]](#page-25-0). Overexpression of the enhancer of zeste homolog inhibitory protein (EZHIP) is another significant genomic alteration which is present in approximately 10–15% of cases, harboring what is termed a "H3-wildtype" molecular profile of DIPG [[38\]](#page-25-0). EZHIP inhibits the EZH2 methyltransferase solely responsible for the deposition of trimethylated marks on H3K27, similarly resulting in H3K27 hypomethylation and changes in gene expression that is analogous to tumors harboring H3K27M mutations [\[40](#page-25-0)].

In addition to H3K27M and EZHIP alterations, there are many other "cooperating" mutations that affect the function of both proliferative and tumor suppressor genes. These mutations are highly heterogenous, both intratumorally and intertumorally, and occur to varying extents across different DIPG subtypes [\[38](#page-25-0)]. For example, another co-occurring mutation involved in DIPG pathogenesis arises in the activin A receptor type 1 (*ACVR1*) gene, which results in the upregulation of the bone morphogenic protein (BMP) signaling pathway, promoting tumor growth [\[38](#page-25-0)]. *ACVR1* mutations are present in approximately 20–32% of DIPG cases and often coincide with H3.1K27M histone mutations [\[41](#page-25-0)]. Mutations in tumor suppressor genes (e.g., tumor protein p53 [*TP53*], phosphatase and tensin homolog [*PTEN*], and protein phosphatase, Mg2+/Mn2+ dependent 1D [*PPM1D*]) and transcriptional regulators (e. g., MYC/MYCN proto-oncogene [*MYC/MYCN*] and ATRX chromatin remodeler [*ATRX*]) add further insult to the epigenetic abnormalities that drive cellular transformation of OPC-like cells in the brainstem of patients with DIPG [\[21](#page-25-0),[38,39\]](#page-25-0). Additionally, alterations in proliferative genes, including receptor tyrosine kinases (e.g., platelet-derived growth factor receptor A [*PDGFRA*], vascular endothelial growth factor receptor [*VEGFR*], and epidermal growth factor receptor [*EGFR*]) and cell cycle genes, including cyclin dependent kinases (e.g., 1, 4 and 6 [*CDK1/4/6*]), promote the rapid and uncontrolled proliferation of these OPC-like cells. Commonly, mutations in phosphoinositide 3-kinase (PI3K) genes (e.g., PI3K catalytic subunit alpha [*PIK3CA*] and PI3K regulatory subunit 1 [*PIK3R1*]) and serine/threonine-protein kinase genes (e.g., WEE1 G2 checkpoint kinase [*WEE1*], protein kinase-B [*AKT*], mammalian target of rapamycin [*mTOR*], and polo-like kinase 1 [*PLK1*]) influence downstream oncogenic signaling that underpin drug resistance, genomic instability, tumor survival, and productive metabolism that is univer-sally present in DIPG [\[38,39](#page-25-0),[42\]](#page-25-0). Defects in DNA damage repair pathways (such as mismatch repair, nucleotide excision repair, base excision repair, non-homologous end-joining and homologous recombination) and altered expression of DNA repair enzymes (such as poly [ADPribose] polymerase-1 [PARP1]), have also been identified in DIPG, and similarly contribute to tumor progression and treatment resistance [[41,43](#page-25-0)].

An awareness of the mutations and posttranslational environment in DIPG is important for the development of targeted therapeutics, however a comprehensive explanation of DIPG molecular genetics and epigenetics falls outside the scope of this review paper. Readers are directed to the reviews written by Buczkowicz & Hawkins (2015), Duchatel et al (2019), and Findlay et al (2022) for further details on the mutations and altered signaling pathways driving DIPG pathogenesis relevant to expediting future treatment strategies [[38,39,41](#page-25-0)].

3. Current limitations of treatments in DIPG

When compared to other therapeutic areas, effective drug delivery for brain cancers has one of the poorest success rates, limited by the potential for adverse effects (i.e., patients may be unable to tolerate the required dose and schedule of the cytotoxic agent), unique changes induced by tumor pathogenesis (i.e., irregular tumoral vasculature, changes in tissue stiffness, cerebral oedema, and increased interstitial pressure affecting drug-tumor penetration), and importantly, the BBB [[12,14,22](#page-25-0),[44\]](#page-25-0). To enable passage across each layer of the BBB from the systemic circulation*,* drugs must possess certain physicochemical properties to traverse the specialized endothelial structure, evade efflux transporters, and bypass enzymatic degradation [\[22](#page-25-0)]. Therefore, the ability of drugs to reach their site of action in the brain, and do so at a concentration and for a duration that is tumoricidal, is greatly restricted by the BBB [\[12](#page-25-0)].

Additional factors influencing effective brain drug delivery include the route of administration, perfusion rate to the tumor, the availability of the receptor or target at the disease site, and the sensitivity of the tumor to the drug [\[22](#page-25-0)]*.* In DIPG, effective drug delivery is further confounded by: (i) the diffusely infiltrative growth pattern and location within critical brain regions, which requires highly functionalized drug delivery systems to penetrate tumor tissue and selectively target diseased cells; (ii) the potential for leptomeningeal disease dissemination, which can alter the molecular characteristics of the tumor and the ability of therapeutic agents to permeate the entire tumor site; (iii) the lack of tissue available, which has impaired the investigation of tumor biology and generation of suitable experimental models; and (iv) the intrinsic intertumoral and intratumoral heterogeneity, which requires a combinatorial therapeutic approach to target multiple disease pathways and evade treatment resistance [\[12](#page-25-0),[22,24,](#page-25-0)[45](#page-26-0)–47]. Moreover, many treatments previously trialed for DIPG have been adapted from regimens implemented for adult and other pediatric HGGs, entrenched by the assumption that each disease shared similar pathogenesis, molecular profiles, and cellular origin [\[48](#page-26-0)]. For example, temozolomide, which is one of the few BBB-penetrant chemotherapy agents, was investigated for the treatment of DIPG based on its prior therapeutic efficacy in glioblastoma [\[49](#page-26-0),[50\]](#page-26-0). However, despite its ability to extend survival for glioblastoma patients, these results failed to translate for patients with DIPG [\[49](#page-26-0),[50\]](#page-26-0). Studies have since confirmed differences in DIPG gene expression and DNA copy number compared to other pediatric and adult HGGs, confirming DIPG to be distinct both biologically and in its developmental origin, requiring adapted treatment regimens to be abandoned and re-focused towards molecular pathways exclusive to DIPG [51–[54\]](#page-26-0).

3.1. Current standard of clinical care for DIPG

The current standard of clinical care for DIPG includes corticosteroids and radiation therapy. Corticosteroids (i.e., dexamethasone) are used to minimize peritumoral edema, control neurological symptoms, and improve quality of life [\[22](#page-25-0)]. Dexamethasone is the glucocorticoid of choice for brain diseases due to its superior CNS penetration, longer elimination half-life, and minimal mineralocorticoid activity [\[55](#page-26-0)]. There is a wide variation in dexamethasone dosing for DIPG, ranging from 0.15 mg/kg/day to 2.0 mg/kg/day, and is most commonly administered via the oral route, with seldom administration via the intravenous route [[56\]](#page-26-0). However, dexamethasone is palliative, having no effect on overall survival, and its use is limited by significant side effects, including immunosuppression, mood disturbance, myopathy, peripheral oedema, growth retardation, hyperphagia, and gastrointestinal bleeding [\[55](#page-26-0)]. Alternatively, bevacizumab, an intravenously administered anti-VEGF monoclonal antibody, has been suggested to improve quality of life and reduce the need for steroid use in DIPG patients [[57\]](#page-26-0). Although advantageous for mitigating steroid-induced side effects, the role of bevacizumab in the management of DIPG remains unclear, requiring further research into its steroid sparing ability for DIPG patients [\[57](#page-26-0)].

Radiation therapy is similarly palliative, however, is the only approved therapy that somewhat alters the clinical course of DIPG, prolonging survival by approximately 3 months [\[58](#page-26-0)]. The standard treatment dose is 180–200 cGy fractions administered five days per week, up to a total dose of 54 to 60 Gy, targeting the tumor section and 1–2 cm of adjoining brainstem tissue [\[22\]](#page-25-0). Although tumor shrinkage can be significant, the response is usually temporary and can result in radiation necrosis, which is a common side effect causing neurological symptoms such as headache, drowsiness, ataxia, nausea, vomiting and cranial neuropathies [[59\]](#page-26-0). Although approximately 75% of patients will demonstrate some improvement following radiation and corticosteroid therapy, neither options are curable and are significantly limited by side effects [[22\]](#page-25-0).

Owing to the delicate location and growth pattern of DIPG, tumor resection is not a recommended treatment option for children with DIPG [[35\]](#page-25-0). As a consequence, there has been a lack of tissue available to molecularly characterize tumors, develop targeted therapies, and generate representative preclinical models [[60\]](#page-26-0). However, advances in surgical biopsy procedures have allowed for the excision of tissue from brainstem tumors with an acceptable level of morbidity, which has improved the availability of tissue for research and analysis [\[61](#page-26-0)]. The implementation of tissue biopsies for DIPG patients, coupled with the progression of molecular profiling techniques, has enabled clinicians and researchers to better characterize tumors, identify new treatment targets, and implement individualized therapy against the expressed molecular subtype [\[35](#page-25-0),[62,63\]](#page-26-0). For example, a multicenter clinical trial conducted by Kline et al (2022) collected biopsy tissue for mRNA and whole-exome sequencing to guide individualized treatment strategies for patients with newly diagnosed DIPG (NCT02274987) [\[64](#page-26-0)]. This precision medicine approach enabled patients to receive treatment based on the molecular profile of their tumor, and although the trial was unsuccessful in producing a clinical benefit, it enabled the identification of clinically relevant biomarkers of DIPG tumors, supporting future therapeutic strategies [[64\]](#page-26-0). However, despite the advances in tumor profiling and surgical techniques, biopsies still carry rare but serious risks, such as hemorrhage, oedema, infection, and seizures [[65](#page-26-0)].

