Killing the Killers: Natural Killer Cell Therapy Targeting Glioma Stem Cells in High-Grade Glioma

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## 39 Abstract

40 High-grade gliomas (HGGs), including glioblastoma (GBM) in adults and diffuse intrinsic pontine glioma (DIPG) in children, are among the most aggressive and deadly brain tumors. A key factor in 41 their resilience is the presence of glioma stem cells (GSCs), which drive tumor initiation, progression, 42 43 and resistance to treatment. Targeting and eradicating GSCs holds potential for curing both GBM and 44 DIPG. Natural Killer (NK) cells, as part of the innate immune system, naturally recognize and destroy malignant cells. Recent advances in NK cell-based therapies, such as chimeric antigen receptor (CAR)-45 NK cells, NK cell engagers, and NK cell-derived exosomes, offer promising approaches for treating 46 47 GBM and DIPG, particularly by addressing the persistence of GSCs. This review highlights these advancements, explores challenges such as the brain-blood barrier and the immunosuppressive tumor 48 microenvironment, and proposes future directions for improving and clinically advancing these NK 49 50 cell-based therapies for HGGs.

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## 76 Introduction

77 High-grade gliomas (HGGs) are a group of highly aggressive and often fatal brain tumors, affecting

both adults and children<sup>1,2</sup>. Classified as grade 3 or 4 based on their level of malignancy and growth rate<sup>3</sup>, these tumors include some of the most dangerous forms of gliomas, such as glioblastoma (GBM)

- in adults and diffuse intrinsic pontine glioma (DIPG) in children. GBM causes over 15,000 deaths
- 81 annually in the United States<sup>4</sup>. Standard treatment includes surgical resection followed by radiation and
- 82 temozolomide (TMZ) chemotherapy<sup>5-7</sup>. However, despite these interventions, the prognosis remains
- bleak, with a median survival of less than 15 months<sup>8,9</sup>. DIPG, primarily affecting children between
- ages 5 and 10, is equally devastating. This inoperable brainstem tumor, located in the pons, presents
   with severe neurological symptoms and shares the resistance of GBM to radiation and chemotherapy.
- The median survival time for DIPG is less than one year<sup>10,11</sup>. A major contributor to the persistence and
- recurrence of both GBM and DIPG is the presence of glioma stem cells  $(GSCs)^{12,13}$ . GSCs are highly
- efficient at initiating and sustaining tumor growth and showing resistance to standard therapies  $^{14-16}$ .
- 89 Targeting and eliminating GSCs could offer a potential cure for both GBM and DIPG.
- 90 Natural Killer (NK) cells, key players in the innate immune system, have gained attention for their ability to target and eliminate cancer cells without prior sensitization<sup>9,17</sup>. Previous research suggests that 91 NK cells preferentially attack stem-like cancer cells over differentiated ones<sup>18</sup>. Present in the 92 microenvironment of both GBM and DIPG<sup>19-22</sup>, NK cells exert cytotoxic effects independent of cancer 93 94 cell proliferation, making them effective against both proliferating and dormant GSCs. Recent advances 95 in NK cell-based therapies, such as chimeric antigen receptor (CAR)-NK cells, NK cell engagers, and NK-derived exosomes, show great potential in eradicating cancer cells across various types, offering a 96 97 promising strategy to improve outcomes in HGGs like GBM and DIPG.

This review provides an in-depth analysis of GBM and DIPG, focusing on the challenges their aggressive nature and treatment resistance present, particularly due to the role of GSCs. It discusses the function of NK cells within the tumor microenvironment and highlights recent advances in NK cellbased cancer therapies. Additionally, it identifies the obstacles in advancing NK cell therapies and explores future directions for combating HGGs in both adult and pediatric patients.

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## 104 **GBM and GSCs**

GBM is the most prevalent and lethal form of malignant brain tumor in adults, accounting for nearly 105 half of all primary brain tumors<sup>16,23</sup>. Its aggressive behavior poses significant treatment challenges. 106 GBM typically arises in the cerebral hemispheres, most often affecting the frontal and temporal lobes, 107 though it can occur in other regions, including the parietal and occipital lobes<sup>4,23,24</sup>. The location of 108 109 tumor often dictates clinical symptoms such as headaches, seizures, cognitive impairment, and motor 110 deficits. It can develop as a primary tumor, arising without a preceding lower-grade glioma (LGG), or as a secondary tumor, evolving from pre-existing LGG. A key molecular marker is the mutation status 111 of the isocitrate dehydrogenase (IDH) gene. IDH-mutant GBMs, which often arise from LGG, are less 112

aggressive, while the more common IDH-wild type GBMs are associated with poorer prognosis<sup>25-27</sup>.

The Cancer Genome Atlas (TCGA) classifies GBMs into three primary molecular subtypes: proneural 114 (PN), classical (CL), and mesenchymal (MES). These subtypes, distinguished by specific genetic 115 alterations, differ in clinical behavior and drug response, contributing to the failure of multimodal 116 therapies like radiotherapy, chemotherapy, and targeted treatments<sup>6,7,27</sup>. For instance, EGFR 117 amplification and mutations are common in the CL subtype, while the MES subtype is linked to NF1 118 mutations and increased immune cell infiltration<sup>28-30</sup>. However, recent single-cell RNA sequencing 119 120 (scRNA-seq) studies have revealed that these subtypes are not strictly compartmentalized within 121 individual tumors. Multiple subtypes may coexist within different regions of the same tumor, and the molecular characteristics can shift over time and in response to treatment. For the GBM cells, scRNA-122 123 seq has identified four cellular states: (1) neural-progenitor-like (NPC-like), (2) oligodendrocyteprogenitor-like (OPC-like), (3) astrocyte-like (AC-like), and (4) mesenchymal-like (MES-like)<sup>13,28,31,32</sup>. 124

These cellular states align with the TCGA subtypes, with the CL and MES subtypes corresponding to the AC-like and MES-like states, while the PN subtype aligns with the OPC-like and NPC-like states. This phenotypic plasticity, where tumor cells can switch between states in response to genetic mutations or environmental changes, poses a great challenge for treatment. Targeting a single subtype is often insufficient, as GBM tumors are composed of cells in multiple cellular states that can adapt and evolve throughout therapy<sup>13,16</sup>.

