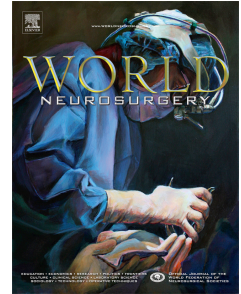


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Safety and Technical Efficacy of Pediatric Brainstem Biopsies: An Updated Meta-Analysis of 1000+ Children

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Title: Safety and Technical Efficacy of Pediatric Brainstem Biopsies: An Updated Meta-Analysis of 1000+ Children

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Previous Presentations: Preliminary results were presented by Dr V Recinos at the annual meeting of the American Society of Pediatric Neurosurgeons in January 2024. Abstract has also been submitted for consideration to the annual meetings of the American Association of Neurological Surgery (AANS) 2024 and International Symposium of Pediatric Neuro-Oncology 2024.

1 Abstract

2 Background: Brainstem tumors represent ~10% of pediatric brain tumors, ~80% of these are diffuse
3 midline glioma (DMG). Given invariably poor prognosis in DMG, there continues to be immense variation
4 worldwide in performing biopsy of these lesions. Several contemporary studies in recent years have
5 provided new data to elucidate the safety profile of biopsy and an updated meta-analysis is thus indicated.

6 Methods: We found 29 studies of pediatric brainstem biopsy in the last 20 years (2003-2023, 1002
7 children). We applied meta-analysis of proportions using a random-effects model to generate point
8 estimates, confidence intervals, and measures of heterogeneity.

9 Results: 87% of procedures were stereotactic needle biopsies (of these, 62% with a frame, 14% without
10 frame, and 24% robotic.) Biopsy resulted in a histological diagnosis ("technical yield") in 96.8% of cases
11 (95% CI 95.4-98.2). Temporary complications were seen in 6% (95 CI 4-8), with the most common
12 neurological complications being 1) cranial nerve dysfunction, 2) worsening or new ataxia, and 3) limb
13 weakness. Permanent complications (excluding death) were seen in 1% (95% CI 0.5-2), most commonly
14 including cranial nerve dysfunction and limb weakness. 5 deaths were reported in the entire pooled cohort
15 of 1002 children (0.5%).

16 Conclusions: When counseling families on the merits of brainstem biopsy in children, it is reasonable to
17 state that permanent morbidity is rare (<2%). If biopsy is performed specifically to facilitate enrollment in
18 clinical trials requiring a molecular diagnosis, the risks of biopsy outlined here should be weighed against
19 potential benefits of trial enrollment.

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35 Introduction

36 Brainstem tumors compromise 10-20% of childhood brain tumors ^{1,2}. Diffuse midline gliomas (formerly
37 diffuse intrinsic pontine gliomas or DIPG) are the most frequently encountered brainstem tumor in
38 children ³ and are associated with a universally poor prognosis, with a median overall survival of less than
39 12 months in a large contemporary DIPG registry ⁴.

40 The role of brainstem biopsies in the management of DMGs has been the subject of sustained controversy
41 for the last three decades. Brainstem biopsies were being performed in the 1980s in both adults and
42 children ⁵. With the advent of MRI and the realization that a radiographic diagnosis of DMG could be made
43 on the basis of hallmark findings in many cases, the following question arose: *even if the risks of biopsy*
44 *are low, can they be justified if the prognosis of the disease is invariably poor?* In a seminal article in 1993,
45 Albright and colleagues presented the findings of the Children's Cancer Group ⁶. They argued that "MR
46 scans provide images that are virtually diagnostic of brain stem gliomas and yield prognostic information
47 equivalent to that obtainable from biopsies." Notably, they acknowledged that biopsies may indeed be
48 indicated in select scenarios where diagnosis may not be clear (e.g. presence of a focal, enhancing mass
49 or a dorsally exophytic tumor protruding into the 4th ventricle). They also left open the possibility that
50 biopsy may be indicated if it could "alter the treatment for a patient in a prospective clinical trial." They
51 concluded that biopsies outside of these relatively uncommon indications at the time were likely
52 unwarranted, noting that "as yet no one has demonstrated that modifications in therapy based on the
53 biopsy results contribute to improved outcome." In the thirty years since the Albright paper, elements of
54 the case against widespread utilization of brainstem biopsy have been scrutinized. Several studies have
55 called into question the notion of DMG as a radiographic diagnosis by noting issues of inter-observer
56 variability ⁷ and low specificity ⁸.

57 Importantly, our knowledge around the disease has grown meaningfully over the last decade. We now
58 recognize that though DMG shares the histological hallmarks of high grade astrocytomas in adults, it does
59 not respond to similar therapeutic approaches ^{9,10}. Furthermore, we now know that one of the defining
60 features of DMG is epigenetic dysregulation. In seminal studies in 2012, the histone 3 mutation *H3K27M*
61 was identified as a pathognomonic feature of DMG present in almost 80% of cases. This molecular feature
62 was key to defining the new entity 'diffuse midline glioma H3 K27M-mutant' in the 2016 and 2021 WHO
63 CNS tumor classification schemes with the vital recognition that tumors bearing this mutation are grade
64 IV irrespective of histological features ¹¹⁻¹⁴. Other less frequent but nonetheless notable molecular features
65 have been identified which are potentially druggable targets including ACVR1 ^{15,16}, PDGFRA ¹⁷, FGFR1, and
66 PP2A ¹⁸. Notably, a targetable surface antigen B7-H3 has also been identified ¹⁹. In the context of these
67 important developments in our understanding of the disease and the emergence of potentially viable
68 therapeutic targets, there has been increasing interest in a more widespread utilization of brainstem
69 biopsy in children ²⁰⁻²³.

70 In most high-volume academic centers, the question of whether or not to offer a brainstem biopsy will
71 ultimately boil down to whether or not the patient may become a candidate for enrollment in a clinical
72 trial on the basis of a histological diagnosis. In such a scenario, surgeons and families must perform a
73 patient-specific risk-benefit analysis weighing the potential morbidity of a biopsy against the potential
74 merits of trial enrollment. Through this meta-analysis, we aim to provide an updated set of estimates of
75 the safety and technical efficacy of performing a biopsy in this area in children that may help surgeons and
76 families make more fully informed decisions.

77 We are not the first to apply a meta-analysis lens to this question. Other authors have published meta-
78 analyses with more limited scope or with mixed populations of adults and children²⁴⁻²⁷. To our knowledge,
79 there is only one high-quality large-scale meta-analysis on this question in the pediatric population²⁸. We
80 believe an updated analysis is indicated because 1) A third of the studies included in the meta-analysis
81 were from the 1980s and 1990s and thus would not be expected to reflect contemporary surgical
82 workflows and outcomes, 2) even the most contemporary series included in the prior meta-analysis are
83 now almost ten years old, 3) multiple large, multi-center prospective studies and registries have since been
84 undertaken (most importantly, DIPG-BATS and INFORM) which should be included in an updated analysis.

85

86 **Methods**

87 Study Selection

88 The study was undertaken in accordance with Preferred Reporting Items for Systematic Reviews and Meta-
89 Analysis (PRISMA) guidelines²⁹. Studies were selected from major online databases (Google Scholar and
90 PubMed) using an initial automated search-term based filtering approach followed by manual selection
91 and exclusion approach (Figure 1 shows workflow for Google Scholar, same approach was used for
92 PubMed). Databases were queried on 1st October, 2023. Notably, only contemporary studies from the last
93 twenty years (2003-2023) were included. Where studies included both pediatric and adult cases, the study
94 was included only if pediatric data could be disaggregated from the adult cases. Some centers have
95 published multiple reports at different timepoints based on a single cohort. In such cases, only the most
96 recent publication (usually with the largest overall cohort being reported) was included in the meta-
97 analysis to ensure patients were not double counted. We did not exclude studies on the basis of sample
98 size or language of publication. Study selection was performed by SS and VR.

