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1 **The Role of The Ki-67 Labelling Index as an Independent Prognostic Factor in Indonesian**
2 **Glioma Patients**

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23 **Keywords:** glioma, Ki-67 LI, overall survival, Indonesia
24

25 **Running Title:** Ki-67 as a Prognostic Factor in Glioma
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28

29 **Abstract**

30

31 **Introduction:** Gliomas are the most common type of brain tumor. However, interpreting glioma
32 morphology is subjective, and identifying mitosis can be challenging. This can impact the
33 determination of the patient's tumor grade, therapy, and prognosis. In addition, the Ki-67
34 expression level, which reflects the tumor cells' ability to proliferate, is closely related to the
35 patient's survival. This study aims to find a correlation between Ki-67 expression and the overall
36 survival (OS) of glioma patients in the Indonesian population.

37 **Methods:** Ninety-one glioma patients from Sardjito General Hospital were collected for
38 formalin-fixed embedded paraffin (FFPE) samples, and the Ki-67 labeling index (LI) was
39 calculated by determining the percentage of labeled nuclei per 1000 cells using a 40x objective
40 lens in a randomized area (average method). The OS was calculated from the day of pathology
41 diagnosis until death or the last follow-up (for censored cases). Kaplan-Meier survival analysis
42 was used to analyze the OS.

43 **Results:** Individuals aged ≥ 60 with high-grade tumors, infratentorial gliomas, and a Ki-67 LI
44 $\geq 10\%$ had a shorter OS. The *p*-values associated with these factors were 0.001, 0.018, and 0.006,
45 respectively. In multivariate analysis, age and tumor grade did not significantly correlate with
46 OS.

47 **Conclusion:** Glioma patients with a Ki-67 LI $\geq 10\%$ have a significantly shorter OS than those
48 with a lower Ki-67 LI, indicating that Ki-67 LI is an independent prognostic factor in Indonesian
49 glioma patients.

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65 **List of Abbreviations**

- 66 CNS = central nervous system
67 EGFR = endothelial growth factor receptor
68 FFPE = formalin-fixed paraffin-embedded
69 GFAP = glial fibrillary acid protein
70 GTR = gross total resection
71 HE = hematoxylin-eosin staining
72 IDH = isocitrate dehydrogenase
73 LI = labeling index
74 MGMT= O(6)-methylguanine-DNA methyltransferase
75 OS = overall survival
76 PFS = progression-free survival
77 SPSS = Statistical Package of Social Sciences
78 WHO = World Health Organization

79

80

81 **Introduction**

82 Glioma is the most common brain tumor in the world (Arshad et al., 2010). However,
83 glioma incidence in Southeast Asia is the lowest globally (2.55/100,000 population) (Leece et
84 al., 2017). There is a need for precise glioma epidemiological data in Indonesia. Gliomas are
85 classified into four grades (1 to 4) based on morphological features: cellularity, nuclear atypia,
86 mitotic activity, pseudopalisading necrosis, and microvascular proliferation (Louis et al., 2016).
87 Morphological interpretation can be very subjective, especially if the sample size is small or the
88 histological appearance is difficult to assess (intricate histology). Mitosis, which reflects the
89 tumor proliferation rate and is related to a patient's survival, will also be challenging to identify
90 if it is only stained with Hematoxylin and Eosin (HE) (Skjulsvik et al., 2014).

91 Ki-67 is a non-histone protein expressed during mitosis in the cell cycle (G1, S, and G2)
92 but not in the quiescent phase (G0) (Li et al., 2015; Sun and Kaufman, 2018; Menon et al.,
93 2019). It is a reliable indicator for distinguishing tumor biological behavior and assessing tumor
94 cell proliferation activity and is an independent predictor of survival and glioma responsiveness
95 to therapy (Habberstad et al., 2011; Zeng et al., 2015). In addition, Ki-67 also serves to

96 distinguish high-grade and low-grade gliomas, both of which have fundamental therapeutic
97 differences (Hsu et al., 2003; Nielsen et al., 2013).

98 According to several studies, the role of the Ki-67 proliferation index as a prognostic
99 indicator of survival in glioma patients is still unclear (Fisher et al., 2002; Uematsu et al., 2005).
100 Additionally, there is no established Ki-67 labeling index (LI) cutoff point for distinguishing the
101 prognosis of gliomas. This study aims to determine the role of Ki-67 LI as a prognosis factor in
102 glioma, especially in the Indonesian population.