Central to the persistence and recurrence of GBM are GSCs, which exhibit stem cell-like properties 131 such as self-renewal and the ability to differentiate into various tumor cell types<sup>9,33,34</sup>. They utilize 132 mechanisms like enhanced DNA repair, drug efflux, and quiescence to evade treatments, positioning 133 them as critical drivers of tumor progression and relapse<sup>27,33,35</sup>. GSCs thrive in hypoxic environments, 134 which enhance their survival and promote tumor growth. Key molecular markers that identify GSCs 135 include CD133<sup>36-38</sup>, a transmembrane glycoprotein linked to increased tumorigenic potential and 136 treatment resistance; SOX2<sup>39-41</sup>, a transcription factor vital for maintaining cell stemness and plasticity; 137 Nestin<sup>42-44</sup>, an intermediate filament protein associated with neural progenitor-like states and tumor 138 invasiveness; CD44<sup>45-47</sup>, a cell surface glycoprotein that serves as a receptor for hyaluronic acid, 139 facilitating cell-cell interactions, adhesion, and migration within the extracellular matrix; ALDH 140 (Aldehyde Dehydrogenase)<sup>48,49</sup>, a family of enzymes essential for detoxifying aldehydes by converting 141 them into carboxylic acids; and OLIG2<sup>50-53</sup>, a transcription factor important for oligodendrocyte 142 development. However, the search for universal GSC markers remains controversial, primarily due to 143 significant interpatient and intratumoral variability. Recent advances in scRNA-seq have revealed four 144 primary GSC cellular states in GBM: NPC-like, OPC-like, AC-like, and MES-like, each associated with 145 distinct stemness markers<sup>13,28,31,32</sup>. Specifically, CD133 is linked to OPC-like cells, CD24 to NPC-like 146 cells, and CD44 to MES-like cells. This phenotypic plasticity, along with genetic alterations in EGFR, 147 PDGFRA, CDK4, and NF1, influences GSC behavior, allowing them to further evade therapeutic 148 interventions and contribute to tumor regeneration. 149

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## 151 DIPG and GSCs

DIPG is among the deadliest pediatric brain tumors, representing about 10-15% of brain tumors in 152 children. Following diagnosis, the median survival time is around 11 months, and fewer than 10% of 153 patients survive beyond two years<sup>54-57</sup>. DIPG is located in the pons, a crucial part of the brainstem that 154 regulates essential functions such as breathing, heart rate, sleep, and motor control. As a result, DIPG 155 significantly disrupts these processes, leading to severe neurological symptoms. The invasive DIPG 156 cells distort and destroy nerve fibers, further contributing to neurological decline<sup>58</sup>. Due to its infiltrative 157 nature and brainstem location, DIPG is extremely difficult to treat surgically<sup>59-61</sup>. Current therapies like 158 chemotherapy have been largely ineffective because of the inherent resistance of the tumor, while 159 160 radiotherapy provides only temporary relief, extending survival by just a few months without stopping tumor progression<sup>56,62</sup>. This dismal prognosis highlights the urgent need for new therapeutic approaches. 161

One of the key genetic alterations driving the behavior of DIPG is the H3K27M mutation, found in 162 about 70-80% of cases. These tumors, now classified as Diffuse Midline Glioma (DMG)<sup>63,64</sup>, H3K27-163 altered, are characterized by a mutation in the histone H3 gene. This mutation disrupts normal chromatin 164 regulation by inhibiting polycomb repressive complex 2 (PRC2), causing a global loss of H3K27 165 trimethylation and leading to widespread gene expression dysregulation<sup>59,60</sup>. Recent scRNA-seq studies 166 have identified three distinct cell states in H3K27M DIPG: OPC-like, oligodendrocyte (OC)-like, and 167 168 AC-like<sup>13,65,66</sup>. Notably, OPC-like cells, which represent undifferentiated progenitors, account for up to ~80% of the tumor population, indicating a differentiation block and highlighting the aggressive nature 169 of the disease. Additionally, 20-30% of DIPG cases lack both the H3K27M and IDH mutations. These 170 tumors are classified as DIPG, H3 wildtype, and IDH wildtype<sup>63</sup>, but their underlying genetic drivers 171 remain less understood, underscoring the need for further research into these subgroups. 172

A growing body of research has identified GSCs as key drivers of aggressiveness and treatment 173 resistance in H3K27M DIPG. The H3K27M mutation disrupts the normal differentiation of OPCs by 174 inhibiting PRC2 function, leading to an accumulation of undifferentiated cells<sup>66,67</sup>. A distinct stem-like 175 profile has been observed in H3K27M-altered DIPG cells, which show elevated expression of stem cell 176 markers such as Bmi1, Nestin, CD15, and SOX2<sup>67-69</sup>. These markers, associated with self-renewal and 177 stem cell maintenance, suggest that these tumors retain significant stem-like characteristics. DMG cell 178 lines like HSJD-DIPG-007 and HSJD-DIPG-012 demonstrate a strong capacity for self-renewal and 179 can form neurospheres from a single cell, further supporting their stem-like behavior<sup>67</sup>. SOX2, a key 180 transcription factor that regulates stem cell pluripotency, is notably overexpressed in these cells<sup>15,39-41</sup>. 181 This stem-like profile, combined with the impact of mutation on chromatin and epigenetic regulation, 182 183 underscores the aggressive nature of GSCs and highlights the challenges in developing effective 184 therapies.

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## 186 NK Cells in Tumor Microenvironment

NK cells are a vital component of the innate immune system, uniquely capable of identifying and 187 eliminating virally infected or tumor cells, making them a critical first line of defense<sup>17,70-73</sup>. They 188 originate from lymphoid progenitors in the bone marrow and circulate in the bloodstream. NK cells are 189 190 characterized by the expression of CD56 and the absence of CD3, which distinguishes them from T cells. They are categorized into two subsets: CD56<sup>bright</sup> CD16<sup>dim/-</sup> cells and CD56<sup>dim</sup> CD16<sup>+</sup> cells. 191 CD56<sup>bright</sup> CD16<sup>dim/-</sup> cells are primarily located in lymphoid tissues and are known for producing 192 cytokines such as IFN-y, which play a pivotal role in modulating both adaptive and innate immune 193 responses. These cells are not inherently cytotoxic but can exhibit cytotoxicity following activation<sup>74-</sup> 194 <sup>76</sup>. In contrast, CD56<sup>dim</sup> CD16<sup>+</sup> cells, predominantly found in peripheral blood, are well-known for their 195 196 potent cytotoxicity and their ability to induce apoptosis in target cells.