99 Data Extraction

100 Datapoints of interest were extracted from the primary literature by the first author. A large random
101 selection of datapoints were cross-checked by the senior author; no discrepancies were found. For each
102 study the following datapoints were extracted about the study: first author name, year of publication,
103 sample size, country of publication and name of hospital, age of patients (mean/median as well as measure
104 of variance), accrual period of patients. The following datapoints were extracted about technical yield:
105 proportion of cases resulting in histological diagnosis, histological diagnoses, method of biopsy (open vs
106 stereotactic vs endoscopic, frame vs frameless vs robotic). The following data were extracted regarding
107 complications: incidence and qualitative description of temporary complications, incidence and qualitative
108 description of permanent complications, incidence and details related to perioperative mortality.

109 Outcome Definitions

110 A biopsy was considered to be technically efficacious if it resulted in a histological diagnosis. We considered
111 a biopsy to be non-efficacious wherever study authors mentioned that the procedure was non-diagnostic,
112 diagnosis was uncertain even after biopsy, or a repeat biopsy was necessary. We considered an event to
113 be a temporary postoperative complication if the patient suffered a neurological deficit attributable to the
114 surgery but with an explicit end date. We considered an event to be a permanent complication when the
115 patient experienced a new neurological deficit attributable to the surgery without an explicit end date.

116 Details of any mortalities were individually captured and not compounded within the category of
117 'permanent complications'.

118 Statistical Analyses

119 Statistical analyses were performed using the Open MetaAnalyst platform³⁰. This extensively validated
120 software package provides inbuilt functionality for meta-analysis of proportions. We generated point
121 estimates as well as 95% confidence intervals. A random-effects model was implemented. We also
122 obtained the I^2 statistic to assess study heterogeneity (in general, values of 25%, 50%, and 75% correspond
123 with mild, moderate, and severe heterogeneity.)

124 Quality and Bias Assessments

125 To assess publication bias, we generated funnel plots with 95% confidence intervals around mean effect
126 size. Asymmetric accumulation outside of these confidence intervals especially in the regions of the plot
127 signifying low precision and high deviation from mean effect size (i.e. lower left and right quadrants) would
128 raise concern for publication bias. Funnel plots were generated in Python using the matplotlib library.

129 To evaluate the overall quality of pooled evidence, we used the GRADE approach³¹. This approach, which
130 is extensively utilized in the academic literature³²⁻³⁴ and is recommended in the Cochrane Handbook³⁵,
131 allows investigators to systematically evaluate the quality of meta-analyzed evidence on the basis of
132 multiple merits (superior study design type, higher effect sizes, demonstration of a dose-response
133 relationship) and demerits (publication bias, lack of consistency in defining/measuring outcome, imprecise
134 effect estimates etc.)

135 Ethics Considerations and Human Subjects Protections

136 No institutional review board (IRB) approval or registration was required for this retrospective review of
137 published academic literature.

138 **Results**

139 After study selection process was applied, 29 studies were included in the meta-analysis as shown in Table
140 1^{8, 36-63}. Studies from across the globe were included; 20% of studies were from the United States, 45%
141 were from Europe, 24% were from Asia, 10% were from Latin America. The median number of patients in
142 each study was 21 with a wide spread (range 5-130).

143 Surgery Type

144 Surgery type was available for 913 cases. Of these 87% were stereotactic and the remainder were open
145 (12.7%) or endoscopic (0.3%). Of the stereotactic cases for which methodology was available (n=625),
146 62% used a frame-based method, 14% were frameless, and 24% were robotic (with or without frame). A
147 time-dependent change in the type of stereotactic surgery was discernable. In studies from 2003-2013,
148 90% of stereotactic brainstem biopsies were frame-based. In studies from 2013-2023, only 37% of
149 stereotactic brainstem biopsies were frame-based, with a greater reliance on frameless stereotactic
150 methods (21%) or robot-assisted methods (with or without frame, 37%).

151 Diagnostic Yield

152 We considered a biopsy to be technically efficacious if it was able to yield a histological diagnosis. Figure 2
153 shows the forest plot describing the meta-analysis for diagnostic yield. Our meta-analysis suggests that
154 96.8% of brainstem biopsies in children are technically efficacious, 95% CI 95.4-98.2%. I^2 statistic for this
155 measure was 24% ($p=0.1$).

156 Reporting on histological subtypes in the context of a study spanning twenty years is greatly complicated
157 by two factors: 1) there was variability in how much disaggregated histological information was presented
158 in different studies, 2) multiple iterations of the WHO classification of CNS tumors were published over
159 the years spanning this meta-analysis and it is hard to discern which classification system was being used
160 by a particular study at the time of reporting as rates of adoption of new classification systems vary.
161 Nonetheless, we note that gliomas represented 92% of the reported tumors. Of the gliomas for which
162 grading was provided ($n=484$), 68% were histologically high grade (WHO Grade III/IV), though *H3K27M*
163 status was not described for the majority of cases.

164 Temporary Complications

165 Figure 3 shows the forest plot describing the meta-analysis for temporary complications. We found that
166 5.9% of patients had a temporary complication (95% CI 4.1-7.8%). Inter-study heterogeneity for this
167 measure was low ($I^2=29%$, $p=0.08$). The most common temporary neurological complications were 1)
168 cranial nerve dysfunction, 2) new or worsening ataxia, and 3) limb weakness. Notably, nine studies
169 mentioned post-operative radiographic findings (hemorrhage or pneumocephalus) as a “complication”
170 ($n=22$ children) but did not consistently state whether these findings were associated with a temporary
171 neurological deficit or prolonged hospitalization^{36, 38, 40, 41, 46, 52, 56, 61}. 2 studies referred to ‘delayed
172 awakening’ ($n=4$ children) as a temporary complication but did not clarify how this diagnosis was made in
173 the post-anesthesia setting^{38, 41}. Pneumonia was the most common non-neurological temporary
174 complication, only noted in 3 cases⁴¹.

175 Permanent Complications

176 Figure 4 shows the forest plot for the meta-analysis on permanent complications. We found that 1.1% of
177 patients suffered a permanent complication (95% CI 0.5-1.8%). Inter-study heterogeneity of this measure
178 was low ($I^2=1%$, $p=0.45$). The most common permanent complications (not including death) were 1)
179 cranial nerve dysfunction and 2) limb weakness.

180 Perioperative Mortality

181 In the cumulative series of 1002 cases, 5 deaths were reported (0.5%)^{55, 61}. Given exceptionally low
182 incidence, formal meta-analysis would be of limited utility but details of each case are presented in Table
183 2.