3.2. Pharmacological therapies for DIPG over the last 5 years

Numerous chemotherapy, targeted, and immunotherapy agents have been investigated in both experimental studies and clinical trials for the treatment of children with DIPG [\(Tables 1](#page-3-0)–4). Treatment strategies have included single-agent and multidrug regimens, in combination with and without standard of care radiation therapy [\[22](#page-25-0)[,47](#page-26-0),[59](#page-26-0),[66\]](#page-26-0). More recently, DIPG therapies have gravitated away from traditional chemotherapy agents and towards targeted and immunotherapy approaches, in both experimental and clinical trials, as shown in [Tables 1](#page-3-0)–4. Traditional chemotherapies are relatively nonselective agents that typically impair DNA synthesis and mitosis, with mechanisms of action unable to discriminate between diseased DIPG cells and healthy tissue [[67\]](#page-26-0). Although these agents are often effective in inducing anti-tumor effects and apoptosis, off-target toxicity in healthy cells limits their application in clinical practice [\[68](#page-26-0)]. Targeted therapies differ from traditional chemotherapy agents as they specifically target proteins that control the proliferation, progression, and survival of DIPG cells, such as growth factor receptors, tyrosine kinase receptors, metabolic enzymes, DNA repair enzymes, and signaling molecules, thereby minimizing off-target toxicity and improving on-target efficacy [[35,](#page-25-0)[67](#page-26-0)]. Targeted therapies also include those directed against epigenetic pathways involved in the progression and survival of DIPG, acting on proteins involved in histone acetylation, histone methylation, and DNA methylation [\[35](#page-25-0),[69\]](#page-26-0). Unlike both traditional chemotherapy and targeted therapies, immunotherapy aims to enhance the intrinsic defenses of the immune system, primarily harnessing T-cells to induce potent anti-tumor effects [[70\]](#page-26-0). There are various types of immunotherapy agents that have been investigated for DIPG, including chimeric antigen receptor (CAR) T-cell therapy, immune checkpoint inhibitors, adoptive cell transfer, oncolytic viruses, and vaccines [[71\]](#page-26-0). Pharmacological

Table 1

Pharmacological therapies in experimental *in vivo* efficacy studies for DIPG over the last five years.

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Table 1 (*continued*)

Treatment Class Treatment Mechanism Route Animal Study Description Major Findings Reference SU-DIPG-VI cells into the fourth ventricle/pons. prolonged survival in both mouse models compared to monotherapy. ONC201 German sourced ONC201 Targeted therapy D2 dopamine receptor antagonist and mitochondrial caseinolytic protease P agonist that impairs oxidative phosphorylation to induce cancer cell apoptosis (ONC201) Active angular isomer of ONC201 (German sourced ONC201) PO Mouse Compared the efficacy of ONC201 with German sourced ONC201 using PDX models injected with SU-DIPG-VI or SU-DIPG-XIII-P* cells into the fourth ventricle/pons. -ONC201 and German sourced ONC201 were equivalent in their ability to significantly prolong survival. [[75\]](#page-26-0) OKlahoma Nitrone-007 LDN-193189 Targeted therapy Inhibits the expression of HIF-1 and VEGFR2 (OKlahoma Nitrone-007) Activin receptor-like kinase inhibitor (LDN-193189) PO Mouse Examined the efficacy of OKlahoma Nitrone-007 compared with LDN-193189 using PDX mouse models injected with HSJD-DIPG-007 cells into the fourth ventricle. -Both OKlahoma Nitrone-007 and LDN-193189 significantly reduced tumor volumes in the PDX models, with no significant difference found between the two treatments. [[93\]](#page-26-0) Everolimus Dasatinib Targeted therapy mTOR inhibitor (everolimus) Multi-kinase inhibitor, including PDGFRA (dasatinib) PO Mouse Evaluated the efficacy of everolimus and dasatinib, as monotherapies and combination therapy, in mice harboring intrauterine electroporation generated high grade gliomas (mutant TP53, mutant PDGFRA, and H3K27M). -Everolimus and dasatinib combination therapy significantly improved median survival compared to monotherapy. [[82\]](#page-26-0) E6201 Targeted therapy Dual ACVR1 and mitogenactivated extracellular signalregulated kinase 1/2 inhibitor IP Mouse Investigated the efficacy of E6201 in a brainstem mouse model xenografted with SU-DIPG-XXXVI or HSJD-DIPG-007 cells. -E6201 significantly prolonged survival in both mouse models. [[89\]](#page-26-0) Atuveciclib AZD4573 Targeted therapy CDK9 inhibitors PO IP Mouse Evaluated the efficacy of PO atuveciclib and IP AZD4573 as monotherapies in pontine xenograft mouse models injected with BT245 cells. -Atuveciclib demonstrated a modest survival benefit in the PDX model. -AZD4573 demonstrated a greater overall survival benefit in the PDX model compared to atuveciclib. [[278](#page-30-0)] Dichloroacetate Metformin Targeted therapy Pyruvate dehydrogenase kinase inhibitor (dichloroacetate) Biguanide that decreases hepatic glucose production and increases peripheral glucose utilization. Mitochondrial complex I inhibitor and AMPK activator (metformin) PO Mouse Evaluated the efficacy of dichloroacetate and metformin, as monotherapies, dual therapy, and triple therapy in combination with radiation, in pontine xenograft models injected with HSJD-DIPG-007 cells. -Dichloroacetate, metformin, and radiation triple therapy significantly prolonged survival, and demonstrated the longest survival benefit compared to all other treatment arms. [[279](#page-30-0)] Panobinostat BGB324 Targeted therapy Histone deacetylase inhibitor (panobinostat) Inhibitor of the AXL receptor tyrosine kinase (BGB324) PO IP Mouse Evaluated the efficacy of PO BGB324 and two IP formulations of panobinostat (A and B), as monotherapies and in combination, in a pontine PDX model injected with HSJD-DIPG-07 cells or a DIPG murine UC-8D2 bearing allograft model. -Combination BGB324 and panobinostat therapy significantly delayed tumor growth in both animal models. -Combination BGB324 and panobinostat A therapy in the HSJD-DIPG-07 animal model was poorly tolerated. -Combination BGB324 and panobinostat B therapy was better tolerated and demonstrated a significant increase in survival in the UC-8D2 model. [[280](#page-30-0)] LDN-193189 LDN-214117 Targeted therapy Activin receptor-like kinase inhibitors PO Mouse Investigated the efficacy of LDN-193189 and LDN-214117 as monotherapies using xenograft models injected with HSJD-DIPG-007 or HSJD-GBM-001 cells into the fourth ventricle. -No survival benefit was observed for either compound in the HSJD-GBM-001 model. -Both LDN-193189 and LDN-214117 significantly prolonged survival and decreased tumor cellularity in the HSJD-DIPG-007 model. [[94\]](#page-26-0) Palbociclib Erlotinib Targeted therapy CDK4/6 inhibitor (palbociclib) PO Mouse Investigated the efficacy of palbociclib, as monotherapy and in combination with erlotinib, in -Palbociclib monotherapy induced tumor shrinkage in all PDX models [[79\]](#page-26-0)

Table 1 (*continued*)

Table 1 (*continued*)

Abbreviations: Activin A receptor type 1 (ACVR1); Adenosine monophosphate–activated protein kinase (AMPK); By mouth (PO); Chimeric antigen receptor (CAR); Cyclin-dependent kinase (CDK); Difluoromethylornithine (DFMO); Diffuse intrinsic pontine glioma (DIPG); Enhancer of zeste homolog 2 (EZH2); Epidermal growth factor receptor (EGFR); Disialoganglioside GD2 (GD2); Human adipose tissue-derived mesenchymal stem cells (hAT-MSGs); Human adipose tissue-derived mesenchymal stem cells expressing tumor necrosis factor-related apoptosis-inducing ligand (hAT-MSC.sTRAIL); Human epidermal growth factor receptor 2 (HER2); Hypoxia inducible factor 1 (HIF-1); Inhibitor of DNA binding 1 (ID1); Intra-cranial (IC); Intracerebroventricular (ICV); Intraperitoneal (IP); Intra-tumoral (IT); Intravenous (IV); Mammalian target of rapamycin (mTOR); Natural killer cells (NK); Patient-derived xenograft (PDX); Patient derived growth factor beta (PDGF-B); Phosphatidylinositol-3 kinase (PI3K); Platelet derived growth factor receptor alpha (PDGFRA); Protein kinase-B (AKT); Protein kinase-Cβ (PKCβ); Reactive oxygen species (ROS); Rearranged during transfection (RET); Replication competent avian sarcoma-leucosis (RCAS); Signal transducer and activator of transcription 3 (STAT3); Subcutaneous (SC); Tumor necrosis factor ligand superfamily member 9 (4-1BBL); Tumor protein p53 (TP53); Vascular endothelial growth factor (VEGF); Vascular endothelial growth factor receptor (VEGFR); Vascular endothelial growth factor receptor 2 (VEGFR2).

agents investigated in experimental studies and clinical trials over the last five years for DIPG are summarized in [Table 1](#page-3-0) and [Table 2](#page-9-0), respectively.

To better represent the human disease state and evaluate the biological barriers affecting brain drug delivery in DIPG, [Table 1](#page-3-0) was restricted to *in vivo* studies and orthotopic experimental models. Despite the lack of effective treatments clinically available for DIPG, the majority of experimental studies reported statistically significant improvements in tumor regression and/or overall survival when compared with controls, monotherapies, and/or standard of care radiation therapy, demonstrating a range of pharmacological treatments, particularly targeted and immunotherapy agents, to possess therapeutic efficacy in DIPG. A study conducted by Wongthida et al (2020), who evaluated the efficacy of oncolytic adenoviruses targeting the cluster of differentiation (CD)40 ligand, even demonstrated a cure rate of at least 50% in murine models [[72\]](#page-26-0). Many experimental pharmacological agents [\(Table 1](#page-3-0)) have progressed to clinical trials ([Table 2](#page-9-0)), demonstrating promise in the development of new therapies for DIPG [73–[80\]](#page-26-0). However, there are a range of factors which may underpin the lack of clinical success yielded by experimental therapies, impacting the overall translatability of preclinical data. These factors include the: (i) type of animal model; (ii) tumor location; (iii) type of cells utilized for tumor generation; (iv) route of administration; (v) extent of survival benefit; (vi) efficacy in combination with standard of care radiation therapy and other pharmacological therapies; and (vii) treatment safety and tolerability.

Firstly, the type of animal model should be considered when interpreting the translatability of study results. For example, generating orthotopic tumors by injecting tumor cells or genetically engineered vectors intracranially may induce mechanical damage and inflammation at the BBB, potentially resulting in increased permeability and enhanced efficacy of the therapeutic agent *in vivo*, which may not translate in clinical practice. However, tumor generation by *in utero* electroporation, demonstrated in the studies conducted by Messinger et al (2023) and Miklja et al (2020), has the potential to generate spontaneous orthotopic DIPG tumors in murine models without disrupting the BBB, which may mitigate the limitations imposed by injecting tumor cells or vectors intracranially $[81-83]$ $[81-83]$. Another important consideration when evaluating the animal model, is the location in which the tumor is generated. Ideally, for DIPG experimental studies, orthotopic tumors should be established in the pons, or at a minimum, in the brainstem, to recapitulate human DIPG characteristics [\[84](#page-26-0)]. Although the majority of studies generated tumors in the pons, a range of tumor locations were observed in the experimental studies, including brainstem [[72,76,81,85](#page-26-0)–89], midline region [[90\]](#page-26-0), midbrain [[91\]](#page-26-0), fourth ventricle [92–[95\]](#page-26-0), and unknown tumor locations [\[73,82,96](#page-26-0)]. Moreover, all studies included in [Tables 1 and 3](#page-3-0), except for Louis et al (2018) and Power et al (2023),

exclusively utilized DIPG murine models [\[97,98](#page-26-0)]. Patient-derived xenograft and genetically engineered mouse models of DIPG/DMG are the current gold standard for evaluating preclinical therapies, as they are able to recapitulate the molecular and histopathological features of the human disease [[84,](#page-26-0)[99\]](#page-27-0). Additionally, mice are one of the most frequently used animals to model the BBB, sharing many biological features to that of the human BBB [[100](#page-27-0)]. However, interspecies differences between humans and mice cannot be denied, such as differences in their anatomical size, which may impact the translation of experimental data to the clinic [[101](#page-27-0)]. A recent commentary by Koschmann et al (2024) described the future possibility of generating DIPG/DMG models in larger species, such as rats (which were utilized by Louis et al [2018] and Power et al [2023]), swine, or ferrets, in order to better recapitulate the physiology of humans, and hopefully, improve the translation of preclinical data [[97,98,](#page-26-0)[102\]](#page-27-0).