NK cells function by integrating signals from two types of surface receptors: activating and inhibitory 197 receptors (Figure 1). Activating receptors, such as NKG2D, NKp30, NKp46, and DNAM-1, trigger NK 198 cell activation by recognizing stress-induced ligands or pathogen-associated molecules on abnormal 199 200 cells, enabling the elimination of cancer cells and virus-infected cells. In contrast, inhibitory receptors, including CD94/NKG2A and LILRs, recognize self-molecules like major histocompatibility complex 201 (MHC) class I proteins on healthy cells, delivering "don't kill" signals to prevent damage to normal 202 tissues. The "missing self" theory<sup>77-79</sup> further explains that NK cells can recognize and target cells that 203 lack these self-MHC class I molecules, as their absence removes the inhibitory signals, prompting NK 204 205 cell activation. This balance between activating and inhibitory signals ensures that NK cells efficiently target diseased cells while maintaining immune tolerance and preventing autoimmunity<sup>9,80,81</sup> (Table 1). 206 Tumor cells often evade T cells by downregulating MHC class I, making them more susceptible to NK 207 208 cell-mediated killing. Once activated, NK cells release cytotoxic granules containing perforin, which forms pores in the target cell membrane, and granzymes, which enter the cell to induce apoptosis. 209 Additionally, NK cells can trigger apoptosis through death receptor signaling, where TNF-related 210 apoptosis-inducing ligand (TRAIL) or Fas ligand (FasL) expressed on NK cells (Figure 1) binds to 211 212 corresponding receptors on target cells, activating apoptotic pathways. NK cells also secrete cytokines 213 like IFN-y, which recruit other immune cells and modulate adaptive immunity. Another key mechanism 214 is antibody-dependent cellular cytotoxicity (ADCC), where NK cells, via their Fcy receptor CD16, bind to the Fc region of IgG antibodies on target cells, triggering a cascade that leads to cytotoxic molecule 215 216 release and cell elimination.

The tumor is not just a mass of cancer cells but rather a complex ecosystem referred to as the tumor microenvironment (TME). This environment comprises cancer cells, cancer-associated fibroblasts, immune cells, endothelial cells, pericytes, the extracellular matrix (ECM), and various secreted molecules. The ECM provides structural support, while interactions between cancer cells and surrounding cells occur through direct contact or signaling molecules such as cytokines, chemokines, and extracellular vesicles<sup>82-87</sup>. These interactions can contribute to immune suppression, allowing

223 tumors to evade detection. Immune-suppressive cells within the TME, including myeloid-derived suppressor cells (MDSCs) and regulatory T cells (Tregs), are crucial to tumor progression by dampening 224 225 anti-tumor immune responses. MDSCs suppress T cell and NK cell activation and proliferation through 226 multiple pathways, including the generation of reactive oxygen species and the secretion of immunosuppressive cytokines like TGF- $\beta$  and IL-10<sup>88,89</sup>. Likewise, Tregs can inhibit the activity of 227 effector T cells and NK cells by producing these same cytokines<sup>90,91</sup>. Exosomes, small membrane-bound 228 extracellular vesicles (30 - 150 nm) secreted by various cell types including cancer cells, are another 229 important component of the TME<sup>92,93</sup>. These exosomes facilitate intercellular communication by 230 transferring bioactive molecules, such as lipids, nucleic acids, metabolites, and proteins, that reflect the 231 cell of origin. Exosomes released by tumor cells have potent immunosuppressive properties<sup>94</sup>, altering 232 233 the immune landscape within the TME. These exosomes can carry immunosuppressive molecules, such 234 as PD-L1 and TGF-B, which suppress the activity of effector T cells and NK cells while promoting the 235 expansion of immunosuppressive populations such as Tregs, MDSCs, and regulatory B cells (Bregs). Additionally, tumor-derived exosomes interfere with the maturation of myeloid progenitor cells, 236 237 promote the polarization of macrophages into the tumor-supportive M2 phenotype, and hinder neutrophil recruitment, collectively enabling tumors to escape immune detection and surveillance<sup>95-97</sup>. 238 239 Together, these cellular and molecular interactions within the TME create a supportive environment for 240 tumor growth and immune evasion.

Despite their potent anti-cancer abilities, NK cells in the TME face various challenges that severely 241 impair their function. First, the ECM can create physical barriers that prevent NK cell infiltration into 242 243 tumor tissue, limiting their ability to reach and eliminate tumor cells, especially in poorly vascularized 244 regions. Another major obstacle is the presence of immunosuppressive factors. TGF-B suppresses the 245 expression of key NK cell activating receptors such as NKG2D and reduces cytokine production such as IFN-γ, which is vital for anti-tumor immunity<sup>98-100</sup>. Prostaglandin E2 (PGE2), an immunosuppressive 246 molecule in the TME, downregulates activating receptors and promotes inhibitory pathways in NK 247 cells<sup>101-103</sup>. Hypoxia, or low oxygen levels, alters the expression of activating receptors such as NKp44, 248 NKp46, NKp30, and NKG2D, and reduces NK cell cytokine secretion, thus impairing their ability to 249 recognize and kill tumor cells<sup>104,105</sup>. Tumor cells can also exploit NK cell inhibitory receptors to evade 250 killing by upregulating ligands for these receptors. Similar to T cells, NK cells can express the 251 252 checkpoint receptor PD-1. When PD-1 engages with its ligand PD-L1 on tumor cells, NK cells become exhausted, losing their cytotoxic capabilities. Table 2 provides an overview of key mechanisms by 253 which tumors evade NK cell-mediated immunosurveillance. Addressing these challenges is a major 254 goal of advancing NK cell immunotherapy, with the focus on restoring or enhancing NK cell function 255 256 to more effectively target and eliminate tumors.

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## 258 NK Cell-Based Cancer Therapies

NK cell-based cancer therapies leverage the killing function of NK cells to target and destroy tumor
 cells, presenting a promising approach in cancer immunotherapy. These strategies include CAR-NK
 cells, NK cell engagers, NK exosomes, and other innovative strategies (Figure 2).

Cell Sources The source of NK cells is a critical factor in determining their clinical effectiveness and 262 263 scalability in NK cell therapy (Figure 3). Primary NK cells, sourced from peripheral blood, umbilical 264 cord blood, or hematopoietic stem cell progenitors, are pivotal in cancer immunotherapy due to their natural ability to recognize and eliminate tumor cells through activating receptors such as NKG2D and 265 CD16, the latter of which facilitates ADCC. Autologous NK cells, derived from the patient's own 266 267 immune system, are activated using cytokines like IL-2, IL-12, IL-15, and type I IFNs to enhance their antitumor function. While offering personalized therapy, their effectiveness is often diminished in 268 cancer patients due to the immunosuppressive TME. In contrast, allogeneic NK cells from healthy 269 270 donors exhibit stronger cytotoxicity, particularly in patients undergoing hematopoietic stem cell 271 transplantation, where donor NK cells can counteract TME-induced immunosuppression. Immortalized NK cell lines, such as NK-92<sup>106,107</sup>, KHYG-1<sup>108,109</sup>, and NKL<sup>110,111</sup>, offer a scalable and consistent 272