184 Bias Assessment

185 Funnel plots were generated to evaluate publication bias (Supplementary Figures 1-3). Visual inspection
186 of the funnel plots revealed no gross asymmetries that would suggest a disproportionate failure to
187 publish high-morbidity case series. The funnel plot for temporary complication rate showed that a
188 handful of high-morbidity case series (with lower precision due to smaller sample sizes) were likely
189 responsible for increasing the mean effect size. In the funnel plot for temporary complication rate, six
190 studies fell outside the 95% confidence intervals of mean effect size; four of these had markedly low

191 incidence rate for temporary complications (range 0-3%)^{8, 43, 47, 58} while 2 had markedly high rates (range
192 24-35%)^{36, 53}. Funnel plot analysis was used as a component of publication bias assessment; studies were
193 not removed post-hoc from the analysis on the basis of the funnel plot analysis.

194 Quality Assessment

195 Based on GRADE criteria, the baseline quality of evidence was low due to the fact that source studies
196 were observational case-series as opposed to randomized controlled trials (Table 3). The quality of the
197 pooled evidence is further decreased due to an inconsistent definition scheme for complications,
198 imprecision in determining incidence of complications that is inherent in small cohorts, and a concern for
199 publication bias. The quality of the pooled evidence is improved by the fact that effect sizes are high. On
200 balance, the overall quality of the pooled evidence is low.

201 **Discussion**

202 The recent neurosurgical literature is ripe with calls for consideration of judicious but more widespread
203 utilization of brainstem biopsies in children, all motivated by the promise of emerging therapeutic targets
204 which can only be tested in a trial setting with requisite tissue diagnosis²³. In situations where trial
205 enrollment is feasible, the discussion between surgeon and family will center around a risk-benefit analysis
206 wherein the risks of biopsy will be weighed against the possible benefits of trial enrollment. For
207 neurosurgeons engaging in these discussions, evidence-based estimates of safety and technical efficacy
208 are indispensable. In this study, we present data to support the notion that brainstem biopsies in children
209 are likely safe (risk of permanent morbidity <2%) and efficacious (diagnostic yield ~ 94%).

210 In 2020, the Neurosurgery Working Group (NWG) of the SIOP-Europe Brain Tumor Group (BTG) published
211 results of a survey with 73 neurosurgeon respondents⁶⁴. 86% of surgeons felt that biopsy was not needed
212 to diagnose DIPG in every case and 57% would only offer biopsy in the context of a prospective clinical
213 trial. Perhaps unsurprisingly, 93% agreed to biopsy if molecular targets identified would guide treatment.
214 Notably however, 65% stated that biopsy was justified if molecular targets were being investigated *even if*
215 these findings were *not* used as treatment targets for the patient in question. The results of the NWG
216 survey highlighted the variability in how surgeons approach this challenging but not infrequently
217 encountered clinical scenario. We outline one possible approach in Figure 5 based on our own experience.

218 An important finding from our study is that the incidence of temporary complications (resulting in
219 prolonged hospitalization or temporary neurological deficit) is not trivial i.e. 5.9%, 95%CI 4.1-7.8%. Though
220 temporary, these complications still carry a heavy weight in light of the limited overall survival of patients
221 with DMG (the most frequently encountered diagnosis of these biopsies). On the opposite end of the
222 spectrum, we found that perioperative mortality in the context of brainstem biopsy was exceptionally rare.
223 Notably, all reported mortalities (n=5) were from only 2 series, both published within the last five years.
224 The latter observation raises concern for publication bias which we endeavored to investigate and quantify
225 though funnel-plot analysis. Though the funnel plots did not show gross asymmetries which would suggest
226 that high-morbidity studies were failing to get published, we felt there was persistent concern that a
227 publication bias was likely and thus incorporated this concern into our quality-of-evidence assessment.

228 It is useful to situate our results in the context of prior meta-analyses conducted on this topic. In their
229 analysis of a large (n=1480) mixed (adult and pediatric) cohort, Kickingeder et al reported 96.2%
230 diagnostic success, 7.8% overall morbidity (95% CI 5.6-10.2), 1.7% permanent morbidity (95% CI 0.9-2.7),

231 and 0.9% mortality (95% CI 0.5-1.4).²⁴ In their 2017 analysis of 735 children, Hamisch et al reported 96.1
232 diagnostic success, 6.7% overall morbidity (95% CI 4.2-9.6), 0.6% permanent morbidity (95% CI 0.2-1.4),
233 and 0.6% mortality (95% CI 0.2-1.3). Our estimates, which are based on a purely pediatric population
234 within a more contemporary context, are grossly stable compared to these earlier studies. Notably, we
235 have provided data for the specific temporary and permanent complications encountered which were
236 missing in prior studies. Recently, Fu et al have published a meta-analysis specifically on children
237 undergoing brainstem biopsy wherein a radiographic diagnosis of DMG has already been made. In this
238 highly selective study (pooled cohort N = 381), they reported an overall morbidity rate of 11% (95% CI 4.8
239 – 18.9%), 0.3% permanent morbidity (95% CI 0-2.2), and 3 mortalities in the total cohort (0.8%). It should
240 be noted that the Fu study (which deals specifically with presumed DMG in children) reports a higher
241 temporary complication rate (upper limit of 95% confidence interval is ~19%, compared to <10% in our
242 and other prior studies). It is possible that children with DMG have a higher risk of developing temporary
243 complications after brainstem biopsy compared to those with non-DMG brainstem lesions.

244 In our experience, it is not uncommon to witness some degree of nihilism when faced with the question
245 of establishing tissue diagnosis in cases where the combination of radiographic findings and clinical course
246 leave little room for differential diagnosis. Refrains dismissing potential benefits of trial enrollment on the
247 grounds that countless previous trials have been unable to improve overall survival are not unheard of.
248 We suggest that such a dismissal of the potential merits of trial enrollment neglects the substantial leaps
249 that have occurred in 1) our understanding of the pathophysiology of DMG and 2) our ability to identify
250 therapeutic targets (a pre-requisite for drug discovery and development). Importantly, when effective
251 therapies for DMG do emerge, it is likely that the first patients to benefit may be those in a trial setting
252 and in the vast majority of cases a tissue diagnosis will likely be a pre-requisite for enrollment.

253 Limitations

254 The most significant limitation of this report, which is common to the majority of meta-analyses in the
255 neurosurgical literature, is that the source studies included in the analysis are retrospective observational
256 case series and thus the overall quality of the pooled evidence will always be limited compared to those
257 obtained from large trials. Unfortunately, there is no remedy to the problem of low-quality source
258 literature. Nonetheless, we have followed best practices by evaluating bias and performing quality
259 assessment of the pooled estimates. We suggest that, notwithstanding this important limitation, clinicians
260 may find the pooled estimates of such a large patient population informative.

261 The issue of inconsistent outcome definition was an important limitation of this study. Most notably, the
262 definition of ‘temporary complications’ was inconsistent across the source literature. Before embarking
263 on data extraction, we established an ‘*a priori*’ definition of temporary complications which included any
264 post-surgical events that the authors of the source studies explicitly classified as such. Nine studies
265 referred to radiographic evidence of postoperative blood or air as a temporary complication, and thus we
266 included these events in our counts. We note, however, that the mere presence of blood or air in the post
267 biopsy setting would not generally be considered a complication unless there was an attributable deficit
268 associated with the finding or the imaging results prolonged hospitalization. Several studies also referred
269 to ‘delayed awakening’ as a temporary complication, but there was no consistent description of how this
270 diagnosis was made in the post-anesthesia setting. This degree of inconsistency is perhaps to be expected
271 given that our report utilizes data from over two dozen neurosurgical departments spread across 4

272 continents. Nonetheless, the inconsistency of definitions is undesirable and was factored in our quality
273 assessment.