Significant variability in the type, origin, and aggressiveness of cells used for tumor generation is also apparent across the DIPG studies. For example, the U87 cell line, xenografted by Vitanza et al (2023), was originally derived from a patient with glioblastoma, which differs from DIPG in its pathogenesis, molecular profile, and cellular origin [\[51](#page-26-0),[103](#page-27-0)]. Wongthida et al (2020) implanted murine derived cells, which were derived from diseases dissimilar to DIPG, with GL261 originating from a glioblastoma model and CT2A originating from a subcutaneous, nonmetastatic glioma model [[72\]](#page-26-0). XFM and NP53 cell lines allografted in the studies conducted by Laspidea et al (2022) and Martinez-Velez et al (2019) are also of murine origin, however, were derived from a genetically modified model harboring a murine brainstem glioma [[104](#page-27-0),[105](#page-27-0)]. Where possible, cell lines used to generate DIPG tumors for *in vivo* experimental studies should originate from the same disease and be derived from human cells in order to support clinical translation [[106](#page-27-0)].

However, xenografting human DIPG cells requires an immunocompromised model in order to prevent graft rejection, which is problematic when investigating the efficacy and safety of immunotherapy agents [[107](#page-27-0)]. Ideally, immunodeficient animals should be humanized prior to engraftment, either with human peripheral blood mononuclear cells or $CD34⁺$ immune cells, to facilitate more representative immune responses in the host, allowing for better interpretations of experimental immunotherapy interventions [\[108\]](#page-27-0). This should be noted when observing the results obtained by the immunotherapy studies shown in [Table 1](#page-3-0), as the majority of studies used immunodeficient models without prior humanization [\[73,80](#page-26-0),[96,](#page-26-0)[103](#page-27-0),[109](#page-27-0)–113]. However, more recently, a study conducted by du Chatinier (2022) et al generated immunocompetent DMG mouse models by orthotopically implanting primary murine tumor cells, that were generated by brainstem-targeted intrauterine electroporation, into syngeneic mice. These models were able to recapitulate the growth pattern, morphology, and immunologic

Table 2

Pharmacological therapies in clinical trials for DIPG over the last five years (Ref: [clinicaltrials.gov\)](http://clinicaltrials.gov).

Table 2 (*continued*)

Table 2 (*continued*) Treatment Class Treatment Mechanism Study Phase Status Study Size Age Study Description Clinical Trial Identifier Year with recurrent/refractory primary CNS tumors, including DIPG. Palbociclib Targeted therapy CDK4/6 inhibitor 1/2 Active, not recruiting 128 2–20 years To evaluate the safety, maximum tolerated dose, and efficacy of PO palbociclib given in combination with a range of chemotherapy agents in patients with recurrent/ refractory solid tumors, including DIPG. NCT03709680 2018 CLR 131 Targeted therapy Radiolabelled therapeutic agent that exploits a tumorspecific phospholipid uptake mechanism, inducing antitumor effects through the release of iodine-131 1 Active, not recruiting 30 2–25 years To evaluate the safety and efficacy of IV CLR 131 for patients with lymphoma or relapsed/refractory tumors, including DIPG. NCT03478462 2018 APX005M Targeted Cluster of differentiation (CD)40 agonist that inhibits tumor growth and triggers apoptosis 1 Active, not recruiting 32 1–21 years To evaluate the safety and maximum tolerated dose of APX005M for patients with newly diagnosed DIPG or recurrent/refractory CNS tumors. NCT03389802 2018 SC-CAR4BRAIN CAR T-cell therapy Immunotherapy Administration of reengineered autologous Tcells to mediate antitumor activity against a combination of B7-H3, EGFR806, HER2, and IL13zetakine expressing tumor cells 1 Recruiting 72 1–26 years To evaluate the maximum tolerated dose, safety, and feasibility of IV SC-CAR4BRAIN CAR T-cell therapy for patients with DIPG, DMG, or recurrent/ refractory CNS tumors. NCT05768880 2023 iC9-GD2-CAR Tcell therapy Immunotherapy Administration of iC9 genetically modified autologous T-cells to mediate antitumor activity against disialoganglioside GD2-expressing glioma cells 1 Recruiting 54 6 months – 30 years To evaluate the efficacy and safety of IV iC9-GD2-CAR Tcell therapy for patients with relapsed/refractory CNS tumors, including DIPG. NCT05298995 2022 Ad-TD-nsIL12 Immunotherapy Oncolytic virus 1 Recruiting 18 1-18 years To evaluate the safety, tolerability, and side effects of intra-tumoral Ad-TDnsIL12 in patients with primary DIPG. NCT05717712 2023 Ad-TD-nsIL12 Immunotherapy Oncolytic virus 1 Recruiting 18 1-18 years To evaluate the safety, tolerability, and side effects of intra-tumoral Ad-TDnsIL12 in patients with progressive DIPG. NCT05717699 2023 AloCELYVIR Immunotherapy Oncolytic virus 1 / 2 Recruiting 12 1–21 years To evaluate the efficacy and safety of AloCELYVIR in combination with radiation therapy for patients with newly diagnosed DIPG, or as monotherapy for patients with relapsed/progressive medulloblastoma. NCT04758533 2021 TTRNA-DCs TTRNA-xALT Immunotherapy Adoptive cell-based therapies derived from autologous dendritic cells (TTRNA-DCs) and autologous T-cells (TTRNA-xALT) with immunostimulatory and anti-tumor effects 1 Recruiting 24 1–30 years To evaluate the maximum tolerated dose, safety, and feasibility of TTRNA-DCs and TTRNA-xALT immunotherapy products for patients with newly diagnosed DIPG or recurrent neuroblastoma. NCT04837547 2021 SurVaxM vaccine Immunotherapy Anti-tumor vaccine targeting the survivin protein, which is highly expressed in certain pediatric malignancies 1 Recruiting 35 1–21 years To evaluate the tolerability, safety, and effects of SC SurVaxM for patients with newly diagnosed DIPG and other CNS malignancies. NCT04978727 2021 Histone H3.3- K27M vaccine Immunotherapy Activates neoantigen specific T-cells and triggers cytotoxic T-cell immune responses to eradicate H3.3- K27M-expressing DIPG cells 1 Recruiting 30 ≥ 5 years To determine the safety and efficacy of the SC histone H3.3-K27M neoantigen vaccine in combination with standard therapy for patients with newly diagnosed DIPG. NCT04749641 2021

Table 2 (*continued*)

Table 2 (*continued*)

Abbreviations: Blood-brain barrier (BBB); B7 homolog 3 protein (B7-H3); By mouth (PO); Chimeric antigen receptor (CAR); Cyclin-dependent kinase (CDK); Cytomegalovirus (CMV); Central nervous system (CNS); Cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4); Dendritic cells (DCs); Diffuse intrinsic pontine glioma (DIPG); Diffuse midline glioma (DMG); Epidermal growth factor receptor (EGFR); Disialoganglioside GD2 (GD2); Glycogen synthase kinase-3 beta (GSK-3β); Human epidermal growth factor receptor 2 (HER2); Indoleamine 2,3-dioxygenase (IDO); Intracerebroventricular (ICV); Interleukin-2 (IL-2); Interleukin-13 (IL-13); Inducible caspase 9 (iC9); Intravenous (IV); Mammalian target of rapamycin (mTOR); Mesenchymal epithelial transition factor (c-MET); Neurotrophic tyrosine receptor kinase (NTRK); Nuclear factor kappa-light-chain-enhancer of activated B cells (NF-κB); Phosphatidylinositol-3 kinase (PI3K); Platelet derived growth factor receptor alpha (PDGFRA); Programmed cell death 1 protein (PD-1); Protein kinase-B (AKT); Rearranged during transfection (RET); Subcutaneous (SC); Total tumor RNA (TTRNA); Tumor protein p53 (TP53); Vascular endothelial growth factor (VEGF); Vascular endothelial growth factor receptor (VEGFR).

characteristics of human DMG, which may help to mitigate the issues surrounding preclinical immunotherapy studies in the future [\[114\]](#page-27-0).

Another consideration that likely impacts therapeutic efficacy *in vivo* and clinical translation are the timepoints in which cell lines were originally derived from DIPG patients. In comparison to biopsy-derived tissue, autopsy-derived models are likely to be more aggressive and have prior exposure to treatment, which may alter the epigenetic and genetic landscape of the DIPG model [\[84](#page-26-0)]. For example, SU-DIPG-IV, SU-DIPG-VI, and JHH-DIPGI represent autopsy-derived cells, all of which have had prior radiation and/or pharmacological exposure [\[20](#page-25-0)].

Various routes of drug administration were employed across the studies included in [Table 1](#page-3-0), ranging from relatively non-invasive routes, such as oral, intranasal, intravenous, intraperitoneal, and subcutaneous, to invasive routes, including intratumoral, intracerebral, and intracerebroventricular [[115](#page-27-0)]. Although intratumoral, intracerebral, and intracerebroventricular routes of administration all share the advantage of bypassing the BBB to facilitate direct drug delivery, they are significantly limited by their invasiveness, complex injection technique, and morbidity risks [\[115\]](#page-27-0). Non-invasive routes of drug administration, such as oral, intravenous, and intraperitoneal, are also hindered by off-target effects, exposure to systemic degradation pathways, and the BBB, which greatly restricts the ability of drugs to penetrate the tumor site [[115](#page-27-0),[116](#page-27-0)]. Moreover, the safety and tolerability of interventions must also be assessed in order to determine their clinical translation, which is yet to be completed for many studies included in [Table 1.](#page-3-0)

In regard to efficacy findings, pharmacological agents were mostly investigated in single cell line generated tumor models, and although this is likely due to feasibility and financial reasons, it may not truly reflect the heterogeneity of DIPG. Moreover, efficacy of investigated agents should also be studied in combination therapy, either with current standard of care radiation therapy and/or other pharmacological agents, given the diffusely infiltrative, heterogeneous, and resistive nature of the disease [[12,22](#page-25-0)[,46](#page-26-0),[47](#page-26-0)]. Overall, combination pharmacological therapy was investigated by \sim 40% of the experimental studies included in [Table 1.](#page-3-0) Although survival was extended in the majority of studies, a lack of curative approaches emerged from the data. This is likely due to the inherent intractability of the disease, compounded by tumor

resistance mechanisms, tumor heterogeneity, and importantly, lack of drug penetration across the BBB [\[95,98](#page-26-0),117–[120](#page-27-0)].