273 therapeutic source, with NK-92 being the only cell line in clinical trials. However, NK-92 cells lack 274 CD16 expression, limiting their ADCC potential, and require irradiation to prevent tumorigenicity. Induced pluripotent stem cell (iPSC)-derived NK cells provide a scalable and renewable option, 275 enabling off-the-shelf therapies with consistent quality and enhanced engineering potential<sup>112,113</sup>, though 276 their production is time-intensive and costly, and their long-term safety remains under investigation. In 277 278 terms of anti-tumor activity, NK-92 cells display robust efficacy, particularly when engineered with CARs; however, their lack of CD16 limits their ability to synergize with monoclonal antibodies. 279 Primary NK cells exhibit strong cytotoxicity due to their mature phenotype and high ADCC potential. 280 While iPSC-derived NK cells have lower baseline cytotoxicity compared to primary NK cells and NK 281 282 cell lines, their ability to be engineered for enhanced functionality highlights their promise in advancing NK cell-based cancer immunotherapy. 283

CAR-NK Cells CAR-NK cell technology harnesses the innate cytotoxicity of NK cells while 284 integrating the tumor-targeting precision of engineered receptors. Like CAR-T cells, CAR-NK cells are 285 engineered to express CARs that recognize specific antigens on cancer cells. Conventional CAR-NK 286 287 cells consist of a CAR structure with an extracellular single-chain variable fragment (scFv), a transmembrane domain, and intracellular signaling domains. Next-generation CAR-NK cells 288 289 incorporate innovative features, such as dual-targeting receptors for multiple antigens, armored CARs that secrete cytokines like IL-15 to enhance persistence, and modules to block immune checkpoints 290 such as PD-L1, counteracting tumor-induced immune suppression<sup>114-116</sup>. Combining CAR-NK cells 291 with monoclonal antibodies or checkpoint inhibitors has shown potential to improve therapeutic 292 outcomes<sup>117,118</sup>. When comparing CAR-T and CAR-NK therapies, both demonstrate distinct strengths 293 and challenges. CAR-T cells have shown remarkable efficacy in hematologic malignancies due to their 294 295 antigen-specific cytotoxicity, long persistence, and memory T cell formation. However, they encounter 296 significant limitations in solid tumors, including susceptibility to the TME and risks of cytokine release syndrome (CRS), neurotoxicity, and graft-versus-host disease (GVHD)<sup>119,120</sup> in allogeneic settings. In 297 contrast, CAR-NK cells combine innate and CAR-mediated cytotoxicity, enabling them to target tumors 298 299 with heterogeneous antigen expression and function more effectively within the TME. They also exhibit a superior safety profile, with minimal risks of CRS and neurotoxicity, and can be produced as off-the-300 301 shelf therapies using sources like cord blood and iPSCs. Despite these advantages, CAR-NK cells face 302 challenges such as limited persistence in vivo, difficulties infiltrating solid tumors, immunosuppressive 303 TME, and antigen downregulation, which can reduce therapeutic efficacy. Additionally, systemic administration for central nervous system cancers, such as HGGs, must overcome physical barriers like 304 the blood-brain barrier (BBB), posing a significant hurdle for CAR-NK therapies. 305

NK Cell Engagers (NKCEs) NKCEs are engineered, antibody-based molecules designed to target 306 cancer or infected cells. These molecules come in two main forms: bispecific killer cell engagers 307 (biKEs) and trispecific killer cell engagers (triKEs)<sup>121,122</sup>. NKCEs function by simultaneously binding 308 to NK cells and tumor-specific antigens on cancer cells, bringing them into close proximity and 309 activating NK cells to more effectively kill the target cells. Ideally, the tumor antigen should be a cell 310 311 surface protein overexpressed in cancer cells, maximizing the ability of NKCE to recognize and target the cancer cell. Equally important is the selection of NK cell receptors. One of the most common NK 312 cell receptors used in NKCEs is CD16, known for its ability to fully activate NK cells without needing 313 coactivation from other receptors<sup>123</sup>. Other receptors, such as NKG2D<sup>124,125</sup>, NKp30<sup>126,127</sup>, and 314 NKp46<sup>128,129</sup>, can also be used depending on the specific advantages they offer. In triKEs, the NK 315 receptor and tumor cell antigen are linked via an IL-15 component, which boosts NK cell growth, 316 activation, and survival (Figure S1). Preclinical studies have shown promising results for triKEs in 317 treating cancers such as ovarian cancer<sup>130</sup>, high-risk myelodysplastic syndromes<sup>131</sup>, and advanced 318 systemic mastocytosis<sup>132</sup>. While NKCEs offer many advantages, such as enhanced specificity and 319 immune activation, they also present challenges. These include a short half-life in the body and potential 320 size limitations that may hinder their ability to penetrate dense tumors. 321

322 NK Cell Exosomes The formation of NK cell-derived exosomes begins with the invagination of the
 323 plasma membrane of the NK cells, creating an early endosome that encapsulates various

macromolecules during this process<sup>133</sup>. As the early endosome matures into a late endosome, it 324 undergoes further sorting and packaging of its macromolecular contents<sup>134</sup>. Subsequently, the late 325 endosome fuses with the plasma membrane of the NK cells, releasing exosomes into the extracellular 326 327 matrix<sup>133</sup>. Once secreted, NK cell exosomes interact with target cells, delivering their molecular cargo to exert their functions. NK cell exosomes retain characteristics of their parent NK cells, including the 328 expression of surface markers such as CD16, CD69, NKp44, and NKG2D<sup>135-137</sup>. They carry cytotoxic 329 molecules like TNF- $\alpha$ , granzyme A/B, and perforin, and they display transmembrane proteins such as 330 FasL and TRAIL, which can induce both caspase-dependent and caspase-independent apoptosis in 331 332 tumor cells. A major advantage of NK cell exosomes in cancer therapy is their small size, which allows them to navigate tumor vasculature effectively, along with their resilience in acidic environments. 333 Recent studies have highlighted their effectiveness against various cancers, demonstrating significant 334 cytotoxic activity against melanoma<sup>138</sup> and breast cancer<sup>139</sup>. However, despite their promise, preclinical 335 and clinical validation of NK cell exosomes in brain tumors remains in its early stages, necessitating 336 further studies to evaluate their long-term safety and efficacy. 337

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## 339 NK Cell-Based Therapies for Targeting GSCs in HGG

GSCs play a critical role in the initiation, progression, and therapeutic resistance of HGGs, making 340 341 them a key target for innovative treatment approaches. Recent studies have shown that NK cells can effectively target and destroy GSC tumorspheres in vitro, offering hope for improved therapeutic 342 outcomes <sup>140-142</sup>. However, the success of NK cells in eliminating GSCs is not always assured and often 343 depends on their activation within the TME<sup>141,143</sup>. HGGs create a "cold" TME by promoting hypoxia, 344 upregulating immunosuppressive molecules such as TGF- $\beta$  and IL-10, and recruiting regulatory cells, 345 346 including MDSCs and tumor-associated macrophages. These factors impair the ability of NK cells to recognize and destroy GSCs. Additionally, GSCs downregulate activating ligands, further reducing NK 347 cell efficacy (Figure 4)<sup>144</sup>. The heterogeneity of GSCs compounds these challenges, as these cells 348 349 express varying surface markers at different stages of differentiation, making it difficult for NK cells to 350 consistently recognize and target them.