274 Finally, the present study is limited in scope as it only seeks to elucidate the risk profile of biopsies and
275 does not simultaneously consider the benefits of the information that may be gained from biopsy. As such,
276 this review only addresses one half of the risk versus benefit debate. In future studies, it will be worthwhile
277 to evaluate pooled evidence of the benefits that have accrued to patients who have undergone biopsy in
278 terms of changing treatment strategy and clinical outcome. To this end, multiple avenues are being
279 investigated to utilize the molecular information obtained from biopsies to inform treatment schema. In
280 the DIPG-BATS trial (cited above in relation to the important safety data it provided), patients were
281 segregated into treatment groups (varying combinations of bevacizumab, erlotinib, and temozolomide) on
282 the basis of EGFR and MGMT status⁵². Recent results from the INFORM study included 21 DIPG biopsies
283 in which 16 were found to have 'potential targetable alterations'.⁵³ In 5 of these cases, investigators based
284 initial therapy on molecular information (e.g. 2 patients had PDGFRA alterations so tyrosine kinase
285 inhibitors were added to the standard treatment at their center i.e. radiation and temozolomide). In much
286 the same vein, Del Baldo et al have recently published a series of 25 DIPG biopsies⁶⁵. All patients received
287 a standardized initial treatment plan (radiation, nomotuzumab and vinorelbine). At progression, they
288 attempted to tailor treatment based on molecular markers wherever targeted therapies were available
289 (e.g. patients with mTOR pathway alterations got everolimus). Notably, they reported that median overall
290 survival for patients for whom targeted therapies could be used at progression was longer (22 months, n
291 = 9) compared to those for whom no targeted therapy was available. These studies are representative of
292 a new wave of literature wherein treatment schema for DMG will be rationally based on molecular
293 features. Evidence that this paradigm shift in clinical decision making (as opposed to empirical treatment
294 on the basis of radiographic diagnosis) is likely to improve outcomes is still emerging but this is
295 undoubtedly a necessary field of inquiry within a clinical context where survival outcomes have not
296 improved for many decades.

297 Conclusion

298 Within the limitations of meta-analysis of observational studies, the pooled evidence suggests that
299 brainstem biopsies in children are likely safe (permanent complication rate <2%). This favorable risk profile
300 should be taken into account when considering brainstem biopsy in children especially when assessing the
301 risks and benefits of trial enrollment for DMG.

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468 **Figure Legends**

469 Figure 1: PRISMA Flowchart showing selection criteria for studies included in meta-analysis.

470 Figure 2: Forest plot showing meta-analysis of incidence of diagnostic biopsy.

471 Figure 3: Forest plot showing meta-analysis of incidence of temporary complications.

472 Figure 4: Forest plot showing meta-analysis of incidence of permanent complications.

473 Figure 5: Schematic summarizing one feasible approach to brainstem biopsy based on safety data
474 analyzed in the present study

Table 1 – List of studies included in meta-analysis after application of inclusion and exclusion criteria (n=29).

	First Author	N	Country	Center (Hospital if specified, otherwise University)	Age - Mean (Range) (unless specified)	Accrual Period
1	Chico Ponce de Leon et al., 2003 ³⁶	50	Mexico	Hospital Infantil de Mexico Federico Gomes, Mexico City	Median 7, (6 mo - 15)	1989-2002
2	Pincus et al., 2006 ³⁷	8	USA	University of Florida, Gainesville	11 (3-17)	Unclear
3	Pirotte et al., 2007 ³⁸	20	Belgium	Erasme Hospital, Brussels	8.2 (3-13)	1995-2006
4	Schumacher et al., 2007 ⁸	126	Germany	Multicenter, 8 sites	6.9 (all <18)	Unclear
5	Patel et al., 2009 ³⁹	24	India	Apollo Specialty Hospital, Chennai	8, (2 -13)	2004-2007
6	Perez Gomez et al., 2010 ⁴⁰	20	Mexico	Instituto Nacional de Pediatria, Mexico City	7.95 (2-13)	2000-2008
7	Rajshekar et al., 2010 ⁴¹	106	India	Christian Medical College, Tamil Nadu	8.2 (2-18)	1987-2008
8	Haegelen et al., 2010 ⁴²	5	France	Lille University Hospital, Lille	10.3 (6-17)	2004-2006
9	Dellaretti et al., 2011 ⁴³	44	France	Roger Salengro Hospital, Lille	6	1988-2007
10	Cage et al., 2013 ⁴⁴	9	USA	University of California, San Francisco	5.7 (8mo - 10)	2000-2011
11	Ogiwara et al., 2013 ⁴⁵	7	Japan	National Center for Child Health and Development	6.2 (6-12)	2008-2012
12	Manoj et al., 2014 ⁴⁶	41	India	National Institute of Mental Health and Neurosciences, Bangalore	Median 9	1994-2009
13	Puget et al., 2015 ⁴⁷	130	France	Necker Enfants Malades Hospital, Paris	Media 6.7, (1-16)	2002-2015
14	Wang et al., 2015 ⁴⁸	15	USA	Children's Hospital of Michigan, Detroit	Range 1-16	2001-2012