4. Biological barriers in the brain to effective drug delivery

The three main barriers formed between the cerebrovasculature and the brain parenchyma influencing brain drug delivery include the bloodbrain barrier (BBB), the blood-cerebrospinal fluid barrier (BCSFB), and the arachnoid barrier ([Fig. 1](#page-18-0)) [\[121,122](#page-27-0)]. The BBB forms a structural and enzymatic transport barrier between the cerebral capillaries and the brain parenchyma. A range of transporters and metabolizing enzymes are expressed by the BBB, functioning to protect the brain from neurotoxins, supply the brain with essential nutrients, and regulate drug, ion, neurotransmitter, macromolecule transport [[122](#page-27-0)]. Of the three barriers separating the vasculature from the brain tissue, the BBB is the main regulator of blood and CNS material exchange, and as a result, the BBB forms the focus of this review. However, the BCSFB and arachnoid barrier also influence brain drug delivery and should be considered when designing brain drug delivery systems.

The BCSFB, also known as the second barrier, functions as both a physical barrier and biochemical barrier between the systemic circulation and cerebrospinal fluid (CSF) [\[123\]](#page-27-0). This barrier is established by the choroid plexus, which is a highly vascularized network of fenestrated and thin-walled capillaries located in the lateral, third, and fourth ventricles of the brain, as depicted in [Fig. 1](#page-18-0)A. CSF is primarily produced by the choroid plexus and resides in the ventricular compartments and subarachnoid spaces. Conventionally, CSF in the brain descends via a network of flow tracts from the choroid plexus through the ventricular system to the subarachnoid spaces, eventually reabsorbing into the peripheral bloodstream or lymphatic system [[124](#page-27-0)]. The choroid plexus is essential for maintaining CNS homeostasis, as it regulates the exchange of ions, molecules, metabolites, and drugs from the systemic circulation into the CSF, which in turn influences the composition of brain interstitial fluid [[123,125,126\]](#page-27-0). However, compared to the BBB, the BCSFB is more permeable to substance transport given the fenestrated nature of the epithelial cells, meaning that drug entry into the CSF from the blood (i.e., across the BCSFB) does not mirror drug permeation across the BBB

Table 3

Novel drug delivery technologies in experimental *in vivo* studies for DIPG over the last five years.

(*continued on next page*)

when loaded into the STICK nanoparticle formulation, it prolonged survival and produced 2 long-term survivors.

Table 3 (*continued*) Treatment Class Treatment Mechanism Technology Animal Study Description Major Findings Reference SU-DIPG-XIII, or SU-DIPG-XIII-P* cells. WP1066 Targeted therapy STAT3 pathway inhibitor Intracerebral Osmotic Pump Mouse Evaluated the efficacy of WP1066 administered intratumorally with an ALZET**®** osmotic pump using a pontine PDX model injected with DIPG-XIII cells. -WP1066 administered via the Alzet osmotic pump significantly prolonged survival relative to the control in the PDX mouse model. [[118](#page-27-0)]

Abbreviations: By mouth (PO); Convection enhanced delivery (CED); Diffuse intrinsic pontine glioma (DIPG); Enhancer of zeste homolog 2 (EZH2); Focused ultrasound (FUS); Intranasal delivery (IN); Intraperitoneal (IP); Intravenous (IV); Nanoparticle (NP); Patient derived growth factor beta (PDGF-B); Patient-derived xenograft (PDX); P-glycoprotein (P-gp); Phosphatidylinositol-3 kinase (PI3K); Platelet derived growth factor receptor alpha (PDGFRA); Poly (ADP-ribose) polymerase-1 (PARP1); Protein phosphatase magnesium-dependent 1 delta (PPM1D); Signal transducer and activator of transcription 3 (STAT3); Small interfering RNA (siRNA).

[[126](#page-27-0)]. When therapeutic agents enter the CSF, either from the systemic circulation (i.e., across the BCSFB or arachnoid barrier) or via direct intrathecal injection, they must then diffuse across the ventricular ependyma or pia mater and glia limitans to enter the brain parenchyma, all of which are significantly more permeable to the passage of substances than the BBB [\[127,128](#page-27-0)]. However, once present in the CSF compartment, drugs are rapidly removed by convection and bulk flow through CSF flow tracts, which is further compounded by the slow diffusion rate of drugs from the CSF into the CNS interstitial space [[126](#page-27-0),[129](#page-27-0)]. This is an important consideration when administering drugs directly into the CSF via the intrathecal route, as although it may be successful in bypassing the BBB, rapid CSF clearance may significantly hinder therapeutic efficacy. The presence of efflux pumps and enzymes at this interface also functions to clear toxic substances and drugs that have been taken up by epithelium at the BCSFB [[130](#page-27-0)]. Drug efflux pumps present in the choroid plexus include P-glycoprotein (P-gp), breast cancer resistance protein (BCRP), and multidrug resistant proteins (MRPs) 1, 2, 4 and 5 $[131–136]$ $[131–136]$. Numerous drug metabolizing enzymes are similarly expressed at the choroid plexus, including monoamine oxidases (MOAs), UDP-glucuronosyltransferases (UGTs), sulfotransferases (SULTs), epoxide hydrolases (EHs), glutathione Stransferases (GSTs), monooxygenases, and cytochrome P450 (CYP) enzymes [\[133](#page-27-0)–135[,137,138](#page-27-0)].

Finally, the arachnoid barrier is composed of a multi-layered avascular epithelium that forms a barrier between the CSF-filled subarachnoid space and the fenestrated capillaries located in the dura mater ([Fig. 1B](#page-18-0)) [[139](#page-27-0)]. This barrier has a regulatory role in mediating the transport of substances between the subarachnoid space and the dura mater, however, due to its avascularity and relatively limited surface area compared to other brain barriers, the arachnoid barrier has not been considered a significant regulator for substance exchange between the systemic circulation and the CNS $[122,140]$ $[122,140]$. However, the recent discovery of efflux transporters and CYP drug-metabolizing enzymes in the arachnoid barrier has demonstrated that it may also influence the delivery of therapeutic agents from the CSF into the brain parenchyma [[127](#page-27-0),[139](#page-27-0)]. A study conducted by Yasuda et al (2013) characterized arachnoid barrier cells using microarray analysis to investigate the expression of efflux transporters and CYP metabolizing enzymes in mouse and human arachnoidal tissue [\[139\]](#page-27-0). Their results demonstrated the expression of efflux transporters (including P-gp and BCRP) and CYP enzymes (including CYP1B1 and CYP4A*)* in arachnoid barrier cells, suggesting the arachnoid barrier to influence both the entry of systemically administered therapies from the fenestrated dural capillaries into the CSF and the removal of intrathecally administered drugs from the CSF [[139](#page-27-0)].

4.1. Blood-brain barrier (BBB) structure

The BBB is notoriously difficult to penetrate owing to the tight structure of the brain capillaries. Cerebral microvasculature of the brain parenchyma is composed of three cellular components separating blood from the brain interstitial fluid: endothelial cells, pericytes, and astrocytes. These three cell types, together with microglia, neurons, and the basement membrane, are the main components comprising the BBB neurovascular unit ([Fig. 1](#page-18-0)C) [\[141\]](#page-27-0). Compared to peripheral vasculature, brain capillary endothelial cells are highly specialized, and it is these specializations that limit the diffusion and transcytosis of molecules and proteins into the brain [[142](#page-27-0),[143](#page-27-0)]. The structure of brain capillary endothelial cells and their limited rates of pinocytic activity, increased numbers of mitochondria, and comparatively high expression of efflux transporters greatly restricts BBB permeability [\[143\]](#page-27-0). The negative surface charge of the microvascular endothelial surface at the BBB provides an additional electrostatic barrier regulating the penetration of molecules and drugs into the CNS [\[144\]](#page-27-0). Brain capillary endothelial cells lack fenestrations and are compactly joined by tight junctions (TJs) and adherens junctions, which are complex protein structures involved in endothelial cell-cell adhesion, intracellular cytoskeleton dynamics, signaling pathways, and transcriptional regulation [[145](#page-27-0)]. These adhesive structures limit the paracellular penetration of drugs, ions, molecules, and other polar substances through the BBB [\[145,146](#page-27-0)]. Brain endothelium is surrounded by a continuous basement membrane that is embedded with pericytes and adjoined by astrocytic end-feet, sporadically interconnected by microglia and neurons in the brain parenchyma [[142](#page-27-0)]. Pericytes are vascular mural cells that coordinate a range of responses vital for CNS function, including phagocytic clearance, vascular development and maintenance, barrier permeability, TJ regulation, and cerebral blood flow, and are also suggested to provide structural support to the neurovascular unit [\[142,147\]](#page-27-0). Astrocytes similarly regulate a range of BBB functions, including structural (i.e., by maintaining the integrity of TJs), transport (i.e., by modulating the expression of efflux pumps such as P-gp), and metabolic (i.e., by activating enzyme systems) barrier features [[143](#page-27-0),[148](#page-27-0)].

4.2. Blood-brain barrier (BBB) transport

Considering the specialized cellular structure of the BBB, it is evident that the translocation of compounds from the blood into the brain parenchyma occurs only through specific transcellular (i.e., through cells) or paracellular pathways (i.e., between adjacent cells), as shown in [Fig. 2](#page-19-0) [\[13,14](#page-25-0)]. Unlike the paracellular pathway, which mainly involves passive diffusion, the transcellular pathway involves transport mechanisms such as passive diffusion, transporter-mediated transport, and transcytosis. However, the mechanism by which molecules move across the BBB is dependent upon the physicochemical characteristics of the molecule and the direction of transport. Only small molecules that are highly lipophilic (e.g., with a log P of 2.5) with molecular weights of *<*400 Da can passively diffuse across the BBB, which impedes the passage of macromolecular therapeutics such as proteins, peptides, and antibodies [[13,](#page-25-0)[149](#page-27-0)]. Minimizing hydrogen bond donor capacity (i.e., *<* 3), topological polar surface area (i.e., *<* 90 Å), and pKa (i.e., low pKa values prevent excretion by efflux pumps) are additional physicochemical features known to improve BBB penetration [\[149\]](#page-27-0). To further

Table 4

Novel drug delivery technologies in clinical trials for DIPG over the last five years (Ref: [clinicaltrials.gov\)](http://clinicaltrials.gov).

Abbreviations: Blood-brain barrier (BBB); By mouth (PO); Convection enhanced delivery (CED); Diffuse intrinsic pontine glioma (DIPG); Diffuse midline glioma (DMG); Focused ultrasound (FUS); Intravenous (IV); Nanoparticle delivery (NP).