351 To enhance NK cell efficacy against HGGs, researchers have been investigating multiple strategies. The NKG2D ligand family consists of several stress-induced proteins, including MICA, MICB, and the 352 ULBP (UL16-binding proteins) subfamily, which are expressed on the surface of cancer cells. These 353 354 ligands bind to the NKG2D receptor on NK cells, triggering NK cell activation and cytotoxicity, thereby facilitating the elimination of cancer cells. However, GSCs in GBM have been shown to downregulate 355 NKG2D ligands, which confers resistance to NK cell-mediated killing<sup>144</sup>. Thus, targeting NKG2D 356 ligands represents a promising strategy to enhance NK cell-based therapies for GSCs in HGG. 357 358 Epigenetic modifications play a vital role in regulating gene expression, including the expression of NKG2D ligands<sup>145-148</sup>. Previous studies have demonstrated that targeting EZH2-92aa, a protein encoded 359 by circular EZH2, can promote NK cell-mediated eradication of GSCs both in vitro and in vivo by 360 activating NKG2D ligands<sup>144</sup>. Another study highlighted that the epigenetic regulator, histone 361 deacetylase HDAC8, regulates the expression of NKG2D ligands in glioma cells, and inhibiting 362 HDAC8 increases NKG2D ligand expression, thereby enhancing NK cell-mediated cytotoxicity<sup>149</sup>. 363 364 MicroRNAs (miRNAs), small non-coding RNA molecules, represent a crucial epigenetic regulatory 365 mechanism by targeting mRNA and modulating gene expression. They play a pivotal role in regulating NK cell functions, such as cytotoxicity, proliferation, and cytokine production. For instance, 366 367 overexpression of miR-362-5p in human primary NK cells enhances the expression of cytotoxic molecules like IFN- $\gamma$ , perforin, granzyme-B, and CD107a by targeting the cylindromatosis (CYLD) 368 gene, a negative regulator of NF-κB signaling<sup>150</sup>. Similarly, miR-155 enhances NK cell activation in response to IL-2, IL-15, and IL-21 stimulation<sup>151</sup>. Nanoparticles offer a promising platform for miRNA 369 370 delivery, with their ability to precisely target tumor sites, cross the BBB, and penetrate tumor 371 372 vasculature, making them particularly suitable for HGG therapy. Incorporating miRNA-loaded 373 nanoparticles into NK cell-based therapies is an emerging field with immense potential. For example, 374 NK cell-derived exosomes carrying miR-186 have demonstrated cytotoxic effects against MYCN-

amplified neuroblastoma by preventing TGF $\beta$ 1-mediated NK cell inhibition<sup>152</sup>. These findings underscore the potential of miR-186-loaded nanoparticles as a therapeutic strategy to enhance NK cell-

377 mediated immunotherapy.

Furthermore, the development of CAR-NK cells, genetically engineered to recognize specific antigens 378 379 expressed on GSCs such as EGFRvIII and GD2, represents a cutting-edge strategy with significant therapeutic potential<sup>153-155</sup>. EGFRvIII, a tumor-specific antigen resulting from an in-frame deletion of 380 certain exons in the EGFR gene, promotes tumor growth through constitutive activation while 381 remaining absent in normal tissues, making it an ideal target. Studies have shown that dual-specific 382 383 CAR-NK cells targeting both EGFR and EGFRvIII using NK-92 cells effectively inhibited tumor growth and prolonged survival in mice with intracranial GBM xenografts<sup>153</sup>. Additionally, EGFRvIII-384 specific CAR-NK cells engineered to overexpress the chemokine receptor CXCR4 demonstrated 385 enhanced chemotaxis to CXCL12/SDF-1α-secreting GBM cells, achieving complete tumor remission 386 in some mice and significantly improved survival compared to controls<sup>156</sup>. Ganglioside GD2, a 387 glycolipid highly expressed on various tumors, including GBM<sup>155</sup> and DIPG<sup>157</sup>, but with limited 388 expression in normal tissues, is another promising target. GSCs in GBM are known to overexpress 389  $GD2^{158}$ , which plays a role in tumor progression by promoting cell proliferation, migration, and immune 390 391 evasion. GD2-specific CAR-NK cells have demonstrated the ability to effectively kill DIPG cells with high GD2 expression in both in vitro and in vivo patient-derived cell models<sup>157</sup>. 392

393 Oncolytic viruses can reshape the TME to enhance NK cell activity. By directly targeting tumor cells 394 and triggering an anti-tumor immune response, viruses engineered to express the IL15/IL15Ra complex have been shown to significantly boost the efficacy of EGFR-CAR NK cells against GBM<sup>159</sup>. 395 Additionally, studies have reported that autophagy inhibitors<sup>19</sup> and STING agonists<sup>160</sup> possess the 396 ability to modify the TME, further enhancing NK cell-mediated anti-tumor immune responses in GBM. 397 Targeting galectin-1, a  $\beta$ -galactoside-binding lectin overexpressed in GBM cells<sup>161</sup>, induces the release 398 of exosomes containing miRNA-1983 into the TME. These exosomes bind to toll-like receptor 7 (TLR7) 399 400 on plasmacytoid dendritic cells (pDCs) and conventional dendritic cells (cDCs), activating TLR7 and 401 triggering the release of IFNβ, which enhances NK cell-mediated cytotoxicity against GBM cells<sup>162</sup>. Another promising approach is targeting the  $\alpha v$  integrin/TGF- $\beta$  axis, which has shown potential to 402 improve the infiltration and function of adoptively transferred NK cells in attacking GSCs<sup>141</sup>. Cytokine-403 404 based therapies, including IL-2 and IL-15, have been used to boost NK cell proliferation and activation<sup>163-166</sup>. Immunocytokines, which are monoclonal antibodies conjugated with cytokines<sup>167,168</sup>, 405 406 represent another innovative approach to boosting NK cell activity. In preclinical GBM models, therapy 407 involving the L19 antibody linked to cytokine IL-2 has successfully increased NK infiltration, promoting tumor regression and improving survival rates when used alongside radiotherapy<sup>169</sup>. 408 Furthermore, targeting the recruitment of immunosuppressive MDSCs and tumor-associated 409 macrophages may improve NK cell infiltration and functionality in HGGs. Other strategies to improve 410 411 NK cell efficacy against HGGs are outlined in Table 3.