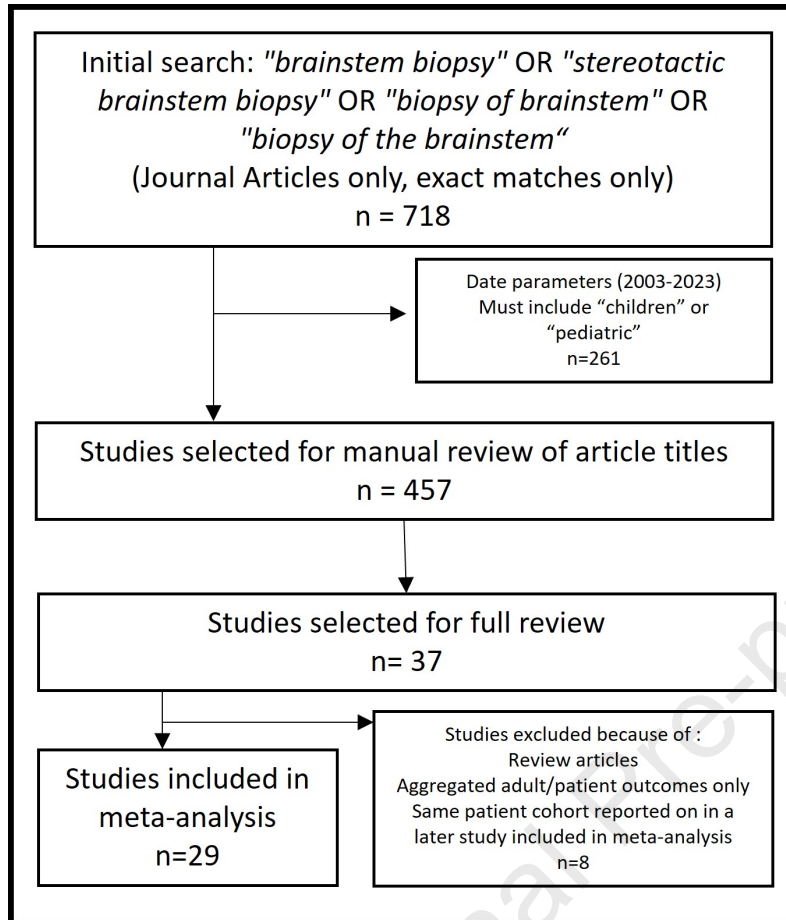
15	Coca et al., 2016 ⁴⁹	5	France	CHU Hautepierre, Srasbourg	8.6 (5-13)	2012-2015
16	Quick Weller et al., 2017 ⁵⁰	5	Germany	University Hospital, Frankfurt	7.5 (< 12)	2015-2016
17	Carai et al., 2017 ⁵¹	7	Italy	Bambina Gesu Children's Hospital, Rome	8, (6-13)	2015-2017
18	Gupta et al., 2018 ⁵² (DIPG-BATS)	50	USA	Multicenter, 23 sites	Median 6.4, (3-17)	2011 - 2015
19	Pfaff et al., 2019 ⁵³ (INFORM)	21	Germany	Multicenter, 12 sites	7.5 (3-15)	2015-2018
20	Dawes et al., 2019 ⁵⁴	11	UK	Great Ormond Street Hospital, London	Median 10 (2-15)	2015-2017
21	Cheng et al., 2020 ⁵⁵	37	China	PLA General Hospital, Beijing	≤18	2015-2017
22	Gupta et al., 2020 ⁵¹	20	USA	Rady Children's Hospital, San Diego	Median 9.1 (IQR 7.3 - 11.2)	2015-2020
23	Morais et al., 2020 ⁵⁷	26	Brazil	Clinic's Hospital of the University of Sao Paulo, Sao Paulo	8.8 (3-17)	2008-2018
24	Hersch et al., 2020 ⁵⁸	58	USA	Unclear Hospital site/sites	Median 7.5 (IQR 3.9 - 14.1)	2011-2019
25	Machetanz et al., 2020 ⁵⁹	7	Germany	Eberhard Karls University, Tuebingen	11 (5-16)	Unclear
26	Peciu-Florianu et al., 2020 ⁶⁰	31	France	CHU Lille, Lille	<16	2001-2017
27	Wang et al., 2022 ⁶¹	71	China	Children's Hospital of Fudan University, Shanghai	6.4 (1-13)	2016-2021
28	Lim et al., 2020 ⁶²	21	Singapore	KK Women's and Children's Hospital, Singapore	Median 5, all <14	2006-2021
29	Fruh et al., 2023 ⁶³	27	Germany	Charite-Universitätsmedizin, Berlin	5 (3-10)	2009-2022
	Sum	1002				

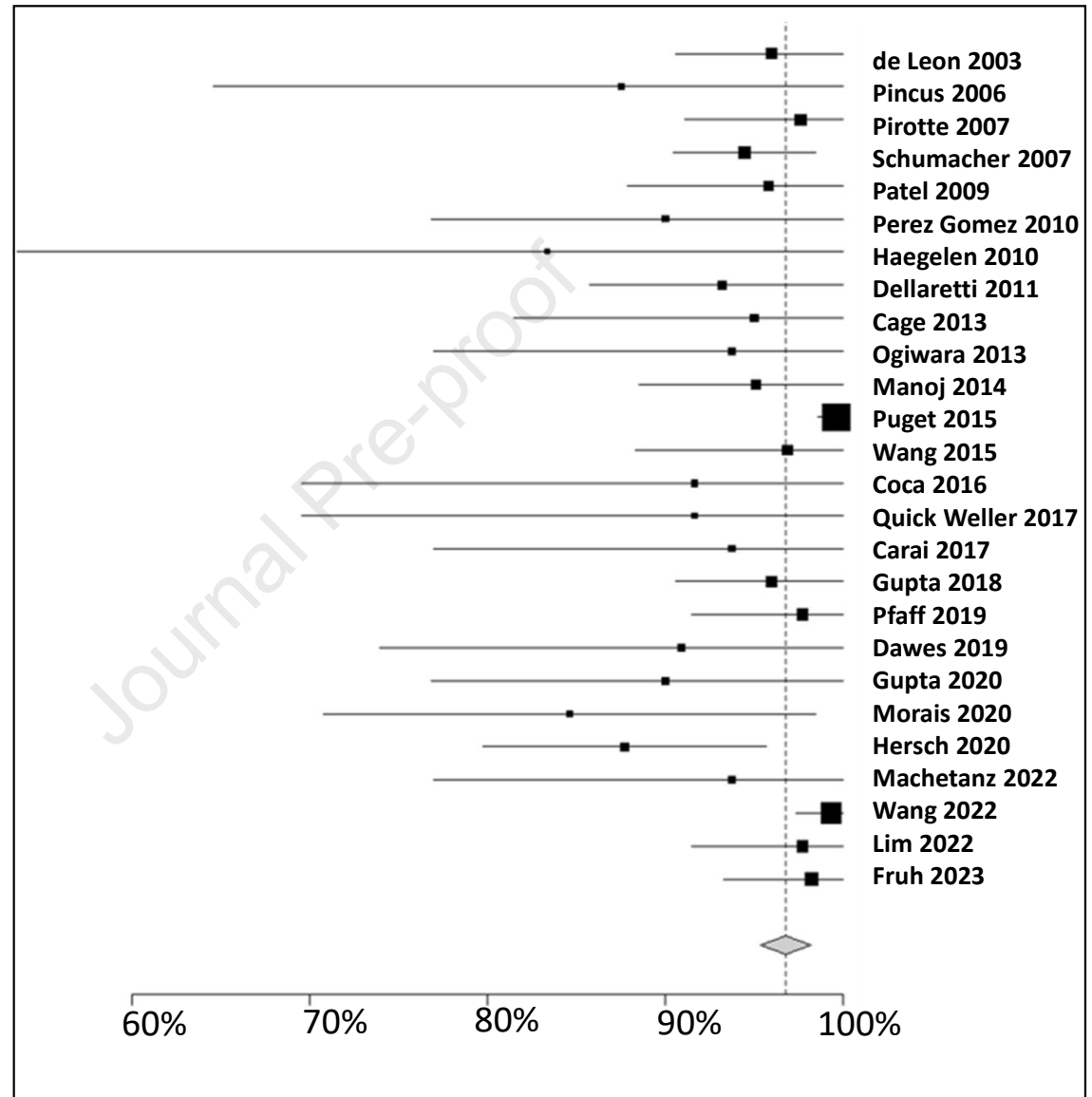
Table 2 – Details of the 5 mortalities that were documented in the reviewed studies