103

104 **Material and Methods**

105 *Samples and Data Collection*

106 This is an analytical study with a retrospective cohort approach to assessing glioma
107 patients' overall survival (OS) based on the Ki-67 LI. Other prognostic predictors such as age,
108 sex, grading, and tumor location were also analyzed. From January 2010 to June 2023, ninety-
109 one patients diagnosed with glioma in Dr. Sardjito General Hospital were enrolled, regardless of
110 the grade of malignancy. Patients had to have undergone either biopsy or resection to be included
111 in the study. Those with incomplete clinical data and insufficient paraffin blocks for
112 immunohistochemical analysis were excluded from the study. The Ethics Committee approved
113 this study. All FFPE samples were reviewed and reclassified based on the WHO 2021 CNS
114 tumor classification. All samples were stained with GFAP (clone IHC484, GeneAb Monoclonal
115 Rabbit Anti-Human) and IDH-1 (clone H09, Anti-Human IDH1 R132H, Mouse Monoclonal
116 Antibody Dianova) immunostaining.

117

118

119 *Immunohistochemistry Staining*

120 Briefly, all FFPE samples were cut into 3 µm sections, deparaffinized using xylene, and
121 hydrated before the antigen retrieval process using Tris EDTA buffer pH 8.0, followed by
122 incubation with primary and secondary antibodies. Mouse monoclonal Ki-67/MIB-2 (Biocare
123 Medical, USA, 1:100) was used as the primary antibody. 3,3'-diaminobenzidine was used as the
124 chromogenic substrate and counterstained with Mayer Hematoxylin.

125
126 *Evaluation of Ki-67 Immunostaining*

127 Two observers evaluated the Ki-67 LI using the standard method, the photomicrograph
128 counting technique, adapted from a previous study, where the maximum number of tumor cells
129 counted in 1 photomicrograph is 500. The counting continued until the total number of tumor
130 cells reached 1000. The average results of Ki-67 staining by two observers were the final results
131 for the tumor proliferation index. The entire range of brown color intensity in tumor cell nuclei is
132 interpreted as immunopositive (Leung et al., 2016).

133
134 *Parameters studied*

135 The parameters studied included patient demographics, such as age (≥ 60 / < 60 years old),
136 sex, tumor grade (Grade 1-4), location of the tumor (supratentorial/infratentorial), and Ki-67 LI.
137 All parameters studied were analyzed regarding patients' OS.

138
139 *Follow-up*

140 Survival data were gathered in the outpatient clinic during patients' visits, in the ward
141 during their hospitalization, and through phone calls or home visits. OS was calculated as the

142 time between the initial pathology diagnosis and either death or the last follow-up for cases
143 where the outcome was not yet determined.

144

145 *Statistical Analysis*

146 All data were analyzed using SPSS version 22 (IBM Corp., Armonk, NY). Two
147 observers' data from the measurement of Ki-67 were tested for Cohen's Kappa reliability to
148 determine the consistency of measurements made by two assessors (Rater).

149 Survival time was estimated using the Kaplan-Meier method. Bivariate analysis was used
150 to identify the relationship of Ki-67, age, sex, and tumor grade as a prognostic value of the OS of
151 glioma patients using the log-rank test method (Mantel-Cox). Multivariate analysis was
152 continued on parameters with a value of $p < 0.25$ and analyzed using the Proportional Hazards
153 (Cox Regression) models. A p-value < 0.05 was considered significant.

154

155 **Results**

156 A total of 91 FFPE samples, were predominately male (56.0%), with ages ranging from 2
157 to 73 years but mostly under 60 years (83.5%), with an average age of 41.8 years. Based on
158 WHO grading, 4.4% of the sample was grade 1, 31.9% grade 2, 18.7% grade 3, and 45.1% grade
159 4. The most common subtype of glioma was IDH-wildtype glioblastoma (40.7%). The most
160 common glioma location was supratentorial (93.4%). Most cases had multiple brain lobes
161 affected, with 52 patients (57.1%) showing involvement in various lobes. The characteristics of
162 the patients based on clinicopathological factors are summarized in Table 1.

163

164

165 *Measurement of Ki-67 LI*

166 The expression of Ki-67 increased with tumor grading, ranging from 0.15% to 89.40%.
167 Grade 1 had an average expression of 0.5%, grade 2 3.69%, grade 3 21.49%, and grade 4
168 26.11%. Only two low-grade glioma samples had Ki-67 $\geq 10\%$, while five high-grade glioma
169 samples had Ki-67 $< 10\%$. Figure 1A-D illustrates the Ki-67 measurement for each grade.