Table 4 highlights a selection of recent clinical trials employing NK cell therapies for GBM patients. A 412 413 phase I/IIa clinical trial (KCT0003815) evaluated the safety and efficacy of adoptive, ex vivo-expanded, and activated NK cells and T lymphocytes derived from peripheral blood mononuclear cells of patients 414 415 with recurrent GBM. The results showed a median overall survival (OS) of 22.5 months and a median progression-free survival of 10 months. Notably, five patients demonstrated durable responses, 416 417 remaining alive for over two years, with enhanced immune-reaction transcriptomic signatures and no signs of clinical decline at their last follow-up after completing therapy<sup>170</sup>. Additionally, a phase I trial 418 419 (NCT01588769) investigated the tolerability and efficacy of autologous lymphoid effector cells specific against tumor cells (ALECSAT) in patients with GBM. Activated CD4+ T helper cells, treated with a 420 421 DNA-demethylating agent, were induced to express a broad spectrum of endogenous cancer/testis antigens, enabling them to serve as antigen-presenting cells and facilitate the generation of autologous 422 cytotoxic T lymphocytes and NK cells. Tumor regression was observed in three patients, with responses 423 sustained for up to 27 months, and no treatment-related adverse events reported<sup>171</sup>. Furthermore, 424 425 ongoing clinical trials are actively exploring NK cell-based therapies for HGGs. For example, 426 NCT03383978 is evaluating intracranial injection of NK-92/5.28.z CAR NK cells combined with

intravenous ezabenlimab in patients with recurrent HER2-positive GBM. The Phase II trial 427 NCT06687681 is investigating intrathecal injections of active allogeneic NK cells in newly diagnosed 428 429 patients with grade 3 or 4 brain tumors, including GBM and DIPG. Patients will receive active NK cell 430 injections via lumbar puncture. Additionally, the Phase I trial NCT04991870 aims to determine the optimal dose, benefits, and side effects of engineered NK cells with deleted TGF-betaR2 and NR3C1 431 (CB-NK-TGF-betaR2-/NR3C1-) in treating recurrent GBM. This study focuses on evaluating the safety 432 433 and tolerability of escalating doses of off-the-shelf CB-NK-TGF-betaR2-/NR3C1- in these patients. These diverse strategies reflect a comprehensive effort to optimize NK cell-based therapies for HGGs, 434 435 offering hope for more potent and durable treatment options against these aggressive and deadly brain cancers. 436

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## 438 Discussion and Future Perspectives

HGGs are some of the most lethal cancers, with GBM in adults and DIPG in children being particularly
aggressive forms. Despite affecting different patient populations and regions of the brain, these tumors
share key characteristics: resistance to standard treatments, high invasiveness, poor prognosis, and the
presence of GSCs, which drive tumor progression and relapse.

Despite advancements in NK cell-based therapies, significant challenges remain in treating HGGs. 443 Autologous and allogeneic primary NK cells have limited lifespans and struggle to persist after infusion, 444 with their infiltration into solid tumors hindered by physical barriers such as the BBB and the ECM in 445 446 TME. As tumors progress, their targeting efficacy diminishes. NK cell lines offer scalable, off-the-shelf options for therapy but are difficult to manipulate or engineer for enhanced effectiveness. While CAR-447 NK cells and NKCEs provide more precise targeting, they still face issues with physical barriers, poor 448 persistence, and short half-lives within the TME of HGGs. NK cell-derived exosomes, considered a 449 next-generation therapy, show promise in bypassing these barriers due to their smaller size, which 450 451 allows for easier crossing of physical obstacles. However, the immunosuppressive TME continues to 452 undermine their efficacy. Given these limitations, it is crucial to explore new approaches to enhance 453 NK cell-based therapies for HGGs. We propose three key directions for improving and clinically 454 advancing these therapies.

1. Promoting NK cell trafficking and infiltration into HGGs. The BBB is a significant obstacle for NK 455 cells as well as the NKCEs administered systemically, limiting their access to the tumors. Temporarily 456 disrupting the BBB can improve NK cells and NKCEs delivery, with methods like focused ultrasound 457 showing promise by creating transient openings in the BBB<sup>172-174</sup>. Another approach involves 458 modulating chemokines to enhance NK cell trafficking. For example, overexpressing chemokines like 459 CXCL10, which binds CXCR3 on NK cells<sup>175,176</sup>, can improve NK cell homing to the HGGs. Radiation 460 therapy may also increase chemokine expression in TME and disrupt physical barriers, making tumors 461 more accessible to NK cells<sup>177-179</sup>. Tumor Treating Fields (TTFields), which received FDA approval in 462 2011 for GBM treatment, can activate IFN signaling within the tumor<sup>180,181</sup>. This activation may enhance 463 NK cell homing to HGGs and improve their capacity to target and eliminate GSCs. Furthermore, 464 switching from systemic to local delivery of NK cells can significantly improve infiltration. 465 Administering NK cells intraventricularly into the ventricles of brain or intratumorally directly into the 466 tumor bypasses the BBB, resulting in higher concentrations of NK cells at the tumor site and enhanced 467 therapeutic outcomes. Additionally, using BBB-penetrating NK cell exosomes may allow better access 468 to the tumor. NK cell exosomes, which retain cytotoxic properties by carrying perforin and granzymes, 469 can target GSCs in HGGs without the need for direct NK cell infiltration. For instance, considering the 470 therapeutic potential of miRNA-1983 in GBM treatment<sup>161,162</sup>, developing NK cell-derived exosomes 471 loaded with miRNA-1983 could be a promising approach for targeting GSCs within the HGG TME. 472