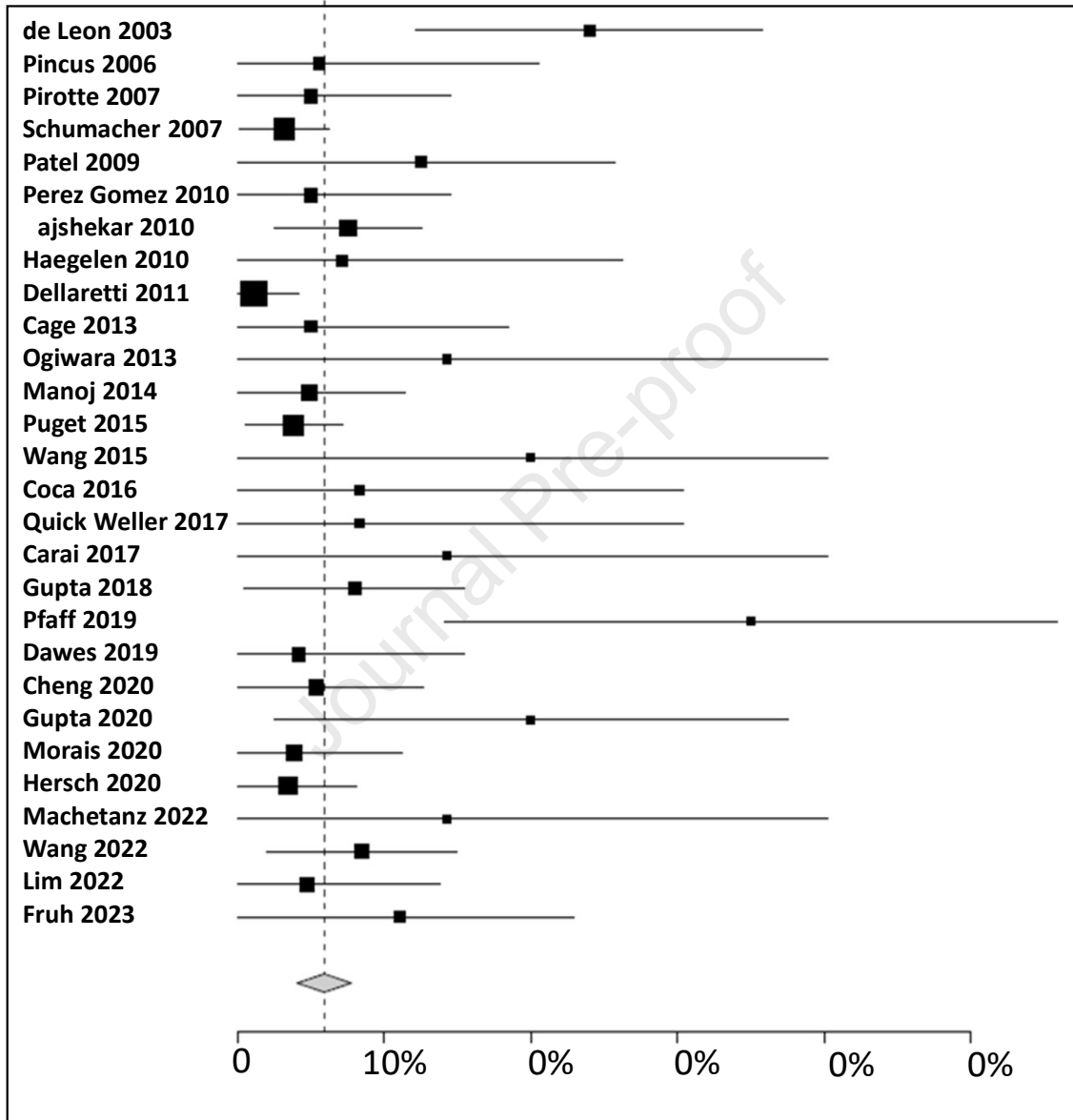
	Study	Patient Age/Gender	Notes
1	Cheng 2020	8 M	stereotactic pontine biopsy, anaplastic astrocytoma, progressive swelling in surgical bed, death on post-operative day 18
2	Cheng 2020	17 F	stereotactic pontine biopsy, anaplastic astrocytoma, cerebral herniation on post-operative day 3
3	Wang 2022	9 F	open microsurgical biopsy of pontine lesion, diffuse glioma, cerebellar swelling noted intraoperatively requiring removal of part of cerebellum, cardiac arrhythmia on post-operative day 2 with subsequent death due to cardiorespiratory arrest
4	Wang 2022	unclear	open microsurgical biopsy, death on post-operative day 5 in the context of cerebellar and tumor edema, increased hydrocephalus, secondary diabetes insipidus and electrolyte abnormalities
5	Wang 2022	unclear	open microsurgical biopsy, post-operative CNS infection, death on post-operative day 23

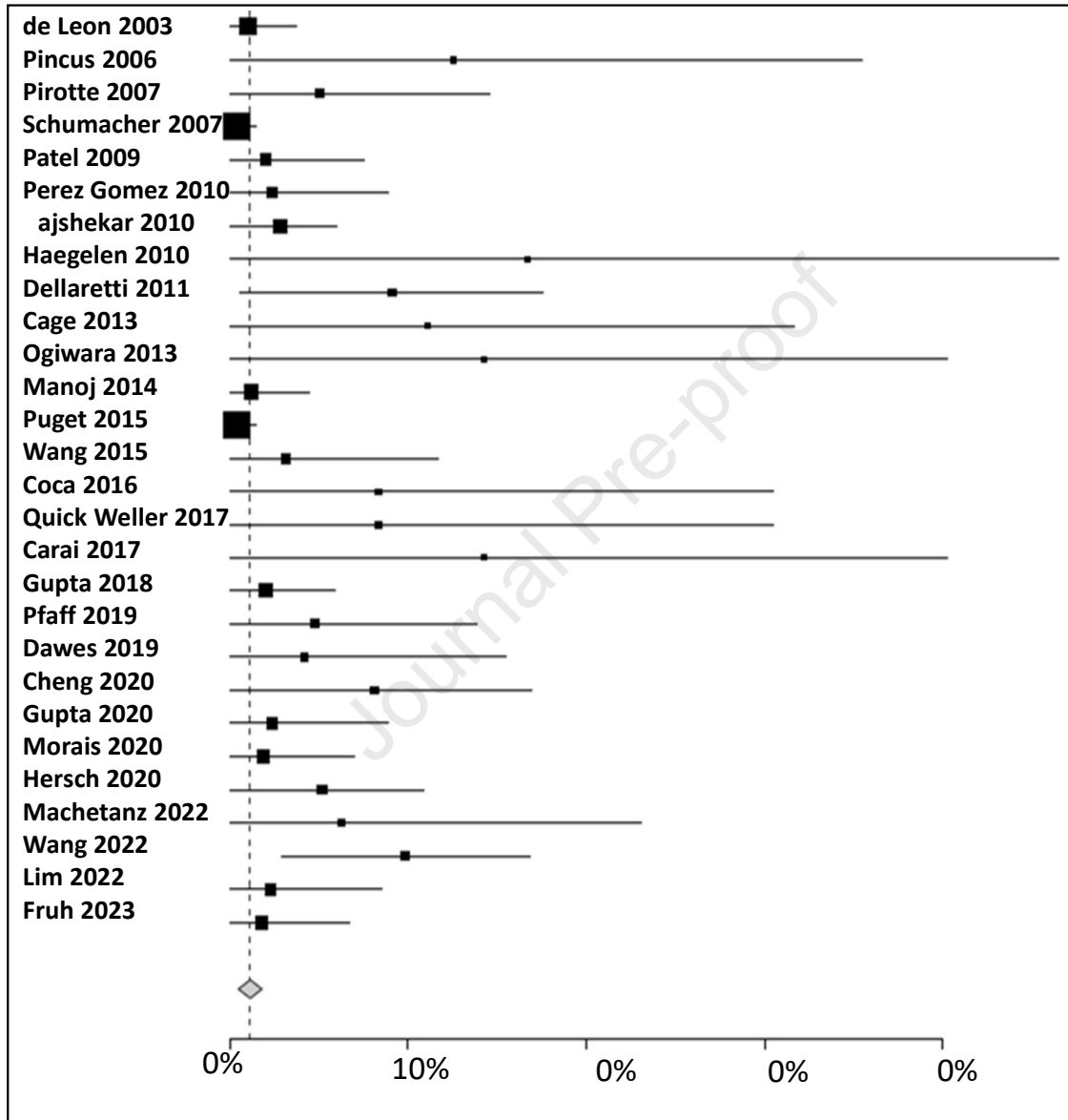
Table 3: Quality assessment of pooled evidence based on GRADE criteria