Fig. 1. Biological barriers in the brain to effective drug delivery. The blood-brain barrier (BBB), blood-cerebrospinal fluid barrier (BCSFB), and the arachnoid barrier form the three main biological barriers separating the systemic circulation from the brain parenchyma. They each play a role in influencing brain drug delivery. (A) Blood-cerebrospinal fluid barrier (BCSFB). (B) Arachnoid barrier. (C) Blood-brain barrier (BBB).

enhance CNS drug delivery, ideal therapeutic agents should be unionized, non-polar, have low protein/tissue binding, and not be a substrate for efflux transporters, such as P-gp, MRP, or BCRP [[149](#page-27-0)]. Drug pharmacokinetics (i.e., bioavailability, metabolism, degradation and clearance) also affects BBB drug delivery and should be considered when delivering drugs to the CNS [\[12](#page-25-0)]. These physiological obstacles of the BBB means that majority of drugs are unable to overcome this barrier, where many molecules fail to fulfil the physicochemical and pharmacokinetic requirements for permeation [\[13](#page-25-0)[,150\]](#page-27-0).

4.2.1. Passive transport

Passive diffusion is a non-saturable and non-competitive mechanism that does not require energy expenditure or carrier proteins to move substances across cell membranes [[151](#page-27-0)]. Passive diffusion of molecules can occur transcellularly or paracellularly ([Fig. 2](#page-19-0)A), depending on the physicochemical properties of the substance. Transcellular diffusion of molecules is dependent on their lipophilicity, where a higher oil/water partition coefficient correlates to higher BBB penetration [\[152\]](#page-27-0). Usually, small lipophilic substances can diffuse freely across plasma membranes along their concentration gradient. For the paracellular pathway, small hydrophilic molecules generally utilize concentration gradients to penetrate the BBB by passive diffusion. However, due to endothelial TJs and their regulation of transient relaxation, the paracellular flux of molecules across the BBB is limited under normal physiological conditions [[152\]](#page-27-0).

4.2.2. Transporter-mediated passage

Specialized solute carrier and efflux transporters expressed by the BBB capillary endothelial cells also regulate the ability of substances to penetrate the brain parenchyma from the systemic circulation ([Fig. 2B](#page-19-0)) [[13,14](#page-25-0)[,153\]](#page-27-0). Solute carrier transporters are the largest family of transmembrane transporters and play a critical role in maintaining brain homeostasis, regulating the exchange of drugs, nutrients (e.g. glucose), nucleosides, amino acids, ions, and metabolites across physiological membranes [\[154](#page-27-0)–156]. Solute carrier transport is powered by either a concentration or electrochemical gradient, not requiring ATP expenditure, and can be uniporter (i.e., transports a single solute), symporter (i. e., simultaneously transports two solutes in the same direction), or antiporter (i.e., simultaneously transports two solutes in opposite directions) transport systems [[152](#page-27-0)]. There are 60 families of solute carrier transporters expressed in the brain, with members of the SLC7A (e.g., amino acid transporters), SLCO (e.g., organic anion transporters), and SLC22A (e.g., organic cation transporters) families particularly expressed at the BBB. Key transporters present at the BBB include glucose transporter 1 (GLUT1) (transports monosaccharides), cationic amino-acid transporter type 1 (CAT1) (transports cationic amino acids), large neutral amino-acid transporter type 1 (LAT1) (transports neutral amino acids), glutathione transporters (GDH) (transports glutathione), monocarboxylate transporters 1 and 2 (MCT1 and MCT2) (transports monocarboxylic acid), and equilibrative nucleoside transporter 1 (ENT1) (transports nucleosides and nucleobases) [[154,155\]](#page-27-0). Examples of solute carrier transporters that have been targeted for brain drug

Fig. 2. Blood-brain barrier (BBB) transport and metabolism. Transport of substances from the systemic circulation into the brain occurs only through specialized transcellular or paracellular pathways. The pathway and mechanism by which molecules move across the BBB endothelium is dependent upon the physicochemical properties of the molecule and the transport direction. Metabolic enzymes located in vascular endothelial cells and the brain parenchyma (e.g., CYP enzymes) are additional obstacles impeding substances from entering the brain, given their ability to render drugs inactive or alter their physicochemical characteristics. (A) Passive transport of molecules can occur either between cells (i.e., paracellularly) or through cells (i.e., transcellularly), moving from an area of high concentration to low concentration (i.e., diffusion). (B) Transporter-mediated passage of molecules across the BBB endothelium involves both solute carrier transporters (e.g., members of the SLC7A, SLCO, or SLC22A families) and efflux pumps (e.g., ATP-binding cassette proteins). (C) Transcytosis facilitates the movement of substances from the blood into the brain through either receptor-mediated (i.e., ligand binding) or adsorptive (i.e., electrostatic interaction) transport mechanisms. *Abbreviations:* ATP-binding cassette (ABC); Catechol-O-methyltransferase (COMT); Cytochrome P450 enzyme (CYP); Glutathione S-transferases (GSTs); Multidrug resistant protein (MRP); P-glycoprotein (P-gp).

delivery include GLUT1 [\[157,158](#page-28-0)], LAT1 [[159](#page-28-0),[160](#page-28-0)], and organic cation/carnitine transporter (OCTN2) [\[161,162](#page-28-0)]. When designing targeted drug delivery systems, the BBB membrane localization (i.e., apical and/or basolateral), direction of transport (i.e., from blood-to-brain or from brain-to-blood), and expression intensity (i.e., compared with other peripheral tissues) of the solute carrier must be considered in order to facilitate appropriate CNS penetration and avoid off-target effects [[163](#page-28-0)].

Efflux pumps, namely ATP-binding cassette (ABC) proteins, are increasingly acknowledged to influence CNS drug delivery and elimination. ABC proteins belong to a large superfamily of BBB membraneassociated transporters, including 49 transporters grouped into seven sub-families from ABCA to ABCG [[152](#page-27-0)]. They are active transporters that utilize the energy gained from ATP hydrolysis to transport substances across cell membranes against concentration gradients. Drugs, steroids, phospholipids, amino acids, ions, polysaccharides, and xenobiotics are some of the various substances that ABC transporters unidirectionally transport across the BBB [[152\]](#page-27-0). ABC transporters are highly expressed in brain endothelium and predominantly function to efflux unwanted compounds from the brain, with P-gp, BCRP, and MRP proteins 1 and 2 being regarded as key BBB transporters bestowing resistance to targeted drug therapies [[155](#page-27-0)[,164,165](#page-28-0)].

4.2.3. Transcytosis

Strategies for increasing drug delivery across the BBB include modifications that exploit active transport mechanisms such as transcytosis pathways [\[149\]](#page-27-0). Transcytosis is a key physiological mechanism facilitating the transport of substances through the brain endothelium to the brain parenchyma. Unlike solute carrier transport, transcytosis is ideal

for macromolecular transport, facilitating the passage of large or hydrophilic compounds across the BBB [\[156](#page-27-0),[166](#page-28-0)]. Transcytosis involves a substance either binding to a surface receptor (i.e., receptor-mediated transcytosis) or interacting with the negatively charged membrane surface (i.e., adsorptive transcytosis) to travel from the apical endothelial membrane through to the basolateral membrane, as depicted in Fig. 2C. Following ligand receptor binding or adsorptive electrostatic interaction with the cell membrane, transcytosis involves three key steps: (i) endocytosis; (ii) intracellular vesicular trafficking; and (iii) exocytosis [[153](#page-27-0)]. There are two main vesicular routes for transcytosis: clathrin-mediated and caveolin-mediated transcytosis. Clathrinmediated endocytosis occurs in clathrin-enriched areas of the cell membrane. Clathrin coated vesicles merge with the cell membrane, forming an early and late endosome which eventually merges with a lysosome to facilitate cargo degradation [\[167\]](#page-28-0). Caveolin-mediated endocytosis occurs in "caveolin lipid rafts" formed from invaginations of the plasma membrane. These rafts either merge with endosomes resulting in lysosome cargo degradation or are trafficked to intracellular organelles [\[167\]](#page-28-0). BBB receptors involved in transcytosis that have previously been targeted for drug delivery include the transferrin receptor (TFRC) [[168,169\]](#page-28-0), low-density lipoprotein receptor (LDLR) [[170](#page-28-0),[171](#page-28-0)], insulin receptor (INSR) [\[172,173](#page-28-0)], insulin-like growth factor receptor (IGFR) [[174](#page-28-0),[175](#page-28-0)], and diphtheria toxin receptor (DTR) [\[176](#page-28-0),[177](#page-28-0)].

4.3. Blood-brain barrier (BBB) metabolism

Metabolic enzymes located in vascular endothelial cells and the brain parenchyma are further obstacles against substances entering the brain (Fig. 2) [[13,14](#page-25-0)]. Drug metabolizing enzymes may result in treatment failure by rendering drugs chemically inactive and/or modifying BBB permeability characteristics such as polarity [\[178\]](#page-28-0). Examples of drug metabolizing enzymes that have been detected in BBB microvessels include CYP enzymes (e.g., CYP1B1, CYP2U1, CYP2D6, CYP2J2**,** CYP2E1 and CYP2R1), GSTs (e.g., GSTO1, GSTP1, GSTM2, GSTM3, and GSTM5), histamine *N-*methyltransferase (HNMT), thiopurine *S-*methyltransferase (TPMT), and catechol-*O*-methyltransferase (COMT) [178–[182](#page-28-0)]. In addition to this, a range of enzymes have also been found in the brain parenchyma, including CYP enzymes (e.g., CYP46A1, CYP1B1, CYP2D6, CYP2E1, CYP2J2, and CYP2U1), GSTs (e. g., GSTP1, GSTM2, GSTM3, and GST4), COMT, and sulphotransferase (SULT1A4) [[178,179,183\]](#page-28-0). Moreover, the location and expression of drug metabolizing enzymes is highly heterogenous, meaning that different cortical regions and cell types exert various metabolic effects [[179](#page-28-0)]. An awareness of drug metabolizing enzymes found in the BBB and brain parenchyma is important for the delivery of effective therapies, however an exhaustive list of these enzymes and their substrates, locations, and expression intensity is outside the focus of this paper. Readers are directed to the reviews written by Agúndez et al (2014) and Silva-Adaya et al (2021) for further information on brain drug metabolism [[178](#page-28-0),[179](#page-28-0)].