2. Enhancing NK cell persistence and efficacy. Precision-engineering tools such as CRISPR/Cas9 can
enhance NK cell activity in the immunosuppressive TME by deleting genes associated with NK cell
exhaustion or inhibitory pathways. Combining NK cells with IgG antibodies amplifies the ADCC effect,

strengthening NK cell killing efficacy<sup>182-186</sup>. Modulating the TME itself, by targeting 476 immunosuppressive signals like TGF- $\beta$  or reprogramming tumor-associated macrophages and MDSCs, 477 can also enhance NK cell survival and function. Another promising approach involves combining NK 478 cell-based therapies with other treatments, such as radiotherapy, chemotherapy, TTFields, oncolytic 479 viruses, immune checkpoint antibodies (e.g., PD-1/PD-L1 or CTLA-4 antibodies), or cytokines (e.g., 480 481 IL-12 or IL-15). For example, radiation and chemotherapeutics like TMZ, the standard of care for GBM, could induce the upregulation of stress ligands, such as MICA/B and ULBPs, on GSCs, which are 482 recognized by the activating receptor NKG2D on NK cells<sup>187</sup>. Furthermore, the immune-modulatory 483 effects of radiation and chemotherapy may improve NK cell infiltration and persistence within the TME, 484 485 amplifying their direct cytotoxic effects. Certain chemotherapeutics also reduce the immunosuppressive nature of the TME by depleting immunosuppressive cells, including MDSCs and Tregs, thereby further 486 487 enhancing NK cell functionality. Oncolytic viruses selectively infect and replicate within tumor cells, releasing chemokines like CXCL10 that recruit NK cells to the TME<sup>188,189</sup>. They also enhance the 488 489 expression of stress ligands on tumor cells, facilitating improved NK cell recognition, and induce immunogenic cell death, which activates dendritic cells and promotes NK cell activation within the 490 TME<sup>190</sup>. Immune checkpoints, including PD-1/PD-L1, TIGIT/CD96/CD155, and LAG-3/TIM-3, play 491 a significant role in suppressing NK cell activity in the HGG TME<sup>191,192</sup>. Targeting these checkpoints 492 through immune checkpoint blockade, either individually or in combination, offers a promising strategy 493 to restore NK cell functionality and enhance their cytotoxicity against GSCs. 494

495 3. Enhancing clinical translation of NK cell-based therapies. The transition from preclinical success to 496 scalable, reliable therapies for HGG patients faces many challenges, including regulatory, 497 manufacturing, and logistical hurdles<sup>193</sup>. Establishing robust manufacturing platforms for NK cell 498 therapies and ensuring they are scalable will be crucial for their clinical adoption. By overcoming these 499 challenges, we can facilitate the broader implementation of effective NK cell-based treatments for 496 HGGs in clinical settings.

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509

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Writing – Original Draft, P.P., J.M., B.C., and J.S.; Writing – Review & Editing, M.V.S., K.E.P., and
J.S.; Project administration, J.S.; Funding acquisition, J.S.

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## 514 DECLARATION OF INTERESTS

- 515 The authors declared no competing interests.
- 516
- 517 KEY WORDS

- 518 HGG, GBM, DIPG, GSC, NK, CAR-NK, NK cell engagers, NK cell exosomes
- 519

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## 1219 List of Figure Captions

Figure 1. NK cell inhibitory and activating receptors. The inhibitory receptors on NK cells include
CD94/NKG2A, TIGIT, and PD-1, while the activating receptors comprise NKG2D, NKp44, and
NKp46, among others. TNF-related apoptosis-inducing ligand (TRAIL) and Fas ligand (FasL) on NK
cells is also illustrated.

Figure 2. NK cell-based cancer therapies. This diagram showcases various NK cell-based immunotherapies, including NK cell engagers such as bispecific killer engager (BiKE) and trispecific killer engager (TriKE), which are designed to enhance NK cell targeting and activation. NK exosomes, depicted as extracellular vesicles released by NK cells, contain cytotoxic molecules. Chimeric antigen receptor (CAR)-NK cells are illustrated across different generations, with next-generation versions exhibiting enhanced features such as cytokine production and dual-targeting capabilities. Additionally, antibody-dependent cellular cytotoxicity (ADCC) highlights the role of NK cells in targeting antibody-coated cancer cells.

Figure 3. NK Cell Sources for Cancer Immunotherapy. This diagram presents the four primary sources of NK cells for immunotherapy. In the upper left, allogeneic NK cells are depicted, derived from peripheral blood (PB) or cord blood (CB), expanded in vitro, and then infused into the patient. The upper right shows autologous PB-NK cells, where the patient's own NK cells are collected from PB, expanded, and reinfused. The lower left illustrates NK cell lines, consisting of immortalized NK cells grown in culture for therapeutic application. The lower right highlights induced pluripotent stem cell (iPSCs)-derived NK cells for clinical use.

Figure 4. GSC-NK interactions in the TME of HGGs. Two key signaling pathways are highlighted,
while others are either not depicted here or remain unidentified. In signaling 1, the downregulation of
NKG2D ligands (NKG2D-L) on GSCs reduces NK cell recognition, resulting in diminished sensitivity
to NK cell-mediated killing of GSCs. In signaling 2, GSCs enhance the secretion of TGF-β within the
TME, which binds to TGF-β receptors on NK cells, inhibiting their cytotoxic activity against GSCs.

1261 Table 1. NK cell receptors and their corresponding tumor cell ligands

NK cell receptors	Tumor cell ligands	Function
NKG2D	MICA/MICB, ULBP1-6	Activating
NKp30	B7-H6, PCNA	Activating
NKp46	Heparan sulfate	Activating
CD16 (FcyRIII)	Fc region of IgG	Activating, facilitates ADCC
DNAM-1	PVR, Nectin-2	Activating
2B4 (CD244)	CD48	Activating or inhibitory, depending on signaling partners
CD94/NKG2C	HLA-E	Activating
CD2	LFA-3	Activating
KIRs	HLA-C	Inhibitory (mostly)
CD94/NKG2A	HLA-E	Inhibitory
LILRs	HLA-G	Inhibitory
TIGIT	PVR, Nectin-2	Inhibitory
SIGLEC-7	Sialylated ligands	Inhibitory
PD-1	PD-L1, PD-L2	Inhibitory
ILT2 (lir-1)	HLA class I	Inhibitory
TRAIL	Death receptors DR4/DR5	Induces apoptosis in tumor cells
FasL	Fas	Induces apoptosis in tumor cells