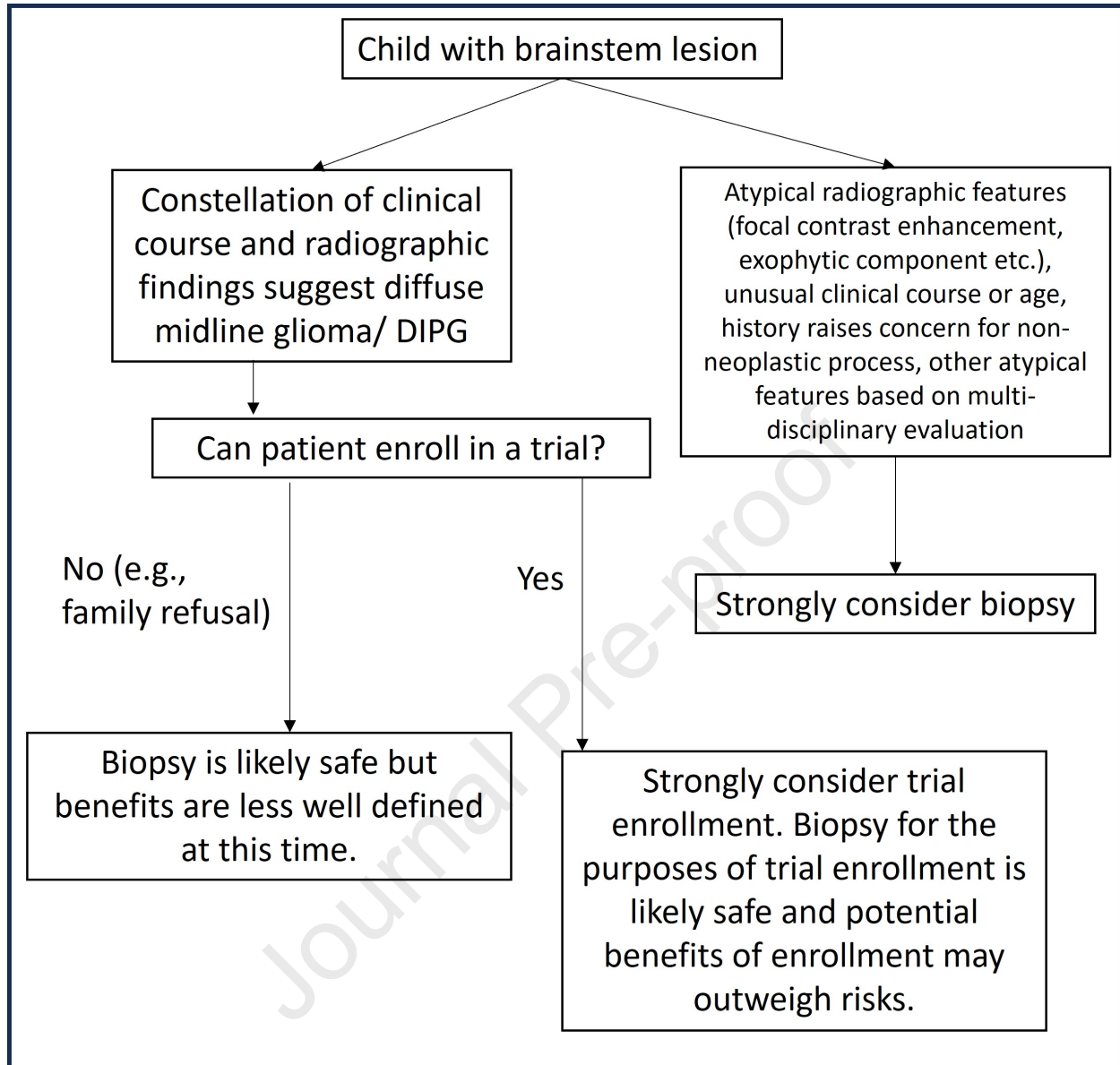
Outcome	Rate (%) (95% CI)	Number of Cohorts	Baseline quality of evidence based on study type (by default, RCT is 'High' and observational is 'Low')	Factors that would lower the quality of evidence by GRADE criteria				Factors that would improve the quality of evidence by GRADE criteria		
				Publication Bias (-1 for likely, -2 for very likely)	Inconsistency (-1 for serious, -2 for very serious)	Indirectness (-1 for serious, -2 for very serious)	Imprecision (-1 for serious, -2 for very serious)	Effect Size (+1 for significant/large effect, +2 for very significant/large effect)	+1 if all possible confounding would increase effect size	+1 if evidence supports a dose-response relationship
Rate of technically efficacious biopsy	94.2 (92-96.5)	26	Low	-1	-1	0	-1	+2	0	0
Rate of temporary complications	5.9 (4.1-7.8)	28	Low	-1	-2	0	-1	+2	0	0
Rate of permanent complications	1.1 (0.5-1.8)	28	Low	-1	-1	0	-1	+2	0	0