170

171 *Bivariate analysis*

172 Until June 2023, 71.8% of patients survived, and 28.2% died. Age, glioma grade, and Ki-
173 67 were factors influencing prognosis, according to Table 2. Kaplan-Meier was used to evaluate
174 the impact of prognostic factors on survival (Figure 2A-E). Although females had a longer
175 median OS, sex was not statistically related to survival, with a *p*-value of 0.809. In addition,
176 patients aged 60 or older had shorter OS than those under 60 (mean OS of 13 months vs. 42.5
177 months, with *p*-value = 0.001).

178 The glioma grade was categorized into low (1, 2) and high-grade (3, 4). High-grade
179 glioma results in significantly shorter survival compared with low-grade glioma. The median OS
180 for a high-grade glioma was 20.7 and 42.5 for a low-grade glioma, with a log-rank score of 5.61
181 and a *p*-value of 0.018.

182 Infratentorial gliomas had a shorter survival time than supratentorial gliomas. The median
183 OS for infratentorial gliomas was 13 months, with 28.2 months for supratentorial gliomas.
184 However, these results were not statistically significant, with a *p*-value of 0.107.

185 Patients with an average Ki-67 LI of 10% or higher experienced shorter OS, with a
186 median of 18.8 months compared with 42.5 months for individuals with a lower index. Statistical
187 analysis showed a significant *p*-value of 0.006.

188

189 *Multivariate analysis*

190 A prognosis factor with $p < 0.25$ was included in this analysis. In multivariate analysis,
191 only Ki-67 $\geq 10\%$ was statistically significant ($p < 0.005$), being an independent predictor of
192 survival in gliomas. Ki-67 $\geq 10\%$ was associated with a higher risk of death. Specifically, those
193 with infratentorial tumors had a 5.02 times higher risk of death, and those with Ki-67 $\geq 10\%$ had
194 a 3.81 times higher risk of death than those with Ki-67 $< 10\%$ (see Table 3).

195

196 **Discussion**

197 The ratio of males and females in this study was 1.29:1, similar to the WHO 2016
198 publication, which stated that gliomas were more common in males with a ratio ranging from
199 1.2:1 to 2.3:1 depending on the glioma subtype (Louis et al. 2016). A study also stated that
200 gliomas were 30-50% more frequent in males and increased with age (Leece et al., 2017; Ostrom
201 et al., 2018a).

202 In this study, female patients had shorter survival than male patients (28.2 vs. 42.5
203 months). However, this difference was not statistically significant ($p = 0.759$). Therefore, it can
204 be concluded that sex is not a prognostic factor for glioma patients in this study. This is in line
205 with previous studies showing that sex cannot be an independent predictor of survival in glioma
206 patients because of the loss of significance in the multivariate analysis (Abd El Atti et al., 2013;
207 Wang et al., 2019). Nevertheless, some studies suggested that being female could be a
208 marginally favorable prognosis factor. This was due to the higher number of IDH mutations and
209 MGMT methylation in females (Ostrom et al., 2018b).

210 Age of onset has also been linked to the prognosis of glioma (Dahlrot, 2014). Previous
211 studies showed that age ≥ 60 years increases the risk of death in patients with glioblastoma by
212 3.03 times compared with patients younger than 60 years (Reavey-Cantwell et al., 2001). It was
213 also shown that, in grade 4 gliomas, age over 50 can be a negative prognostic factor (Deacu et
214 al., 2022a). In contrast to previous studies, based on the bivariate analysis in this study, there
215 were significant differences in the OS of patients aged < 60 and those ≥ 60 . However, based on
216 the multivariate analysis, age loses its significance, therefore, it cannot be an independent
217 predictor of survival in gliomas.