5. The blood-brain tumor barrier (BBTB) and tumor immune microenvironment (TIME) in DIPG

Although the general function, molecular composition, and structure of the BBB is similar across much of the CNS, the BBB is heterogeneous. Variability in the morphology, cellular composition, and microvascular density of the neurovascular unit has been documented across different cerebral regions, with certain areas possessing a highly permeable BBB (e.g., the circumventricular organs) and others a more robust BBB (e.g., the brainstem) [\[184](#page-28-0)–186]. In addition to the inherent heterogeneity of the healthy BBB, in response to different pathological diseases, the BBB undergoes dynamic changes and adaptations, ranging from transient alterations in BBB permeability to chronic barrier dysregulation [\[15](#page-25-0)]. In brain cancer, the BBB is referred to as the BBTB, as tumors typically compromise the function, integrity, and structure of the cells that form the healthy BBB [\[187\]](#page-28-0). The BBTB can be composed of both existing and newly generated tumor microvasculature, ranging from continuous nonfenestrated endothelium (i.e., "healthy" vasculature) to fenestrated and discontinuous endothelium (i.e., "leaky" vasculature) [\[188\]](#page-28-0). Reduced expression of TJs, loss of astrocyte end-feet, varied pericyte distribution, neuronal connection dysfunction, and basement membrane degradation

Fig. 3. Blood-brain tumor barrier (BBTB) and tumor immune microenvironment (TIME) in DIPG. (A) The BBTB is thought to remain intact in DIPG, with minimal disruption to the function, integrity, and structure of the cells that form the healthy BBB. However, findings in human DIPG samples have suggested that the BBTB in DIPG may exhibit features of leaky vasculature and impaired barrier function similar to other brain cancers. (B) Features of BBTB disruption commonly present in brain cancer, such as reduced expression of tight junctions, loss of astrocyte end-feet and endothelial cells, varied pericyte distribution, basement membrane degradation, and the presence of immune/inflammatory cells. *Abbreviations:* Basement membrane (BM); Diffuse intrinsic pontine glioma (DIPG); Tight junctions (TJs).

further characterize barrier changes present in brain cancer, as summarized in [Fig. 3B](#page-20-0) [\[17](#page-25-0),[189](#page-28-0)].

BBTB dysfunction affects brain drug delivery, where tumor-induced inflammation and "leakiness" may enhance the passage of drug compounds across brain barriers, providing a potential exploitable mechanism for localized delivery [\[190\]](#page-28-0). However, among different cancer types, tumors of the same origin or histology, and within the same tumor microenvironment, changes in barrier vasculature and permeability are highly heterogeneous, which can limit homogeneous drug distribution [[17](#page-25-0)[,191\]](#page-28-0). Furthermore, tumor growth may impede the binding and penetration of drugs via the transcytosis pathway, and high intratumoral pressures likely affect drug retention in the brain parenchyma [[192](#page-28-0),[193](#page-28-0)]. Although more significant for nodular brain tumors rather than infiltrative, solid stress and stiffness induced by tumor growth can reduce peritumoral vascular perfusion, which can further affect the ability of therapeutic agents to permeate the tumor site [\[194\]](#page-28-0).

Compared to other primary brain cancers, such as glioblastoma and medulloblastoma, little is known about the exact structure and function of the BBTB in DIPG, which is likely due to its rarity and inoperable location. However, the accepted consensus among majority of the literature is that the BBTB remains intact in DIPG, as depicted in [Fig. 3](#page-20-0)A. This was originally based on diagnostic imaging results, given the lack of tumor enhancement generated by contrast agents [[12,22](#page-25-0)]. Further support of this hypothesis is a study conducted by Wei et al (2021), which performed histological and molecular analysis of patient-derived xenograft and *in utero* electroporation orthotopic murine models to examine the tumor vasculature of DIPG [[195](#page-28-0)]. The results demonstrated the BBTB in DIPG to possess normal pericyte coverage, consistent expression of claudin-5 and CD-31 adhesion proteins, normal GLUT1 expression, and an absence of plasmalemma vesicle associated protein expression (PVLAP), which is an endothelial marker associated with increased fenestration, angiogenesis, and hyperpermeability [[99](#page-27-0)[,195,196](#page-28-0)]. These findings suggest minimal disruption in the vascular architecture and function of the BBTB in DIPG compared to the healthy BBB, which may underpin the current lack of therapeutic success [[99](#page-27-0)[,195,197](#page-28-0)].

However, findings in human DIPG samples have challenged this perspective. A study conducted by El-Khouly et al (2021) reported structural changes in the BBTB of both biopsy ($n = 4$) and autopsy ($n =$ 6) human DIPG samples when compared to age-matched healthy pontine samples $(n = 20)$ [\[18](#page-25-0)]. Immunohistochemistry revealed the extravasation of intravascular proteins (pre-albumin, fibrinogen, and immunoglobulin G) and the expression of claudin-5 and zonula occludens-1 (TJ proteins), laminin (basement membrane constituent), and platelet-derived growth factor receptor-B (pericyte marker) to be lower in both biopsy and autopsy DIPG patient samples, suggesting an impaired and "leaky" BBTB in DIPG. This study also demonstrated a significant reduction in the vascular density of the DIPG autopsy samples when compared to the healthy controls. As therapeutic agents typically depend on vascular perfusion to enable tumoral drug distribution, a lower BBTB vascular density may counteract any drug delivery benefits facilitated by barrier "leakiness" $[18]$ $[18]$. This reduction in vascular density could also explain the lack of therapeutic efficacy seen in DIPG, rather than an intact BBTB as previously theorized. However, whether earlystage disease (i.e., at time of biopsy) possesses reduced vascular density is yet to be confirmed.

Moreover, a study conducted by Veringa et al (2013) investigated the *in vitro* expression of P-gp, MRP-1, and BCRP-1 BBB efflux transporters in primary DIPG cell cultures using immunohistochemical staining [[198](#page-28-0)]. The results demonstrated the presence of all three efflux transporters in DIPG tumor vasculature, with MRP-1 being co-expressed in tumor cells. Similarly, studies conducted by Chaves et al (2020) and Deligne et al (2020) also examined the expression of BBB efflux transporters in DIPG murine xenograft and *in vitro* DIPG BBTB models, respectively, and confirmed P-gp, MRP-1, and BCRP-1 to be functionally expressed [[197](#page-28-0),[199](#page-28-0)]. This suggests that DIPG treatment failure may also be

attributed to the presence of drug efflux transporters located at the BBTB, excreting therapies prematurely from the tumor site [[198](#page-28-0)]. The literature also reports, even under normal physiological conditions, that the brainstem possesses a lower capillary density and an even more impermeable BBB compared to other cerebral regions, further adding to the complexity of pontine drug delivery [[185](#page-28-0),[200,201\]](#page-28-0). This is supported by a study conducted by McCully et al (2013), who employed *in vivo* microdialysis to compare the concentration of intravenously administered temozolomide across different brain regions in a primate model [\[185\]](#page-28-0). The results found significantly lower concentrations of temozolomide in pontine tissue compared with the CSF and cortex, suggesting a lack of drug penetration into the pons compared with other CNS regions [\[185\]](#page-28-0). Moreover, Subashi et al (2016) used geneticallyengineered mouse models of cortical and brainstem pediatric HGGs to explore how tumor location may affect BBB permeability [\[202](#page-28-0)]. Using dynamic contrast-enhanced MRI, their results demonstrated tumor vasculature to be more permeable in the cortex than in brainstem. This suggests that the local biological environment may influence the way in which tumor cells interact with the BBB/BBTB, with the brainstem's BBB/BBTB again proving to be more robust than other cerebral regions [[202](#page-28-0)].

In regard to the tumor immune microenvironment (TIME) of DIPG, it is suggested to be non-inflammatory and immunologically "cold", characterized by fewer immune and inflammatory cells, meaning DIPG tumors may be less responsive to immunotherapy in comparison to other brain tumors [\[16](#page-25-0),[203,204\]](#page-28-0). A study conducted by Lin et al (2018) compared the secretome of primary DIPG and adult glioblastoma cultures, which found DIPG to secrete significantly less chemokines and cytokines than adult glioblastoma [\[203\]](#page-28-0). Minimal T-lymphocytic infiltration was observed in the TIME of both biopsy and autopsy DIPG tissue samples, which supports the notion that DIPG cells reside in a dampened immune environment [\[16](#page-25-0)[,203\]](#page-28-0). Additionally, it is documented in the literature that DIPG exhibits a low tumor mutational burden, which means that the surface of DIPG cells express limited neoantigens responsible for triggering T-cell mediated immune responses against tumor cells, which is linked to reduced responses to immune checkpoint inhibitors [\[38](#page-25-0)[,205\]](#page-28-0). The low tumor mutation burden, in combination with the lack of T-cells present in the TIME, suggest therapies should instead focus towards inducing recruitment or introduction of immune cells, such as adoptive cellular therapies targeted to the tumor site, in order to be efficacious for the treatment of DIPG [\[16](#page-25-0)[,203,205\]](#page-28-0).

6. Novel technologies used to bypass or disrupt the blood-brain tumor barrier (BBTB) in DIPG over the last 5 years

Over the past five years, a range of technologies that bypass or disrupt the BBB/BBTB have been investigated in experimental studies and clinical trials to improve brain drug delivery for children diagnosed with DIPG. These have primarily included convection enhanced delivery (CED), nanoparticle-mediated (NP) delivery, and focused ultrasound (FUS) drug delivery technologies, and to a lesser extent, intranasal (IN) delivery and intracerebral osmotic pump delivery technologies, as seen in [Tables 3 and 4](#page-14-0). Treatment strategies have included single-technology and multi-technology regimens (e.g., NP delivery in combination with CED, FUS, or IN delivery), which have been used to deliver a range of pharmacological agents, either as monotherapies or combination therapies.

Like [Table 1,](#page-3-0) [Table 3](#page-14-0) was similarly restricted to *in vivo* studies and orthotopic experimental models to better evaluate the translational potential of the technologies in human DIPG. The majority of the experimental studies reported statistically significant improvements in tumor regression and/or overall survival when compared with controls and/or free drug, with many of the experimental technologies ([Table 3\)](#page-14-0) progressing to clinical trials ([Table 4\)](#page-17-0), demonstrating promise in bypassing or disrupting the BBB/BBTB with CED, FUS, or NP delivery. However, the previous considerations discussed above (i.e., the tumor location, type of cells, route of administration, treatment safety and tolerability, efficacy in combination with standard of care and other pharmacological therapies, and extent of survival benefit) similarly apply when evaluating the translatability of the experimental technologies summarized in [Table 3.](#page-14-0) Some additional factors require consideration when evaluating the translatability of the type of technology used, which will be discussed below. Moreover, as the ALZET® intracerebral osmotic pump delivery system is specifically designed for experimental use in animals and does not feature in current DIPG clinical trials, the application of this technology will not be discussed further in this review paper, however, was included in [Table 3](#page-14-0) for completeness.

6.1. Convection enhanced delivery (CED)

Convection enhanced delivery (CED) is a novel technique where one or more small catheters are stereotactically inserted either in or near the tumor site to directly deliver therapeutic agents, which can be done at the time of biopsy [[8](#page-25-0)[,206\]](#page-28-0). Although invasive, local delivery with CED provides numerous advantages when compared to systemic drug delivery, the most significant being the ability to bypass the BBB/BBTB. This enables higher drug concentrations to accumulate at the target site, enhancing on-target efficacy and reducing off-target toxicity [[207](#page-28-0)]. Unlike simple diffusion (i.e., the mechanism by which systemically administered therapeutics permeate tissues), CED utilizes convection to generate a local hydrostatic pressure gradient, which enables more uniform drug distribution over a greater surface area [[207](#page-28-0)]. Imaging markers can also be co-administered with the infusate during CED, which is advantageous for examining drug biodistribution in real-time [[208](#page-28-0),[209](#page-28-0)]. Additionally, CED is especially useful for tumors that have an intact BBB/BBTB to prevent 'leakage' of the infusate into the periphery, which is argued to be characteristic of DIPG [[210](#page-28-0)].