Mechanisms	Description	Examples/Key molecules		
Interactions of inhibitory ligands and receptors <sup>194,195</sup>	Tumor cells overexpress ligands that bind NK cell inhibitory receptors, blocking NK activation	MHC class I molecules (e.g., HLA-E) bind NK cell inhibitory receptors like KIR and NKG2A		
		HLA-E/NKG2A interaction is a key pathway for tumor evasion		
Secretion of immunosuppressive	Tumors secrete cytokines that suppress NK cell activation,	TGF-β reduces NK cell activating receptors (e.g., NKG2D)		
cytokines	These cytokines create an	IL-10 inhibits NK cell cytokine production		
	microenvironment	PGE2 diminishes NK cell cytotoxicity		
Hypoxia <sup>196,197</sup>	Hypoxia in the TME impairs NK cell function	Hypoxia downregulates NK cell activating receptors (e.g., NKp30, NKp44, NKp46)		
Downregulation of NK cell activating ligands <sup>9,144</sup>	Tumor cells downregulate or shed ligands that bind to NK cell activating receptors	NKG2D ligands (MICA, MICB, ULBP) are downregulated or shed in soluble forms (e.g., sMICA)		
	Soluble ligands can block NK cell function	This "decoy" mechanism neutralizes NK cell responses		
Expression of checkpoint proteins <sup>191,198</sup>	Tumors upregulate immune checkpoint ligands that bind inhibitory receptors on NK cells	Tumors express PD-L1, which binds to PD-1 on NK cells, reducing NK activity		
	This leads to immune exhaustion and reduced NK cell activity	The PD-1/PD-L1 pathway is a key immune evasion mechanism		
Exosomal suppression <sup>199</sup>	Tumor cells release exosomes containing immunosuppressive molecules that inhibit NK cell activity	Tumor-derived exosomes with TGF- $\beta$ and NKG2D ligands suppress NK cells by reducing their activation and recognition of cancer cells		
Physical barriers in the TME <sup>200,201</sup>	Tumors create physical barriers (e.g., fibrous tissue, ECM) that prevent NK cell infiltration	Fibrous matrix and BBB shield tumors, especially in brain cancers		
Loss of NK cell activating cytokines <sup>202-204</sup>	Tumors decrease cytokine levels necessary for NK cell activation and survival	IL-15 and IL-12 levels are often reduced in the TME, impairing NK cell proliferation and cytotoxicity		

1275	Table 2. Mechanisms of	tumor e	evasion	from	NK	cell	immunosurv	eillance
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Strategies	Description	Key features	Application			
CAR-NK cells	NK cells engineered with CAR	Targetspecifictumor antigens (e.g.,EGFRvIII, GD2)Lesstoxicity thanCAR-T cellsCAR constructs withintracellularsignaling domains	CAR-NK cells have shown promise in targeting glioma- specific antigens like EGFRvIII and GD2, both of which are overexpressed in GBM and DIPG Their reduced risk of cytokine release syndrome (CRS) makes them safer than CAR-T cells in glioma treatments, especially in pediatric patients with DIPG where safety is critical			
NKCEs	BiKEs, or TriKEs that enhance NK cell activation	BiKEs: Two arms (one for tumor antigen, one for NK cell receptor) TriKEs: Three arms for enhanced NK activation	BiKEs and TriKEs can enhance NK cell-mediated cytotoxicity against GSCs, which are highly resistant to conventional therapies in GBM and DIPG TriKEs may engage NK cells with multiple tumor antigens or immune receptors, providing a multifaceted approach to overcoming HGG immune evasion Can be combined with radiotherapy to enhance tumor destruction			
ADCC enhancement	Antibody- dependent cellular cytotoxicity via NK cell engagement	Maximize the effectiveness of NK cells in antibody- dependent tumor cell destruction	ADCC-enhancing monoclonal antibodies can increase NK cell- mediated killing of glioma cells that overexpress antigens such as CD133, a marker associated with GSCs ADCC therapies can be particularly useful when combined with immune checkpoint inhibitors to further increase NK cell activation in HGGs			
Immunocytokines	Fusion proteins of cytokines and tumor-targeting antibodies	Combines cytokines (e.g., IL-2, IL-12) with antibodies Increased NK cell infiltration into the TME	Immunocytokines like IL-2 and IL-12 linked to tumor-targeting antibodies could enhance NK cell infiltration in the immunologically "cold" TME of HGGs Delivering immunocytokines directly to the tumor site may improve NK cell survival, proliferation, and cytotoxic activity			

## 1282Table 3. Preclinical strategies for enhancing NK cell-based therapies for HGGs

CRISPR/Cas9- edited NK cells	Genetically edited NK cells for enhanced functionality	CRISPR/Cas9 can be used Enhanced NK cell resistance to tumor suppression	Could synergize with NK cell engagers and CAR-NK cells for better outcomes Gene knockouts of inhibitory checkpoint molecules (e.g., PD-1) in NK cells makes them resistant to the immunosuppressive TME in HGGs This approach has the potential to restore NK cell function compromised by the TME through inhibition of the TGF-β pathway
NK cell-derived exosomes	Exosomes released by NK cells engineered for therapeutic purposes	Small vesicles loaded with proteins, genetic material Can be engineered to deliver therapeutic agents directly to tumor cells	NK cell-derived exosomes can carry cytotoxic proteins or RNA molecules targeting GSCs These exosomes can cross the BBB, making them an ideal candidate for targeting GSCs in HGGs Engineered NK exosomes can be loaded with molecules that inhibit HGG growth, such as pro- apoptotic factors or tumor- suppressive RNA
	Journe		

NCT #	Trials	Phase	Enrolled	Outcome	Ref
KCT0003815	A phase I/IIa clinical trial to investigate the safety and efficacy of adoptive, ex-vivo- expanded, and activated NK cells and T lymphocytes from peripheral blood mononuclear cells of patients with recurrent GBM	I/IIa	14	The median overall survival (OS) was 22.5 months, with a median progression-free survival of 10 months. 5 patients remained alive for over 2 years, demonstrating a durable response accompanied by enhanced immune-reaction transcriptomic signatures and no signs of clinical decline at their last follow-up after completing therapy	170
NCT01588769	A phase I study to investigate tolerability and efficacy of autologous lymphoid effector cells specific against tumour-cells (ALECSAT) administered to patients with GBM	I	25	Activated CD4+ T helper cells, following treatment with a DNA-demethylating agent, express a wide range of endogenous cancer/testis antigens, enabling them to function as antigen- presenting cells to generate autologous cytotoxic T lymphocytes and NK cells. These cells successfully targeted the tumor, leading to tumor regression in 3 patients, sustained for 14, 22, and 27 months, respectively	171
NCT03383978	Intracranial injection of NK-92/5.28.z cells in combination with intravenous ezabenlimab in patients with recurrent HER2-positive GBM	I	30	Not available yet	
NCT04991870	Engineered NK cells containing deleted TGF-betaR2 and NR3C1 for the treatment of recurrent GBM	Ι	25	Not available yet	
NCT06147505	NK cells (XS005) injection combined with stupp regimen for adjuvant chemotherapy in subjects with primary GBM	I/II	30	Not available yet	

## 1300 Table 4. Clinical trials of NK cell-based therapies for GBM

1301











Shen and colleagues review the role of glioma stem cells in high-grade gliomas across adults and children, and highlight advancements and future strategies to enhance natural killer cell-based therapies in treating high-grade gliomas.