218 High-grade gliomas (grades 3 and 4) exhibit more invasive growth than low-grade
219 gliomas (grades 1 and 2) (Deacu et al., 2022a; Deacu et al., 2022b). The tumor grade is a crucial
220 prognostic factor (Dahlrot, 2014), classified into high and low grades for distinct clinical
221 approaches and outcomes (Hsu et al., 2003). Higher grades are more malignant with poorer
222 prognoses and histologically distinct features (Walid, 2008). Variables like CDKN2A/2B and
223 surgical resection type also influence tumor grade and survival (Deacu et al., 2022a; Deacu et al.,
224 2022b). Some studies indicate a correlation between increased glioma grade and patient survival
225 (Arshad et al., 2010). Glioma grade is an independent survival predictor in astrocytic gliomas
226 (Abd El Atti et al., 2013). However, conflicting with prior research, the bivariate analysis in this
227 study shows a significant difference ($p = 0.038$). However, the multivariate analysis did not ($p =$
228 0.94), challenging the independence of glioma grade as a prognostic factor. These findings align
229 with a prior study (Wang et al., 2019) highlighting the reduced significance of tumor grade in
230 determining survival.

231 Nearly 70% of adult gliomas were located in the supratentorial region, while in pediatric
232 gliomas, 70% were in the infratentorial region (Hayat, 2010). The rarity of infratentorial gliomas

233 accounts for our limited knowledge regarding their characteristics and clinical behavior. It is
234 known that low-grade cerebellar gliomas in adults frequently progress to high-grade tumors;
235 however, in the pediatric population, this progression is rare and may even regress (Strauss et al.,
236 2013). No studies have yet examined glioma patients' survival rates based on the location of the
237 tumors—whether supratentorial or infratentorial. Nevertheless, this study showed better OS in
238 the supratentorial group (28.2 vs. 13 months), with multivariate analyses yielding statistically
239 significant results ($p=0.022$), underscoring tumor location as an independent predictor of glioma
240 survival. This result is probably associated with the difficulty of performing resection in
241 infratentorial tumors. Gliomas located in the infratentorial region and brain stem present
242 challenges for total resection, leading to a poorer prognosis. The primary factor affecting the
243 percentage of Gross Total Resection (GTR) is tumor location, representing challenges for
244 complete resection in deep-seated tumors. Subtotal resection in supratentorial gliomas carries a
245 mortality increase of 50% to 100%, similar to that in infratentorial locations (Blionas et al.,
246 2018). Regrettably, in this study, data on tumor resection rates were unavailable, preventing
247 further analysis.

248 The Ki-67 protein is expressed in all cell cycle phases except G0 and is a good
249 proliferation marker. In a meta-analysis review, immunoreactive tumors with Ki-67 antibodies
250 had far worse survival than tumors that did not express Ki-67. The mechanism underlying the
251 influence of Ki-67 expression on tumor development and prognosis has yet to be established.
252 However, it must be considered that the level of Ki-67 expression reflects the ability of tumor
253 cells to continue to multiply after the tumor is resected. Indeed, several studies have suggested
254 that the Ki-67 LI can be a potential prognostic indicator for glioma patients (Walid, 2008; Chen

255 et al., 2015). However, most previous studies were only performed in high-grade glioma patients
256 (Agarwal et al., 2019; Abd El Atti et al., 2013; and Tavares et al., 2018).

257 Unlike previous studies, this study encompasses all glioma grades to determine the
258 significance of the Ki-67 LI on OS. The method of measuring Ki-67 and the uniform field of
259 view was expected to reduce variability. Statistical analysis using bivariate methods (Kaplan-
260 Meier method and log-rank test) and multivariate analysis (Cox regression) shows that glioma
261 patients with Ki-67 <10% have a significantly longer overall survival ($p < 0.005$). This indicates
262 that Ki-67 with a 10% cutoff is an independent predictor of survival for glioma patients. Ki-67 \geq
263 10% increases the risk of death by 3.81 times compared with Ki-67 <10%. The results aligned
264 with the study of Uematsu et al., however, other research showed contradictions. Ki-67 $\geq 22\%$
265 (Wong et al., 2019) or $> 27\%$ (Bredel et al., 2002) in glioblastoma indicated extended survival,
266 up to a 6:1 ratio for five-year survival. Varied outcomes were likely due to increased cell
267 proliferation, affecting tumor susceptibility to chemoradiotherapy, as seen in lymphomas (Bredel
268 et al., 2002).

269 This study has several limitations. First, several important variables that can affect OS,
270 such as the extent of surgery and tumor recurrence, were not analyzed due to the unavailability
271 of the data. Additionally, since it relies on retrospective data, it may be prone to biases and
272 limitations associated with such an approach.