Despite the advantages of CED, successful drug delivery depends on a range of factors, all of which can impair the efficacy and translatability of the technology. Firstly, a range of pharmacological agents delivered by CED have been investigated in both experimental studies and clinical trials for DIPG [\(Tables 3 and 4\)](#page-14-0). Although these drugs were selected for CED based on their anti-tumor efficacy, their compatibility with CED must also be considered. Drug physicochemical properties (e.g., lipophilicity, susceptibility to enzymatic degradation or efflux transporters, surface characteristics, receptor binding, and size) influence the efficacy of CED, as they affect drug flow, clearance, and volume of distribution at the tumor site [[211](#page-29-0)]. In particular, large hydrophobic molecules that are positively charged exhibit a reduced volume of distribution when administered by CED, and such characteristics should be avoided when designing CED therapeutics [\[206,](#page-28-0)[212](#page-29-0),[213](#page-29-0)].

The tissue characteristics and location of the delivery site also influences effective drug delivery by CED, as pathogenic changes induced by tumor infiltration (e.g., increased interstitial pressure, oedema, and heterogenous vasculature changes) can result in enhanced systemic infusate loss and alteration of drug distribution [\[211,214,215](#page-29-0)]. Moreover, drug delivery within or in close proximity to certain brain structures, such as white matter tracts, ventricles, and ependymal surfaces, has been associated with CED failure, as these structures may direct infusate flow away from the tumor site, act as a sink for infusate collection, or cause leakage into the ventricular or cisternal CSF [[206](#page-28-0),[216,217\]](#page-29-0). This is particularly relevant for pontine drug delivery in DIPG, given that the pons houses both transverse and longitudinal white matter fibres and lies in close proximity to the fourth ventricle [[213](#page-29-0),[218,219\]](#page-29-0).

Tumor size is another important parameter influencing effective drug delivery by CED, as the CED treatment field must encompass the entire tumor area to be effective, given that the drug will revert to passive diffusion in the absence of the pressure gradient [\[213,220](#page-29-0)]. In order to cover a greater drug distribution area, the infusion flow rate can be increased, however at the cost of increasing catheter backflow (i.e., the retrograde movement of infusate along the catheters insertion tract),

which can result in inadvertent drug loss and toxicity in off-target brain regions [\[211,213\]](#page-29-0). The presence of leptomeningeal disease dissemination, which has been identified in up to one third of post-mortem DIPG patients, may therefore be a significant limitation to therapy, as CED's infusion parameters are unlikely to accommodate for disease spread beyond the pontine region [[12,24](#page-25-0)[,221\]](#page-29-0).

In addition to the flow rate, further variables affecting the efficacy of CED include the infusate viscosity, the number of CED infusions (e.g., single or continuous) and the catheter material, diameter, and placement, factors in which vary or are unknown across the experimental studies and clinical trials shown in [Table 3](#page-14-0) and [Table 4](#page-17-0) [[207](#page-28-0),[211,213,217](#page-29-0)]. The lack of standardized infusion techniques may be a significant hindrance in the clinical translatability of the technology to the clinic, where many variables are yet to be optimized for the effective implementation of CED for DIPG [\[60](#page-26-0)[,220\]](#page-29-0). Additionally, sideeffects commonly occur as a result of CED, ranging from headache, ataxia, dysarthria and transient facial weakness to more serious complications, such as infection, seizures, and hemorrhage [\[222,223\]](#page-29-0).

6.2. Focused ultrasound (FUS)

Focused ultrasound (FUS) is another novel drug delivery technology which employs the use of intravenously administered microbubbles and targeted ultrasound to temporarily disrupt focal regions of the BBB and enhance brain drug delivery [\[210\]](#page-28-0). Ultrasound energy causes circulating microbubbles to oscillate and induce mechanical stress against the BBB/ BBTB endothelium, resulting in TJ disruption, decreased P-gp expression, and increased caveolae-mediated transcytosis, which transiently increases the paracellular and transcellular permeability of the BBB/ BBTB to systemically administered pharmacological agents [[17](#page-25-0)[,224](#page-29-0)–226]. In the tumor interstitial space, FUS and microbubblemediated BBB/BBTB opening has also been documented to result in an increase in convective transport, which favors the transport of larger therapeutics to their site of action [[17,](#page-25-0)[227\]](#page-29-0). Aside from BBB opening, another interesting application of FUS is its ability to locally activate pharmacological agents at the tumor site, avoiding off-target treatment effects. This is currently being investigated in a clinical trial utilizing an intravenous formulation of aminolevulinic acid (5-ALA), which reacts with energy delivered by FUS to induce necrosis and apoptosis following uptake by DIPG cells (NCT05123534).

FUS is minimally invasive, considered safe, and can achieve BBB opening in various brain regions, including the brainstem, which can be monitored by MRI [[201](#page-28-0),[226,228](#page-29-0)–230]. Radiation has been documented to be safe in combination with FUS and also has a synergistic effect on BBB opening, which may be of use in enhancing FUS drug delivery in DIPG patients [\[231\]](#page-29-0). Additionally, FUS-mediated BBB opening enables the systemic extravasation of CNS immune cells and immunomodulation of the TIME, which has been suggested to enhance the anti-tumor effects of immunotherapy agents [\[226,231,232](#page-29-0)]. FUS-mediated BBB opening could also be used to improve the application of liquid biopsy in DIPG patients, as it allows for increased levels of cell-free DNA (cfDNA) to accumulate peripherally in serum or CSF, which could be used to detect tumor biomarkers, identify tumor mutations, and inform individualized treatment strategies [[231\]](#page-29-0).

However, like CED, there are many variables affecting the effectiveness and clinical application of FUS-mediated brain drug delivery. The majority of experimental FUS studies shown in [Table 3](#page-14-0) failed to demonstrate a survival benefit in murine models of DIPG, which may have firstly been due to the type of therapeutic agent selected for FUS delivery [\[193,](#page-28-0)[233,234\]](#page-29-0). The physicochemical properties of the pharmacological agent again influences the efficacy of FUS, whereby smaller agents with positively charged surface modifications (i.e., that promote adsorption to the negative charge of the microvascular endothelial surface) or ligands designed to initiate caveolae-medicated transcytosis are more likely to penetrate across the opened BBB and accumulate at the tumor site [[225](#page-29-0)]. Differing drug pharmacokinetic profiles in the murine population, along with pathogenic changes induced by tumor growth (e.g., high intra-tumoral pressure, alterations in vasculature, and endothelial changes) may have also contributed to FUS treatment failure in the experimental studies, which are important considerations for clinical translation [\[193,](#page-28-0)[233\]](#page-29-0). The presence of disseminated disease extending beyond the opened BBB foci may have also resulted in the lack of survival benefit, which in practice, may require repeated sonication across multiple brain regions to ensure adequate disease coverage [233–[235\]](#page-29-0). However, the long-term safety profile of chronic and repeated BBB opening, especially in pediatric patients, is still being investigated [\[236\]](#page-29-0).

Moreover, the intrinsic acoustic properties of the cranium and other skeletal structures also influences the efficacy of FUS [\[235,237](#page-29-0)]. These factors may be challenging to mitigate when treating pediatric patients with FUS, given that the skull characteristics of children are mostly unknown and that the pons lies in close proximity to other spinal bones, which may reflect ultrasound and cause heterogenous tissue exposure [[231](#page-29-0)]. Additionally, the ultrasound devices currently available for clinical FUS applications have primarily been developed and investigated for the management of adult patients. As a result of this, FUSmediated BBB opening has required ongoing technical evaluation in the pediatric population to ensure the safety of the technology, especially for children with DIPG (e.g., NCT04804709, NCT05630209, NCT05615623 and NCT05762419) [\[231,238\]](#page-29-0). Sonication parameters that require both optimization and standardization for the effective implementation of FUS for DIPG include the: (i) timing between opening the BBB and drug administration; (ii) microbubble composition, size, and dose; (iii) ultrasound intensity; (iv) transducer frequency; and, (v) treatment frequency and duration [\[225](#page-29-0),[226](#page-29-0)]. Optimization of these sonication parameters is essential to ensure safe BBB/BBTB opening, as vascular injury, hemorrhage, and neuronal damage can occur if parameters are exceeded [\[239\]](#page-29-0).

6.3. Nanoparticle-mediated (NP) drug delivery

The application of nanoparticles for targeted drug delivery is becoming increasingly attractive for the treatment of brain cancers, including DIPG [\[157,193](#page-28-0),[240](#page-29-0)–245]. Owing to their nanometer size (usually ≤100 nm), high drug-loading capability, and enhanced tissue selectivity, nanoparticles provide several advantages over conventional formulations, aiming to improve therapeutic efficacy and reduce the potential of systemic adverse effects [[246,247\]](#page-29-0). Their physicochemical properties (i.e., size, charge, shape, composition and surface properties) can be modified to augment drug release profiles (e.g., controlled drug release or triggered drug release in response to certain stimuli), promote better cellular uptake and, most importantly, bypass biological barriers, including the BBB/BBTB [\[177,](#page-28-0)[246](#page-29-0),[247\]](#page-29-0).

Drug loaded nanoparticles may traverse the BBB/BBTB either transcellularly (e.g., by surface ligands that can bind to and trigger internalization by endothelial receptors or transporters) or paracellularly (e.g., by passive diffusion), and can further improve drug accumulation by avoiding clearance by the reticuloendothelial system (e.g., by surface polyethylene glycol coatings) and evading BBB/BBTB efflux pumps and drug metabolizing enzymes [[177](#page-28-0),[246,248\]](#page-29-0). Another significant advantage of NP drug delivery, especially for DIPG, is the ability to administer drug-loaded nanocarriers in combination with other drug delivery technologies, such as CED, FUS and IN delivery, as shown in [Table 3](#page-14-0) and [Table 4](#page-17-0) [[97,](#page-26-0)[193](#page-28-0),[241,244\]](#page-29-0).

Although the majority of the nanoparticle-mediated drug delivery strategies significantly prolonged survival in the DIPG murine models (see [Table 3](#page-14-0)), the translation of the technology to the clinic has not been successful so far, which may be due to a variety of factors [\[14](#page-25-0),[249](#page-29-0)]. Firstly, the nanoformulations employed across the studies, including the functionalized macrophage exosomes [[242](#page-29-0)], passionfruit-like gold nanoarchitectures [[243](#page-29-0)], sequential targeting in crosslinking (STICK) nanoparticles [\[157](#page-28-0)], and the peptide nanofiber precursor platform

[[244](#page-29-0)], are highly complex in their design and synthesis. For nanoformulations to be clinically translated, the complexity of their design and synthesis needs to be minimized to enable large-scale pharmaceutical manufacture and quality control [[246](#page-29-0)].