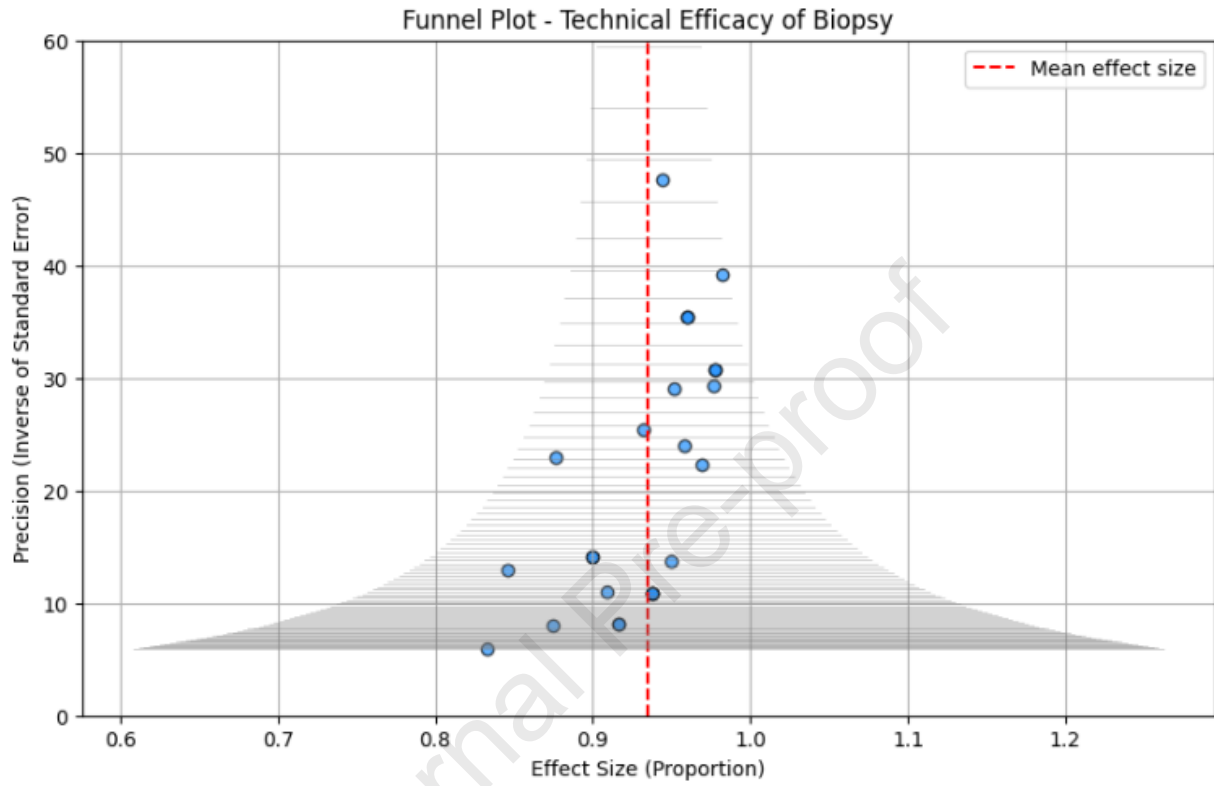




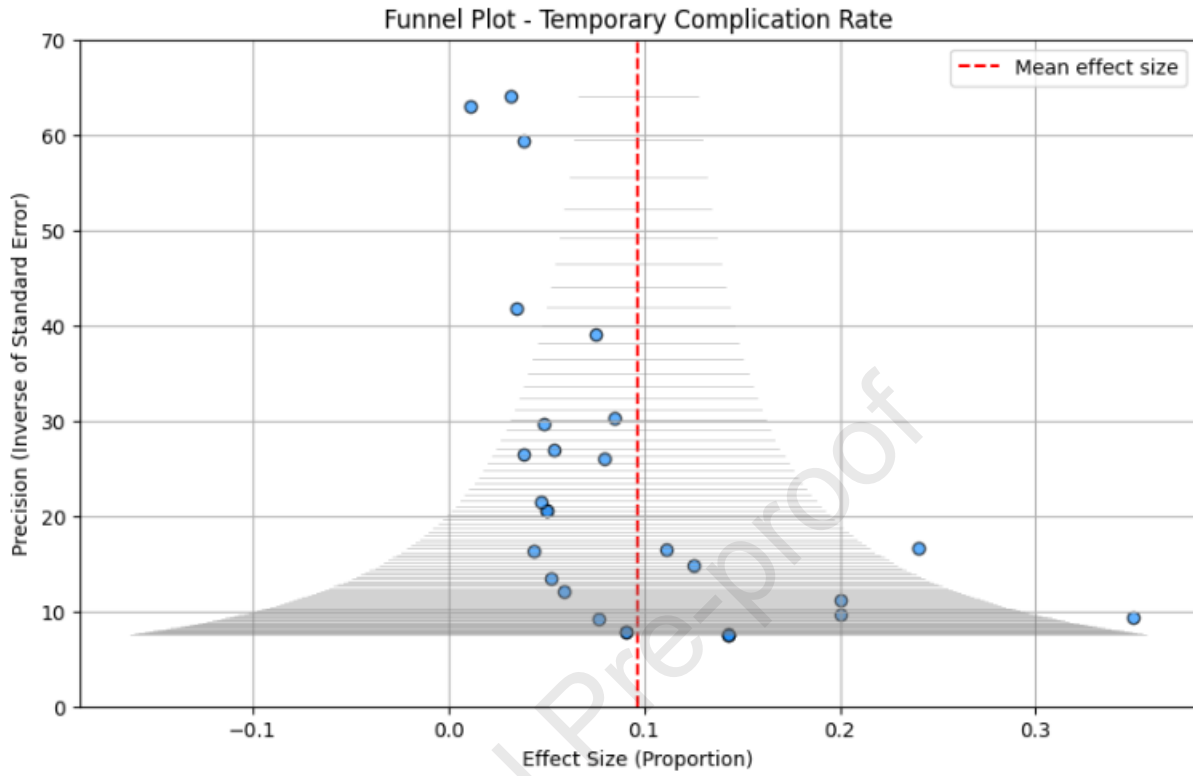




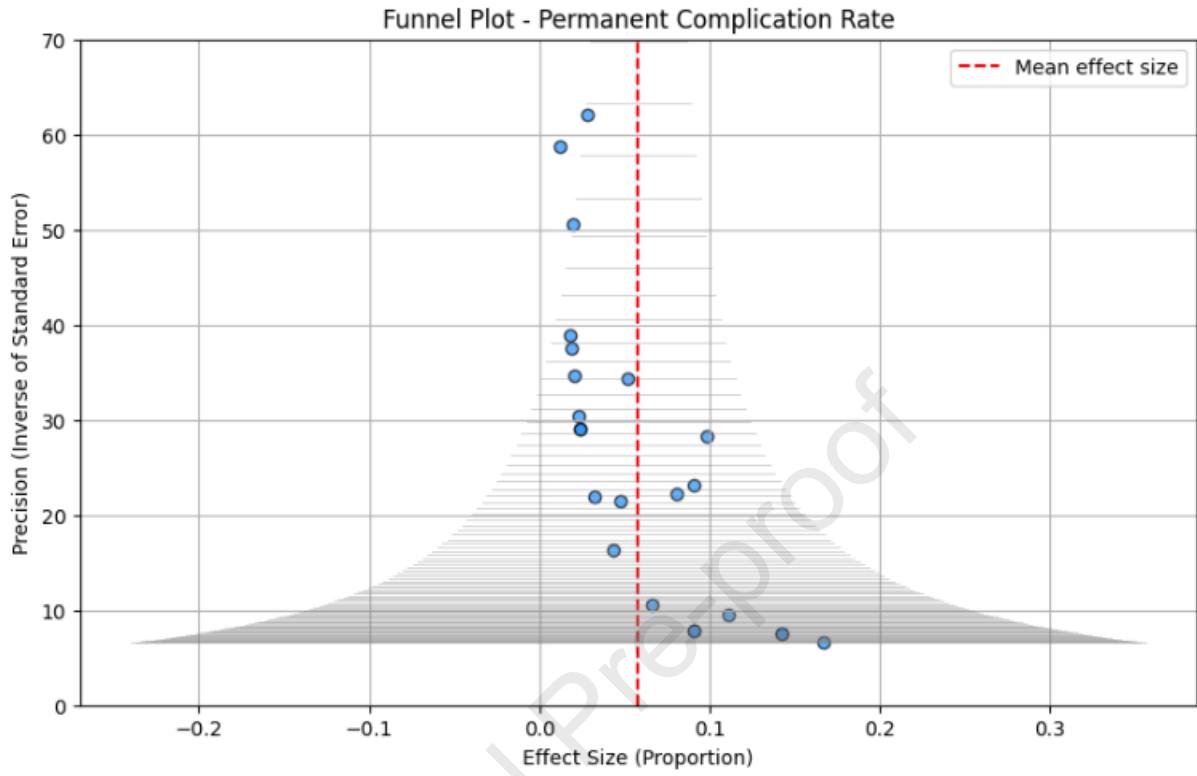
Supplementary Figure 1



Supplementary Figure 2



Supplementary Figure 3



DIPG: Diffuse Intrinsic Pontine Glioma

DMG: Diffuse Midline Glioma

H3K27M: mutation causing substitution of lysine 27 to methionine in histone H3

DIPG-BATS: DIPG Biology and Treatment Study

INFORM: Individualized Therapy For Relapsed Malignancies in Childhood

Journal Pre-proof

The authors have no conflicts of interest to declare.

Journal Pre-proof