273

274 **Conclusion**

275 This study demonstrated that glioma patients with a Ki-67 LI $\geq 10\%$ have a significantly
276 shorter OS than those with a lower Ki-67 LI, indicating that Ki-67 serves as an independent
277 prognostic factor in Indonesian glioma patients. This finding indicates the usefulness of

278 measuring Ki-67 LI in glioma as it helps the treating physician to understand the prognosis and
279 develop appropriate treatment plans.

280

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283

284 **Declaration of Conflicting Interest(s)**

285 All the authors hereby declare that no conflicting interest(s) may affect the work and
286 result of this paper.

287

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289 authors. B) The comma between the two last authors should be removed, the word “and” is
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291 name. D) The issue number should be removed. E) the DOI number should be removed. You can
292 get the instructions to authors in our web site. Please, follow them carefully.

293

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HISTOLOGY AND HISTOPATHOLOGY
(non-edited manuscript)

404 **Tables**405 **Table 1.** Characteristics of patients based on clinicopathological factors

Variables	N (n=91) (%)	Mean	Median (SD)
Sex			
Male	51 (56.0)		
Female	40 (44.0)		
Age (years old)			
>60	15 (16.5)		
≤60	76 (83.5)		
Grade of Glioma			
<i>Low Grade</i>			
Grade 1	4 (4.4)		
Pilocytic astrocytoma	4 (4.4)		
Grade 2	29 (31.9)		
Diffuse astrocytoma, IDH-wildtype	14 (15.4)		
Diffuse astrocytoma, IDH-mutant	7 (7.7)		
Oligodendroglioma, IDH-mutant	6 (6.6)		
Ependymoma	2 (2.2)		
<i>High Grade</i>			
Grade 3	17 (18.7)		
Astrocytoma, IDH-wildtype	12 (13.2)		
Astrocytoma, IDH-mutant	1 (1.1)		
Oligodendroglioma, IDH- mutant	2 (2.2)		
Ependymoma	2 (2.2)		
Grade 4	41 (45.1)		
Glioblastoma, IDH-wildtype	37 (40.7)		
Astrocytoma, IDH-mutant	4 (4.4)		
Tumor location			
Supratentorial	85 (93.4)		
Infratentorial	6 (6.6)		
Lobe involvement			
Single lobe	39 (42.9)		
Multiple lobes	52 (57.1)		
Ki-67 LI			
Grade 1	4 (4.4)	16.8	
Grade 2	29 (31.9)	0.5	
Grade 3	17 (18.7)	3.69	
Grade 4	41 (45.1)	21.49	
<10%	41 (45.1)	26.11	
≥10%	50 (54.9)		
Overall survival (months)			
Ki-67 ≥10%			18.8 (4.7)
Ki-67 <10%			42.5 (16.2)

406 SD: standard deviation, OS: overall survival.

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408 **Table 2.** The correlation of prognostic factors with overall survival in the bivariate analysis

Variables	Median OS (SD) (months)	X^2	<i>Log Rank</i> <i>Sig.</i>
Sex		0.06	0.809
female	28.2 (4.8)		
male	42.5 (0)		
Age (year)		10.7	0.001*
≥ 60	13.0 (4.7)		
< 60	42.5 (13.4)		
Grade Glioma		5.61	0.018*
<i>Low Grade</i>	42.5 (16.5)		
<i>High Grade</i>	20.7 (4.6)		
Tumor location		2.6	0.107
Supratentorial	28.2 (9.7)		
Infratentorial	13.0 (8.2)		
Ki-67 LI cutoff point 10%		7.53	0.006*
$\geq 10\%$	18.8 (4.7)		
$< 10\%$	42.5 (16.2)		

* Statistically significant ($p < 0.05$). SD: standard deviation.

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419 **Table 3.** Independent predictive factors in glioma based on multivariate analysis

Prognostic factors		Unadjusted HR (95% CI)	<i>p</i>	Adjusted HR (95% CI)	<i>p</i>
Age	≥60	4.20 (1.65-10.67)	0.003*	2.51 (0.94-6.68)	0.065
Sex	Male	0.91 (0.41-2.01)	0.809	-	-
Grading	High Grade	2.76 (1.16-6.58)	0.022*	-	-
Location	Infratentorial	2.65 (0.77-9.06)	0.121	5.02 (1.26-19.97)	0.022*
Ki-67 LI	≥10%	3.29 (1.35-8.08)	0.009*	3.81 (1.39-10.41)	0.009*

420 * Statistically significant ($p < 0.05$) HR: Hazard ratio, CI: Confidence interval.