To facilitate BBB/BBTB transport, the physicochemical properties of the nanoformulation have to be optimized. In general, properties that enhance BBB/BBTB permeability include a positive surface charge, spherical or rod shape, and a size of 20–50 nm – whereby 20 nm is large enough to evade renal clearance and small enough facilitate passage across the BBB/BBTB, and 50 nm is an appropriate size to trigger receptor-mediated transcytosis [\[240,250](#page-29-0)]. The size of the nanoparticle is also important in determining its drug loading capacity, with larger nanocarriers being able to transport more drug molecules [\[251\]](#page-29-0). However, this requires careful consideration, given nanoparticles traverse the BBB/BBTB in a size-dependent fashion, favoring those with smaller particle sizes [\[240\]](#page-29-0). Although positively charged nanoparticles exhibit improved adsorption to the negatively charged endothelial surface, cationic surface charges can trigger the production of reactive oxygen species and disrupt the integrity of the BBB/BBTB, which may cause adverse effects in the patient [[252](#page-29-0)]. However, the magnitude of these effects depends on the degree of cationic charge exhibited by the nanoparticle. Another consideration for ensuring the appropriate cellular uptake and intracellular trafficking of the nanoparticle at the BBB/BBTB is the surface ligand density. Although ligands are advantageous for a range of applications, including improved targeting and cellular uptake, higher densities can increase the nanoparticle size, induce steric hindrance, diminish stealth activity, and hinder carrier uptake or release from the basolateral membrane [[252](#page-29-0)]. Different nanoparticle types, such as polymer nanoparticles (e.g., poly-D,L-lactic*co*-glycolic acid [PLGA] formulations), inorganic nanoparticles (e.g., gold, silver, iron, and silica formulations), or organic nanoparticles (e.g., lipid-based nanoparticles such as liposomes and micelles), also influence the stability, biocompatibility, and toxicology profile of the nanomedicine [\[240,246,253\]](#page-29-0). Additionally, the route of administration requires consideration, as each differs in the physiological barriers that the nanocarriers have to overcome to reach the site of action, which inevitably results in heterogeneous patterns of nanoparticle biodistribution throughout the body [\[254\]](#page-29-0).

Although nanotechnology provides many advantages over conventional pharmacological agents, a balance must be maintained between successful BBB/BBTB penetration, on-target efficacy, safety, and feasibility of manufacture, which are challenges that must be addressed for the effective implementation of NP drug delivery for DIPG.

6.4. Intranasal (IN) drug delivery

Intranasal (IN) delivery is another novel drug delivery technology being investigated for the treatment of DIPG [[97,](#page-26-0)[241](#page-29-0)]. IN delivery exploits the anatomical link between the nasal cavity and the brain, where drugs gain access to the CNS along the olfactory and trigeminal nerves by intracellular (e.g., pinocytosis or endocytosis) or extracellular (e.g., convection or paracellular diffusion) transport mechanisms across the nasal mucosa [[255](#page-29-0)]. Drugs administered via the nasal cavity can bypass the BBB/BBTB, facilitating drug delivery to rostral brain regions (i.e., via olfactory nerves and olfactory bulbs) and/or caudal brain regions including the brainstem and pons (i.e., via trigeminal nerves) [[256](#page-29-0)]. Given that the respiratory epithelium is rich in trigeminal nerve endings, IN drug delivery targeting this region of the nasal cavity is likely beneficial for the treatment of DIPG, as opposed to drug deposition in the upper nasal passage which houses the olfactory nerves [[241](#page-29-0),[257](#page-29-0)]. Moreover, IN delivery is well-tolerated, convenient for selfadministration, and is a potential alternative to other drug delivery technologies that are invasive, possess high surgical risks, or require specific skills and expertise to implement [\[255,256\]](#page-29-0). Nasal administration provides a large surface area for drug absorption and also avoids first-pass metabolism in the liver, meaning lower doses of medications can be administered to mitigate potential side effects [[258](#page-29-0)].

However, the efficacy of IN drug delivery is limited by a range of factors, including active mucociliary clearance as well as the presence of P-gp efflux pumps and drug metabolizing enzymes (e.g., CYP enzymes, peptidases, and proteases) in the nasal cavity [\[256,259\]](#page-29-0). Pharmacological agents may also be absorbed by local blood vessels or lymphatics at the lamina propria or removed via CSF clearance mechanisms in the subarachnoid compartment after traversing the perineural space [[126](#page-27-0),[256](#page-29-0)]. Low drug retention time, mucus composition, and inadvertent nasopharynx drainage also compound effective nose-to-brain delivery, along with the inability to deliver large volumes of drug given the small size of the nasal cavity (\sim 200 µL) [$259,260$]. As with other novel drug delivery technologies, the physicochemical properties of the therapeutic agent (e.g., size and lipophilic-hydrophilic balance) again influence the efficacy of IN delivery, determining the drug's ability to traverse across mucous, epithelial, and/or paracellular junctional barriers in the nasal cavity [[261](#page-29-0)]. For example, lipophilic molecules with small molecular weights *<*1 kDa are well absorbed across the nasal mucosa, whereas large hydrophilic agents *>*1 kDa exhibit poor permeability and tend to become trapped in the mucus [\[256\]](#page-29-0). Moreover, the type of device (e.g., spray, dropper, or nebulizer) and formulation (e.g., liquid, particulate, or semisolid) also influences IN delivery, along with the ability of patients or carers to administer the dose correctly and consistently [\[259\]](#page-29-0).

These IN delivery challenges may become less problematic when therapeutic agents are delivered via nanotechnology. For example, both experimental studies conducted by Sasaki et al (2022) and Louis et al (2018) investigated the efficacy of a nanoliposomal formulation of irinotecan (or its metabolite) administered via IN delivery in rodent DIPG models in order to better protect the drug from degradation, enhance retention at the tumor site, and improve drug release and solubility [[97](#page-26-0)[,241\]](#page-29-0). These studies both reported the irinotecan nanoliposomal formulations to inhibit tumor growth and significantly prolong survival in the xenograft models. However, the nasal cavity and upper airway anatomy of humans differs from animals, especially rodents, which may impact the clinical translation of experimental results [[258](#page-29-0)]. Further studies optimizing the balance between nasal penetration, brain delivery via trigeminal pathways, and feasibility of administration, are required to determine whether IN drug delivery provides a viable alternative to other technologies that bypass the BBB/BBTB for DIPG.

7. Future advances for the management of DIPG

There are numerous factors affecting the development and progression of effective therapies in DIPG. However, a significant challenge is the inability of pharmacological agents to penetrate across the BBB/ BBTB and gain access to the CNS. A range of novel technologies have been investigated to bypass or disrupt the BBB/BBTB and improve brain drug delivery in DIPG, including CED, FUS, NP delivery, and IN delivery. Although these technologies have advantages when compared to conventional drug delivery strategies, each possess unique challenges that are yet to be optimized from bench to bedside, especially for the treatment of DIPG. However, NP drug delivery is emerging as a promising option for DIPG treatment, especially as it can be combined with additional delivery technologies, which may mitigate certain limitations posed by CED, FUS and IN delivery [\[97](#page-26-0),[193](#page-28-0)[,241,244](#page-29-0)].

Another emerging pathway that could be exploited for brain drug delivery in DIPG is the glymphatic system, which describes an additional CSF flow pathway through the brain. Prior to reabsorbing into the systemic circulation or lymphatics, part of the CSF is thought to flow from the subarachnoid compartment into the brain tissue via the periarterial spaces of penetrating arteries (i.e., Virchow-Robin spaces), enabling the exchange of CSF with the interstitial fluid prior to draining into perivenous spaces [[128](#page-27-0)[,262\]](#page-29-0). Modulating properties that influence this novel flow pathway, such as body position, aquaporin-4 activity, and sleep, may provide new opportunities to improve drug delivery from the

CSF (i.e., by direct intrathecal injection or via surgically implanted Ommaya reservoirs) into the brain tissue, overcoming the current limitations of rapid CSF clearance and poor CSF-CNS penetration [[128](#page-27-0),263–[269\]](#page-29-0). Ommaya reservoirs are dome-shaped silicone reservoirs located under the scalp that connect to an indwelling catheter positioned in the ventricles of the brain [\[270\]](#page-30-0). Although Ommaya reservoirs have been used in clinical practice for decades, they are emerging as a means for administering immunotherapy agents directly into the ventricular CSF spaces for DIPG patients (e.g., NCT04185038, NCT04196413 and NCT04099797), which could also prove useful for glymphaticmodulated drug delivery in the future [[103](#page-27-0)[,271,272](#page-30-0)]. However, the concept of the glymphatic system remains contentious, especially as most studies have utilized rodent models, requiring further investigation into the mechanisms governing CSF-interstitial fluid exchange and how it affects human brain drug delivery [\[128,](#page-27-0)[268](#page-29-0),[269](#page-29-0)].

Moreover, given the significant variability in the routes of administration across both experimental studies and clinical trials, further research is required to determine which routes best achieve drug accumulation in the pons, including for conventional administration strategies (e.g., intravenous, intrathecal, intracerebroventricular, or oral) and novel techniques (e.g., CED, IN delivery, or FUS). Improvements in preclinical experimental studies, such as establishing orthotopic tumors, generating tumors from human derived DIPG cells, optimizing the species used for DIPG modelling, and evaluating treatment efficacy in combination with standard of care radiation therapy and other pharmacological therapies, are further required to better recapitulate the human disease state and enhance the translatability of experimental results.

Finally, the biological characteristics of the brainstem's BBB/BBTB, both under normal physiological conditions and in response to DIPG, are poorly understood – and it is these characteristics that determine drug penetration, exposure, and retention at the tumor site. Although the accepted theory among the majority of the literature is that the BBB/ BBTB remains intact in DIPG, findings in human DIPG samples have challenged this, suggesting therapeutic failure may be due to reduced tumoral perfusion, rather than an intact BBB/BBTB [\[18](#page-25-0)]. However, further characterization of the changes that occur in the vasculature and in the expression of receptors, transporters, efflux pumps, and drug metabolizing enzymes at the BBB/BBTB in DIPG is still required, as this inevitably informs the development of novel targeting strategies. Although the ultimate aim is to cure DIPG, goals such as prolonging survival, establishing disease "remission", and improving quality of life, may be the most attainable outcomes for this deadly disease in the medium term. However, a biology-driven approach to rational formulation design coupled with early tumor biopsy to identify patientspecific molecular targets, will ideally improve brain drug delivery and progress treatment towards precision medicine approaches, improving the way we manage and treat DIPG [[38,](#page-25-0)[273](#page-30-0)].

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The authors declare no conflict of interest.

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